Improving Signal-Strength-based Distance Estimation in UWB Transceivers

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Abstract—Ultra-wideband (UWB) technology has become very popular for indoor positioning and distance estimation (DE) systems due to its decimeter-level accuracy achieved when using time-of-flight-based techniques. Techniques for DE relying on signal strength (DESS) received less attention. As a consequence, existing benchmarks consist of simple channel characterizations rather than on methods aiming to increase accuracy. Further development in DESS may enable lower-cost transceivers to applications which can afford lower accuracies than those based on time-of-flight. Moreover, it is a fundamental building block used by a recently proposed approach that can enable security against cyberattacks to DE which could not be avoided using only time-of-flight-based techniques. In this paper, we evaluate the suitability of several machine-learning models trained in different real-world environments to increase UWB-based DESS accuracy. Additionally, aiming implementation in commercial off-the-shelf (COTS) transceivers, we propose and evaluate an approach to resolve ambiguities comprising DESS in these devices. Our results show that the proposed DE approaches have sub-decimeter accuracy when testing the models in the same environment and positions in which they have been trained, and achieved an average MAE of 24 cm when tested in a different environment. 3 datasets obtained from our experiments are made publicly available.

Index Terms—UWB, Signal strength, RSSI, Machine Learning, Ambiguity

I. INTRODUCTION

Accurate DE is an enabler for several applications, including Passive Keyless Entry and Start (PKES), and Indoor Positioning Systems. DE can currently be achieved by COTS UWB transceivers, which enable accurate DE using time-offlight (ToF) with a sub-decimeter accuracy [1].

DE approaches relying on UWB's signal's strength have been less explored. Typical approaches make use of a single feature of the signal, namely the first path amplitude of the signal reaching the receiver, and characterize the error based on the standard deviation of this feature.

In general, experiments making use of laboratory equipment differ from those using COTS in the sense that they 1) afford a higher and more stable sampling frequency, 2) do not use an automatic gain control (AGC) stage in the receiver - which will be shown in Section IV to be critical - and, 3) make use of wider bandwidths than those allowed by standards, which directly impacts the accuracy of distance estimations.

In this paper, we improve the state-of-the-art accuracy of UWB-based DESS by using machine learning (ML) regres-

sors. To our knowledge, this is the first time that ML is applied to UWB-based DESS. We do not aim to achieve more accurate estimations than those achieved by ToF-based transceivers.

Moreover, we investigate and propose a solution to the problem of ambiguous estimations affecting COTS transceivers, explained in Subsection II-B. We opt to focus our analysis on these transceivers for 2 main reasons:

- Signal-strength-based DE may lead to the development of simpler UWB transceivers featuring lower costs than those using ToF, which require high sampling rates [2]. These can be useful in applications affording accuracies up to a few decimeters. Throughout our experiments, we opted for sticking to the IEEE 802.15.4-2011 standard [3] for compatibility purposes aiming to create alternatives which could serve as an extension to the existing standard rather than creating an incompatible approach, e.g., occupying the entire spectrum reserved for this technology.
- 2) We recently showed that the Distance Enlargement Fraud - a particular attack on DE which cannot be overcome solely by using ToF measurements - can be detected or limited by using a novel framework relying on hybrid ToF and received signal strength (RSS) distance estimations [4]. In this attack, a malicious entity P tries to convince another entity performing DE to it that they are further away than they really are. While in a ToF-based system P performs the attack by inserting a time delay in the response time, in a RSS-based system P can amplify signals or communicate different power levels than those received, making this attack challenging to overcome. Our approach imposes bounds to those time delays and power gains by checking a set of geometrical constraints. As UWB transceivers using ToF currently achieve a decimeter-level accuracy, the practicality of our approach is limited by the accuracy of RSS distance estimations obtained when using standard-compliant UWB radios.

The contributions of this paper are:

• We propose and evaluate a method to resolve ambiguities affecting DESS on COTS UWB transceivers. The effectiveness of the proposed method is supported by extensive experiments using COTS devices, detailed in Section III. We have not found any publicly available dataset using the same set of parameters and features. In order to support future research in this topic, we make our datasets publicly available;

• We propose and analyze the suitability of several (54 in total) ML regressors to improve the accuracy of UWB-based DESS. The best model found achieved an accuracy as low as 24 cm in unknown environments, more than doubling the state-of-the-art accuracy [2].

II. APPROACH AND METHODS

In this section, we explain the ambiguity issue affecting DESS on COTS UWB transceivers, as well as our choices for features and how UWB and ML technologies are utilized.

A. Background on UWB Technology

Due to the short pulse duration ($\approx 2 \text{ ns}$), UWB technology enables the receiver to separate in time the signal received through the first path from the multipath reflected signals. A channel estimation, also known as channel impulse response (CIR), is used by UWB receivers to accurately determine the time point a transmitted pulse first reaches the receiver. To this end, a leading edge detection algorithm is typically applied on the absolute value of the CIR, whose samples are proportional to the power of the received signal, but, in COTS devices, are normalized, as will be discussed further in this paper.

In our experiments, we use the DW1000 [1] transceiver, which provides a CIR estimation by sampling the baseband received signal at a rate of \approx 1 GSPS, and storing 1015 complex (1015 real + 1015 imaginary) CIR samples in memory. Those can be retrieved from the transceiver. Several examples of plots of absolute values of different CIRs can be seen in Figure 1, where the X-axis' dimension is time, with a 1 ns interval between samples, and the Y-axis is proportional to the amplitude of the received signal. We use only 32 out of the 1015 samples stored by the chip, as later samples were found to contain little power in the scenarios tested. Using this reduced amount of samples also reduces the complexity of our models. Note that the CIR itself contains no information about the time-of-arrival of the signal. The latter is stored in other registers, but are ignored in this paper.

B. Resolving Ambiguous Estimations

The DW1000 features an AGC stage in its frontend. AGCs are common in wireless receivers and enable longer communication ranges by amplifying the received signal. It aims to normalize the signal's amplitude by applying a low magnitude gain to high power signals and vice-versa. Thus, using the previously mentioned CIR samples without accounting for the gain applied by the AGC makes DESS difficult, if not meaningless.

To assess the impact of the AGC on DESS accuracy, we perform one experiment, which is repeated twice in the same environment (a building hallway), initially with the AGC turned on, and then with it turned off. In both rounds, 2 COTS UWB modules (TX and RX) were placed facing each other at different distances while TX transmits signals at different power levels to RX.



Fig. 1. Plots of absolute values of selected CIRs samples at 3 different distances. The CIRs corresponding to TXRX distances of 2 m and 2.5 m are shifted in time (x-axis) to facilitate visualization. All received signals have the same amplitude in the left plot, which illustrates signals received using the AGC on at a high transmission power. In the middle plot, the furthest distance signal has a lower amplitude than the other, as a consequence of turning the AGC off. The rightmost plot, obtained with AGC off and a lower transmission power shows all the signals having different amplitudes.

As shown in Figure 1 (middle), at shorter distances and high TX powers the RX saturates, leading to *ambiguous* CIR estimations, i.e., a single CIR amplitude is associated to multiple TX-RX distances. This reduces the probability for the RX to correctly discriminate between distances. In this case, transmitting at lower power levels resolves the ambiguity issue as each CIR amplitude is associated to a unique distance, as shown on the right-most plot of the same figure. This experiment is analyzed in Subsection IV-A.

C. Features

The set of features that we use is intentionally selected to reflect only the RSS. The features used are taken from [5] and listed in Table I. They are all calculated by the transceiver and stored in registers, except for the absolute values of CIR samples whose complex values are stored.

| Term |
|---|
| First Path Power Level |
| Received Signal Strength Indicator |
| First Path Index |
| Leading Edge Peak Path Amplitude |
| Leading Edge Peak Path Index |
| First Path Amplitude Point X, $X \in \{1, 2, 3\}$ |
| |

TABLE I LIST OF ACRONYMS OF FEATURES ACQUIRED.

We use the FPPL metric to train and test our simplest models, discussed in Subsection IV-A. The FPPL is a scalar value calculated using 3 samples in the vicinity of the first peak path, and is, therefore, proportional to the power of this peak. Thus, the power from the reflected signals, which typically misleads power-based DE, has a less severe impact than when using other metrics, such as the RSSI. This result is presented in [2] and was confirmed by our experiments, but is not detailed in this paper.

In an attempt to improve the results obtained with the FPPL, we use the 32 absolute values of CIR samples as

features, on top of which the other features can be estimated. The CIR contains information about the environment due to the reflected signals, which, in principle, do not interfere with the first path signal. Given that the data obtained in our experiments with AGC turned off leads to CIRs with amplitude values proportional to the received signal power E.III) evaluating how the previously obtained models general-- in such a way that the CIR contains information about the distance between the transceivers - we expect the models to learn how a CIR for a certain distance looks like. Whether or not these models generalize for different environments is still an open question, investigated in Subsections IV-B to IV-D.

D. ML-Based Approach for DE and Regressors

To train and test the ML models we use data collected from the transceiver at known locations. The separation distance at each location serves as ground truth values. After the training phase, the ML models are tested by estimating distances using the same features used for training, but different samples.

As our target variable is continuous, we are interested in regression models (opposed to classification models), which can provide as an output continuous DE values. This enables estimating distances at a finer granularity than the 0.5 m distance step size used in our experiments - typically too coarse for UWB DE.

Our analyses include several families of regressors classified according to [6] as: linear and generalized linear models, LASSO and ridge regression, Bayesian models, Gaussian processes, nearest neighbors, regression trees and rules, random forests, bagging and boosting and support vector regression.

All ML models are trained and tested in two different environments. While testing the models in the same environment where they have been trained provides an upper bound on the expected DE accuracy, testing them in a different environment enables us to check how well they generalize. Aiming to assess the information provided by the transmission power gain, we train k-Nearest Neighbors regressors in 2 different ways. First. we use as features the absolute values of the 32 CIR samples extended with the TX power gain, which is deterministic. Next, we remove the power gain from the feature set to compare with the results from the previous approach; the accuracy should vary according to the level of information provided by this feature. These results should indicate whether to use the power gain as a feature, and are detailed in Subsections IV-B and IV-C. This is essential in our next step - detailed in Subsection IV-D - as we extend the analysis to other regressors, which may be more accurate and/or generalize better. The performance of 54 out of the 55 regressors implemented in *scikit-learn* [7] version $1.0.2^{1}$ is assessed using their default parameters.

III. EXPERIMENTS

This section describes 3 experiments that we designed to assess the performance of UWB signal-strength-based DE with the goals of:

- E.I) checking the influence of the AGC on signal-strength measurements obtained with the DW1000 [5];
- E.II) testing the capability of estimating distances using only signal-strength-related features to train ML models in a known environment. No ToF-related feature is utilized;
- ize, i.e., can perform DE in a different environment from the one it was trained in without any re-calibration.

Hardware and Parameters: For all our experiments we used as transmitter a DWM1003 module, which is an evaluation module from Decawave, embedding a single DW1000 chip [1]. As receiver, we used a DWM1002 module [8], which contains 2 DW1000 chips clocked by the same source, connected each to a dedicated antenna. The two antennas are separated by a distance of ≈ 2.05 cm. Every packet transmitted was acquired by the two chips, and all packets correctly received by both chips were added to our dataset. For simplicity, we use data from a single receiver chip throughout our analysis.

Standard channel 7 (center frequency=6489.6 MHz, bandwidth=1081.6 MHz) was used in all the experiments as well as clear line-of-sight (LOS) between transceivers. All the 68 different programmable power gains $\in \{0 \ dB, 0.5 \ dB, ..., 33.5 \ dB\}$ available in the DW1000 were used, which we consider sufficient to provide a rich feature set. Other parameters were kept as default according to [9].

A. Experiment in a hallway with AGC turned on

This experiment was conducted in a $\approx 1.9 \,\mathrm{m}$ wide hallway. The modules were placed approximately $1.5 \,\mathrm{m}$ above the floor and oriented along the length of the hallway with antennas facing each other. Their separation distance was varied from $0.5\,\mathrm{m}$ to $6.5\,\mathrm{m}$ in steps of $0.5\,\mathrm{m}$, which was found to be the maximum communication distance - at maximum transmission power - when the receiver's AGC was turned off. Distances were measured with a measuring tape, so that errors in the range of centimeters are possible. For each distance, a minimum of 1088 (= 16 * 68) packets were transmitted, in such a way that at least 16 packets were transmitted using each of the 68 power gains. The receiver's AGC was turned on. The features acquired are independent of ToF and include:

- fppl, rssi, the fp_idx, lde_ppampl, lde_ppindx, fp_ampl1, fp_ampl2 and fp_ampl3. Please, refer to Table I for a description of these acronyms and to [5] for an explanation of their physical meaning;
- 32 complex CIR samples, where the 5th sample corresponds to the first peak detected;
- the power gain value used by the transmitter.

B. Experiment in a hallway with AGC turned off

We repeat the experiments from Section III-A, but with the receiver's AGC turned off. Please, notice that, in this experiment, many of the transmitted packets do not reach the receiver, depending on their power gain and on the communication distance. Although it limits the communication range, eliminating the AGC enables us to simply establish an upper

¹Quantile Regressor was excluded from this analysis for requiring an extremely high training overhead.

bound on the expected accuracy to be achieved using the proposed methods in case the gains provided by the AGC can be obtained or estimated.

C. Experiment in a hall with AGC turned off

We repeat the experiments from Section III-B, but in a wider ($\approx 9.3 \text{ m x } 5 \text{ m}$) building hall furnished only with working desks and chairs. The reason why the AGC was turned off in this experiment will be clarified in Subsection IV-A.

DATASETS

Separate datasets were generated for each of the experiments III-A to III-C and can currently be found on [10], along with instructions on how to use them, as well as a description of the available features, which are not restricted to the ones used in our analysis.

IV. ANALYSIS AND RESULTS

In this Section, we analyze the methods introduced in Section II using the data previously obtained. The metric used to quantify accuracy is the mean absolute error (MAE) obtained per distance and then averaged over all distances, so that the final metric is not dominated by distances with greater sample sizes. MAE was preferred over root mean square error (RMSE) as it equally weighs errors at different distances. Nonetheless, RMSE is occasionally used to enable direct comparisons with existing results using this metric. Additionally, our analyses include measures of memory and processing overhead for both training and testing the models.

A. AGC On Vs AGC Off

In order to achieve an optimum accuracy using only RSSrelated features from the DW1000, we first evaluated how the AGC stage of the transceiver affects the accuracy of estimations. Using data from experiments III-A and III-B, we show in Figure 2 a scatter plot of the FPPL feature over distance for different transmitted power levels. Please, recall that both experiments took place in the same environment, at the same fixed positions.

From this figure, it is clear that, at the distance range observed, the impact of distance on FPPL is higher when the AGC is off. In other words, it is easier to distinguish among distances estimated using this metric due to a reduced overlap of samples obtained at different distances at a given power gain. In order to quantify this result, we evaluate the MAE of the K-Nearest Neighbors (KNN) regressor using the default parameters from [7] version 1.0.2. We used 75% and 25% of the data for training and testing, respectively. When using only the maximum transmission power, the averaged MAE decreased from 1.421 m when the AGC is turned on to 0.413 m when it is turned off, showing the benefit of turning the AGC off due to the effect illustrated in Figure 1 (middle). To further reduce ambiguities, we repeat the previous analysis over all transmission powers tested, resulting in a MAE reduction from $1.282 \,\mathrm{m}$ when the AGC is turned on to 0.190 m when it is turned off, as illustrated in Figure 1 (right). The accuracy is improved by more than 1 m.



Fig. 2. Scatter plot over distance of FPPL feature in the hallway with AGC turned on (left) and off (right) for 4 different transmission power gains.

This result can be justified as 1) the role of the AGC is to mitigate the effect of power attenuation over distance, which counteracts the physical principle explored, and 2) in the vicinity of the receiver, the high gain provided by the AGC combined with high TX powers saturates the received signal, generating ambiguous measurements, as shown in Figure 1 (left). This effect is attenuated when the AGC is turned off, as shown in Figure 1 (middle). Finally, the remaining ambiguities - due to saturation of the receiver even when the AGC is off - can be mitigated by using lower power gains (right).

Having demonstrated the advantages of having the AGC turned off, we utilize only datasets obtained with AGC off in the remaining analyses. Those datasets stem from experiments III-B and III-C.

It is important to highlight that the mean standard deviation of the FPPL feature over all samples obtained in the hall grouped by distance and transmit power was 0.636dB, which is very close from the value (of 0.64dB) reported in [2]. Therefore, the current approach alone does not improve DE accuracy comparing with the state-of-the-art approach. In fact, it is intended to enable DESS on COTS.

B. Using CIR Samples as Features

Further CIR peaks tend to be more attenuated than the first one (proportional to the FPPL), which can provide additional information to the models. Therefore, we evaluate the performance of using as feature set the absolute values of the 32 complex CIR samples extended by the power gain. We proceed as in Subsection IV-A², observing that we also vary the training and testing environment to assess the robustness of the models against multipath interference, i.e., how each model generalizes. The results are summarized in Table II.

We see that when the training and testing environments are the same, the average MAE is limited to a few centimeters, while when testing the model in a different environment

²From now on, our models use only 2 neighbors and weight points based on the inverse of the distance. All features besides the power gain are standardized by removing the mean and scaling to unity variance.

| Test Set | Hall | Hallway |
|----------|-------|---------|
| Hall | 0.032 | 0.512 |
| Hallway | 0.449 | 0.045 |

TABLE II AVERAGE MAE VALUES IN METERS WHEN TRAINING A MODEL AND TESTING IN 2 DIFFERENT ENVIRONMENTS, INCLUDING THE POWER GAIN IN THE FEATURE SET.

than the one it was trained in, the MAE is in the order of half a meter. Therefore, it is possible to recommend such an approach for fingerprinting-based applications requiring high accuracy. This first result already improves the one from Subsection IV-A with AGC off by ≈ 16 cm. Furthermore, we can conclude that environments with different multipath characteristics require a training phase, i.e., the proposed model does not generalize well. For future reference, we refer to the current approach as the *standard approach*. The subdecimeter accuracy obtained is a consequence of overfitting, as the CIRs are stable and sensitive to the environment.

Testing in the hall the FPPL models from Subsection IV-A - which were trained in the hallway - we get an average MAE of $\approx 0.28 \text{ m}$, which is even better than the results obtained in this section. Furthermore, as the FPPL may saturate at lower distances and/or higher power gains, we should expect lower power gains to reduce ambiguity and further improve accuracy. In fact, when using only the lowest power gain in communication range with each distance in the training set, we improve the average MAE to $\approx 0.24 \text{ m}$. This result more than doubles the accuracy achieved by the state-of-the-art approach (of 0.52 m). To find the minimum power gain, we consider transmitting a sounding packet at a high power gain, enabling a rough DE, followed by a second transmission at the coarsely estimated minimum power gain.

It is important to mention that the accurate results from Table II were obtained when testing samples at distances already *seen* by the model. If we remove all the samples at a given distance d_i from the training phase, train the model with all the distanced $d_j \neq d_i$, and test samples only at this particular *unseen* distance d_i , repeating the procedure for every distance in our experiment, we obtain an average MAE of 0.642 m in the hall and 0.730 m in the hallway, meaning that the accuracy depends on the positions seen by the model and drastically deteriorates when the receiver is located at a position different than those at which the model has been trained. This procedure is known as "k-Fold cross-validation with non-overlapping groups".

The time spent to train this model using a total of 11318 samples was measured to be 2.392 ms while the time spent to predict the entire test set containing 3773 samples was 2.400 s resulting in an average prediction time per sample of $\approx 636 \,\mu s$. Those measurements were executed using libraries already mentioned on an Intel® CoreTM i7-9850H CPU @ 2.60GHz running Microsoft Windows Version 10.0.19042 Build 19042. The models obtained in the hall and in the hallway occupy 3007 kbytes and 1815 kbytes in memory, respectively.

C. Discarding Power Gain

In the previous subsection, we included the power gain used by the transmitter as a feature to train and test the obtained models without showing if there is a benefit of doing it. In this subsection, we remove it from the feature set. As a matter of fact, not transmitting this information reduces the complexity of the DE protocol. Table III summarizes the results.

| Test Set | Hall | Hallway |
|----------|-------|---------|
| Hall | 0.051 | 1.058 |
| Hallway | 0.741 | 0.057 |

TABLE III

AVERAGE MAE VALUES IN METERS WHEN IGNORING THE KNOWLEDGE OF THE TRANSMISSION POWER GAIN.

As expected, all MAE's increased, specially when testing the model in a different environment than the one it was trained in. This result indicates that the knowledge of the transmit power is beneficial (if not critical) for approaches relying on different transmit powers. To our knowledge, this is the first time that such an approach is proposed for UWB. However, this feature is less critical when training and testing the models in the same environment, as the errors are still within decimeter range.

D. Other Regressors

Finally, the *standard approach* was tested using other regressors. We show in Table IV the best MAEs achieved and the respective regressor for each combination of training and testing set derived from the two different environments. Values may differ from those of Table II, as we present here the overall MAE instead of the average MAE, for simplicity.

KNeighbors shows to be the best regressor when training and testing the model in the same environment. Otherwise, it can be outperformed even without tuning of parameters.

V. RELATED WORK

Two modulation schemes are defined for UWB: pulse-based modulation, which targets low data rate applications, and Orthogonal Frequency Division Modulation (OFDM), which targets high data rate applications. In [11] and [12], the authors evaluated the accuracy achieved with RSSI for OFDM UWB (high data rate). This is not the most obvious approach, as multipath fading should be mostly mitigated when using short pulses in time, which is achieved with the pulse-based modulation. The authors characterized channel 15 based on RSSI measurements over a distance of only 2 m and reported mean

| Test Set | Hall | Hallway |
|----------|--|----------------------------------|
| Hall | KNeighbors Regressor 0.041 | MLP Regressor 0.804 |
| Hallway | Orthogonal MatchingPursuitCV 0.787 | KNeighbors Regressor 0.021 |

TABLE IV LOWEST MAE'S AND RESPECTIVE REGRESSORS FOR DIFFERENT TRAINING AND TESTING ENVIRONMENTS.

positioning errors in the range of 10 cm to 30 cm. Similarly, we include analyses using the first path power level (FPPL) instead of the RSSI, and we use COTS transceivers supporting pulse-based modulation. We present expected errors for *DE* rather than for positioning. The latter depends on the number of anchors (devices at known positions) used, as well as on the used positioning algorithms.

In [2], the authors made use of UWB signal statistics for estimating distances. The accuracy of the approach is determined by the standard deviation of an - empirically obtained - Gaussian noise term in the log normal path-loss equation. The best accuracy is achieved under LOS and equals 0.52 m, which, as shown in Section IV, could be improved down to 0.021 m when using our approach in a known environment, and down to 0.24 m even in unknown environments. Furthermore, the authors used in their experiments a Gaussian pulse generator with a 20 ps duration, which is much shorter than the $\approx 2 \text{ ns}$ standard compliant pulses, used in our experiments. Similarly, in [13] and [14], the authors showed path loss curves from experiments using the same setup as above. The former focused on modeling the UWB channel while the latter evaluated *positioning* errors.

In [15], the UWB channel is characterized using a vector network analyzer (VNA) occupying the whole 7.5 GHz UWB FCC spectrum. Likewise, a VNA was used in [16] for performing UWB measurements in 4 different environments, with bandwidths of 500 MHz and 3 GHz. Both obtained parameters for a log normal path-loss model, but did not provide an approach to improve DE accuracy.

ML has been recently proposed to improve distance and position estimations. In [17], it was used to improve ToF distance estimations down to 1.6 cm RMSE in LOS conditions, using a set of features from 3 packets composing a dual-sided two-way ranging (DS-TWR) exchange. Our method requires a single packet instead and no ToF-related information.

Many other approaches have been proposed aiming to correct ToF-based ranging estimations, such as [18]. To our knowledge, the use of ML methods has not been used to enable RSS-based UWB DE, which is the gap covered in this paper.

VI. CONCLUSIONS AND FUTURE WORK

In this paper we investigated the use of ML regressors for UWB DE using only signal-strength-related features and issues associated to its implementation on COTS transceivers. Successive analyses enabled finding more suitable parameters, features and regressors to this end.

By using multiple transmit powers, we managed to achieve an accuracy of **24 cm** in an *unknown* environment. This result **more than doubles** the accuracy achieved by the state-of-theart approach. The best accuracy achieved with the methods proposed in a *known* environment is as high as 2.1 cm.

Future work includes preprocessing the received signal, using different ML models and tuning the models' parameters. Increasing the communication range of the proposed approaches by using the AGC gain as a parameter instead of turning it off may also be an interesting research direction. We also believe that conducting more experiments, for instance, subject to obstructed LOS between transceivers, would encourage and enable the search towards better DE methods.

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