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# **Reconfigurable Intelligent Surface Aided Multi-User Communications: State-of-the-Art Techniques and Open Issues**

# MUNYARADZI MUNOCHIVEYI<sup>®1</sup>, ARJUN CHAKRAVARTHI POGAKU<sup>®2</sup>, DINH-THUAN DO<sup>®2</sup>, (Senior Member, IEEE), ANH-TU LE<sup>®3</sup>, (Member, IEEE), MIROSLAV VOZNAK<sup>®4</sup>, (Senior Member, IEEE), AND NHAN DUC NGUYEN<sup>®5</sup>

<sup>1</sup>Department of Electrical and Electronics Engineering, University of Zimbabwe, Mount Pleasant Campus, Harare, Zimbabwe
<sup>2</sup>Department of Computer Science and Information Engineering, College of Information and Electrical Engineering, Asia University, Taichung 41354, Taiwan
<sup>3</sup>Faculty of Electronics Technology, Industrial University of Ho Chi Minh City, Ho Chi Minh City 700000, Vietnam

<sup>4</sup>VSB Technical University of Ostrava, Ostrava, 70833 Poruba, Czech Republic <sup>5</sup>Innovation Center, Van Lang University, Ho Chi Minh City 700000, Vietnam

Corresponding author: Nhan Duc Nguyen (nhan.nd@vlu.edu.vn)

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**ABSTRACT** Reconfigurable intelligent surface (RIS)-aided communication is considered as an exciting research topic in academic and industrial communities since it provides an emerging affordable solution to achieve high quality and secure next-generation wireless systems. Especially, the deployment of RIS in multi-user wireless networks promises to reduce system hardware costs, signal processing complexity, as well as energy consumption due to small size, lightweight and ability to actively shape the wireless propagation environment. Further, by realizing a cost-effective radio environment, RIS-aided communication can be implemented to be an appealing technology for future integration with other emerging wireless applications and communication systems. Despite the positive appeal, RISs face new challenges that hinder integrating efficiently into wireless networks, such as network secrecy performance and system sum-rates, as well as achieving efficient deployment design in highly dynamic and time-varying wireless environments. To this end, we overview recent state-of-the-art techniques to address the above issues faced in the integration of RISs with various emerging multi-user communication techniques, such as Unmanned Aerial Vehicles (UAVs), Non-Orthogonal Multiple Access (NOMA), Millimeter Wave (mmWave) and Terahertz (THz) communications, Physical Layer Security (PLS), massive antennas, and Simultaneous Wireless Information and Power Transfer (SWIPT). Finally, we highlight promising future research directions of RIS-aided communication in Cell-Free Massive Multiple-Input-Multiple-Output (MIMO) systems, Rate-Splitting Multiple Access (RSMA), Light Fidelity (LiFi), and Cognitive Radio (CR) systems.

**INDEX TERMS** Reconfigurable intelligent surface, UAV communications, NOMA, mmWave and THz communications, physical layer security (PLS), massive antenna, cell-free massive MIMO, rate-splitting multiple access (RSMA), cognitive radio (CR), simultaneous wireless information and power transfer (SWIPT), light fidelity (LiFi).

#### I. INTRODUCTION

The idea of integrating reconfigurable intelligent surfaces (RISs), also known as Intelligent Reflecting Surface (IRS), with various next-generation multi-user technologies is based on the principal idea of migrating beamforming usually exe-

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cuted at the radio front-end of base stations (BS), Access Points (APs), and user terminals, to the environment [1]. Conventionally, RISs are reconfigurable meta-surfaces equipped with low-cost passive reflecting elements that can effectively adjust phase shifts, frequency, polarization and even amplitude of incident radio waves [2]–[6]. Due to this ability to suitably adjust the phase shifts according to the dynamic wireless environment. The signals reflected by the passive

RIS can constructively combine with signals from other paths to boost the desired receiver Signal-to-Interference-plus-Noise Ratio (SINR), or destructively suppress signal power at undesired eavesdroppers in physical layer security (PLS) networks [1], [3], [7], [11], [12]. However, recent research in [13] and [14] demonstrated that RISs can be equipped with active reflecting elements, where each element reflects and amplifies the incident signal instead of only reflecting it as in the case of passive RIS. One of the differences between passive and active RIS is that the former introduces negligible thermal noise while the latter amplifies the noise as verified by experiments in [13], [14]. Table 1 provides a summary of the key differences between active and passive RIS.

#### TABLE 1. Active versus passive RIS.

Active RIS	Passive RIS
Reflects signals with amplification	Reflects signals without amplification
Achieves significant capacity gain	Achieves negligible capacity gain
when the transmitter-receiver direct	when the transmitter-receiver direct
link is strong	link is strong
Introduces thermal noise	Introduces negligible thermal energy
Consumes additional power	Consumes negligible power
No RF chains	No RF chains
Overcomes double fading	Suffers from double fading

Due to this difference in noise introduction when reflecting signals, passive RIS can provide full-duplex transmission with full-band response [1], [7]. Therefore, eliminating the need for complex signal processing as well as costly and energy-consuming radio frequency (RF) chains in future/beyond-5G wireless networks [3], [8]-[10]. Moreover, the lack of RF chains leads to passive RIS providing a smaller signal coverage than BS/relays, enabling them to be deployed on building facades and other environmental objects, thus, providing an extra line-of-sight (LoS) link between the BS (transmitter) and the user terminal (receiver) [3]. In addition, the absence of RF chains complicates the channel acquisition capability of RIS. Practical passive RIS systems resolve this issue by relying on uplink pilots to estimate the aggregate BS channels. However, a majority of studies just assume perfect channel state information (CSI) availability to avoid the huge pilot overhead in RIS systems with massive N elements. To overcome this, the authors in [15], proposed a two-timescale beamforming approach as a possible solution to reduce the channel estimation overhead. The works in [16], [17] and references therein, highlighted recent proposed techniques to tackle the channel acquisition problem in various multi-user RIS-aided systems.

In addition to the above benefits, there are also other fundamental benefits provided by RIS, such as the squared power gain of RIS for the single user case [2]–[5]. Which is a result of the negligible thermal noise discussed previously [2]–[5], [13], [14]. For example, if an *N*-element RIS is deployed in a wireless network, the expected array gain is proportional to  $N^2$ , this is *N* times larger than what would be achieved in existing massive multiple-input multipleoutput (MIMO) [13], [14]. Despite the significant theoretical capacity gains, actual gains are only obtained in uncommon scenarios where complete blockage exists between the direct link from the BS to the receiver. This means that, if the direct link is strong and unobstructed, passive RIS with many elements achieves negligible capacity gains [13], [14]. This phenomenon is termed "double fading" where the path-loss of the BS-RIS-receiver link is a thousand times larger than the direct link [13]–[16]. To solve the "double fading" impact on RIS, the authors in [13]–[16] suggested active RIS with simulation results showing significant capacity gains over passive RIS. In addition, most existing works choose to ignore the "double fading" effect by only considering the scenario where the direct link is obstructed or very weak [14].

For the multi-user scenario, the SINR can be enhanced by the joint beamforming of the RIS phase shifts and the BS beamforming vectors as discussed in [2]–[5]. While a majority of existing works on RIS assume continuous adjustment of amplitude and phase shift, in practice it is difficult and costly to be implemented due to the finite resolution of practical phase shifters [3], [4], [18], [19]. On the other hand, the authors in [19] showed that random discrete phase shifting avoids the huge pilot overhead caused by CSI acquisition. Further, in [4], the authors demonstrated that energy-efficient communication can be achieved in IRS-aided Multiple-Input Single-Output (MISO) multi-user communication. Where the objective is to minimize the transmit power via jointly optimizing the continuous transmit beamforming and IRS discrete phase shifts subject to individual signal-to-noise ratio (SNR) at the receivers. Similarly, in [4], the authors via simulation results proved that IRS with discrete phase shifts obtains the same asymptotic squared power gain as IRS with continuous phase shifts.

In [20], the authors demonstrated that including RIS in a MISO downlink communication system maximizes the sum-rate under a multi-user scenario, while utilizing Zero-Forcing (ZF) precoding at the BS. However, ZF precoding can amplify background noise, and if the channel is ill-conditioned, the system performance can be severely impacted [21]. In contrast, the authors in [21], maximized the weighted sum-rate of multi-users by jointly designing the beamforming at the BS and RIS phase shifts subject to transmit power. Moreover, in [22], the authors claimed that IRS also enhances the sum-rate in downlink multigroup multicast MISO systems. The authors jointly optimized the BS Transmit Precoding (TPC) matrix and IRS reflection coefficients subject to transmit power and unit-modulus constraints. On the other hand, in [23], the authors also proved numerically that IRS can maximize the Secondary User (SU) rate in the presence of strong cross-link Primary User (PU) interference in Cognitive Radio (CR) systems. By jointly optimizing the IRS continuous phase shifts and the SU transmit power subject to the PU link target SINR.

The authors in [24], proposed IRS-aided Simultaneous Wireless Information and Power Transfer (SWIPT) as a potential solution to address energy consumption in future wireless systems. The authors studied the weighted



FIGURE 1. Integration of RIS with next-generation communication technologies.

sum-power optimization problem by jointly designing the transmit TPC matrices and IRS continuous phase shifts subject to individual receiver Quality-of-Service (QoS) constraints. The study also showed that wireless coverage and battery life of SWIPT devices is enhanced by the introduction of IRS. Also, in the writing of this survey, we observe that most works adopted a linear energy harvesting model based on the assumption that RIS can reflect impinging signals from different directions with unit gain. However, in practice, depending on the angle of incidence, polarization of the electromagnetic wave, and the reflection angle, results in RIS reflecting incident signals from different directions with different gains [25]. Therefore, most of paper assumed that the RIS-aided SWIPT system follows a linear energy harvesting model as reported in [26], [27].

In [28], the authors proved numerically that in RIS-aided cell-free networks, the joint design of the beamforming BS vector and the discrete RIS phase shifts indeed maximizes the weighted sum-rate of users facing transmit power and RIS phase shift constraints. Moreover, in [29], the authors also proved that deploying IRS at the cell boundary of multi-cells mitigates inter-cell interference which is beneficial to cell-edge users downlink transmission.

To aid researchers and telecommunication operators fully understand the benefits of RIS deployment in wireless communications, in the upcoming sections, we discuss the proposed benefits and state-of-the-art solutions in the integration of RIS with the following next-generation communication systems such as Unmanned Aerial Vehicles (UAVs), Non-Orthogonal Multiple Access (NOMA), Millimeter Wave (mmWave) and Terahertz (THz) communications, PLS, multiple antennas systems, and SWIPT.

The integration of RIS with UAVs is advantageous since RISs can efficiently direct radio signals through joint beamforming with ground-based BS, to reduce interference with UAVs in adjacent cells [7]. The addition of RIS into NOMA promises to be mutually beneficial, as desirable channel gain differences among users are introduced and inter-user interference is removed by Successive Interference Cancellation (SIC) [7], [30]. In another context of RIS-aided systems, the applications of RIS in mmWave and THz are essential, as RIS can provide additional LoS links to mmWave and THz communications that are susceptible to blockage from trees, buildings, cars and even the human body [1], [31], [32]. This becomes even more important as conventional relaying technology is unavailable in mmWave and THz frequency bands [33]. Moreover, the integration of RIS into multi-user communications provides advanced PLS of legitimate users in the presence of eavesdroppers [7], [32]-[37]. Thus, RIS is expected to achieve higher spectrum energy efficiency in future wireless communications. In fact, RIS can operate similar to the role played by massive MIMO, by realizing a low-cost and energy-efficient MIMO 2.0 [38].

This article aims to provide a survey on the latest techniques and challenges in RIS-aided multi-user communications research. Furthermore, we only focus on the scenarios where RIS is integrated with next-generation communication technologies, such as UAV, NOMA, mmWave and THz, PLS, multiple antenna systems, and SWIPT as shown in Fig. 1. Finally, we highlight potential areas of RIS integration with Cognitive Radio (CR), Rate-Splitting Multiple Access (RSMA), Cell-Free Massive MIMO, and Light Fidelity (LiFi). Furthermore, we hope that this work will make it easier for researchers and industry practitioners to have an appreciation of the current progress on RIS-aided communication and gain insights into the design of more sophisticated optimization algorithms which can achieve higher spectrum energy efficiency, than that found in the current literature. To highlight our contributions, Table 2 provides a comparison of existing surveys on RIS-aided communications for those interested in reading more on RIS.

The remaining parts of this paper are organized as follows. Section II presents the integration of the RIS with emerging techniques. As the main goal, this study focuses on the analytical results of RIS-aided wireless networks. Section III discusses some practical challenges and future research directions, and then concludes the paper in Section IV. The main techniques covered in this paper are shown in Fig. 1. A list of recent studies and key findings are presented in Tables 2, 3, 5 - 9.

TABLE 2. Summary of existing surveys on RIS-aided communications.

Theme	Reference	Major Contributions	
Mathematical	[8]	Tutorial on IRS-aided communications.	
frameworks			
	[9]	RIS modeling and algorithm design.	
	[18]	Optimization and performance analysis tech-	
		niques for RIS in 6G	
General	[1], [3],	Survey on RIS-aided wireless communications	
concepts	[7], [32],	and open research problems.	
and research	[36], [2]		
directions			
Industrial	[10]	Key use cases, challenges from industrial view-	
viewpoints on		points, and standardizations.	
RIS			
Security and	[78], [79]	PLS concepts, applications, and open research	
privacy		problems.	
	[80]	Security in energy harvesting networks.	
	[39]	PLS in LiFi-enabled networks	
	[103]	IRS-aided covert communications	
RIS with	[33],	Discuss the coverage of a RIS-assisted large-	
emerging 6G	[175]	scale mmWave cellular network using stochas-	
technologies		tic geometry.	
	[38]	Compare RIS with massive MIMO, MIMO 2.0	
	[39]	Combines RIS with light fidelity (LiFi) net-	
		works	
RIS-aided	[65]	Integration of RIS with mmWave to enable	
Localization		localization.	
and mapping			
Integration	Our	Integration of RIS with UAV, NOMA,	
with other	survey	mmWave, PLS, CR, RSMA, Massive Antenna,	
transmission		Cell-Free Massive MIMO, SWIPT, and LiFi.	
technologies			

# II. INTEGRATION OF RIS WITH NEXT-GENERATION COMMUNICATION TECHNOLOGIES

### A. RIS-AIDED UAV COMMUNICATIONS

1) WHY UAV?

UAVs have gained tremendous significance over the last decade. An UAV is generally known as an aircraft without a human pilot on board. In most of well-known system models, RIS surfaces are installed on building walls to reflect the radio waves, the introduction of UAV integration with RIS, either by attaching it to RIS or to transmit the signal, is proven to be advantageous in communicating with the devices. The ability of the UAV to establish an Ad-Hoc network will make communication possible in deserted areas and mountains. Combining various techniques like NOMA, Visible Light

#### TABLE 3. Summary of RIS-aided UAV communications.

Reference	s Main Contributions			
[40]	Performance of the system in terms of BER and OP.			
[41]	Utilize UAV - RIS in Visible Light Communications to reduce			
	energy consumption by 35 percent approximately.			
[44]	UAV assisted RIS with IoT enabled for data collection to			
	increase coverage probability and improve energy efficiency of			
	the system.			
[45]	To maximize the average achievable rate by passive beam-			
	forming and trajectory optimization.			
[46]	Maximizes the secure energy efficiency by optimising continu-			
	ous phase shift, user optimization, transmit power and the UAV			
	trajectory.			
[47]	An UAV-assisted RIS system with TDMA communication			
	network with an efficient algorithm is proposed to improve the			
	system secrecy rate.			
[48]	An UAV-assisted RIS system with Terahertz (THz) communi-			
	cation network to improve the performance of the system.			

Communication (VLC), etc., with UAV RIS will allow the systems to extend the coverage area of communication.

#### 2) STATE-OF-THE-ART

In [40], the authors designed a system model where the UAV acts as a relay between the RIS transmitter and ground receiver. The authors aimed to maximize the coverage area and improve the reliability of the system. The authors have derived the probability density function (PDF) using the instantaneous SNR. Utilizing the PDF, the analytical expressions of outage probability (OP), average bit error rate, and average capacity are derived for considering N number of meta-surfaces. The simulations are performed to compare the proposed system with minimum number of RIS meta-surfaces to traditional system without RIS. The simulations have shown that, for constant SNR, the RIS can significantly reduce the bit error rate (BER) and improve the coverage, and reliability of the system. In [41], the authors considered a system model similar to [40] assisted by VLC. Compared to the limitations of radio waves, the VLC provides high bandwidth and immunity to the interference from the electromagnetic signals [42]. The considered model system is motivated to minimize the energy consumption, as the energy efficiency plays major role in deploying the UAV for long time. It is achieved by adjusting the phase shift, UAV deployment, and user - RIS association. The phase shift optimization is adopted in discrete mode using the phase alignment method and semi-definite program algorithm, and UAV deployment optimization can be achieved using a successive convex approximation (SCA) algorithm. A greedy algorithm is proposed to optimize the RIS association. Numerical results and simulation have demonstrated that the performance of the proposed algorithms is efficient when compared to the cases without RIS. The minimum transmit power is achieved when each RIS is associated with its nearest UAV.

The evolution of 5G networks has introduced smart cities with lots of useful applications. Applications for smart cities mainly depend on Internet-of-Things (IoT) devices which generate a huge quantity of data that needs to be collected and processed. The integration of IoT with UAV can extends the coverage area possible for the communication between the IoT devices and transmitters [43]. Utilizing this concept, the authors in [44] designed a system model that integrates RIS and UAV to collect the data from IoT devices. The research work considered the time-constrained data collection problem from a network of IoT sensing devices with the assistance of UAV and RIS, which helped to improve the multiple device connectivity. The trajectory of the UAV was modeled as a Markov Decision Process (MDP) and approached by Proximal Policy Optimization (PPO) to invoke it. The simulation results showed that the suggested model is 50 percent more efficient when compared to the traditional systems and the increase in the number of RIS elements significantly improves the UAV energy efficiency.

To introduce optimization, the work in [45] investigated RIS-assisted UAV communication systems to maximize the average achievable rate. In particular, the authors decomposed the non-convex problem into two sub-problems, passive beamforming and trajectory optimization. The authors proposed a joint UAV trajectory and passive RIS beamforming optimization algorithm for obtaining a sub-optimal solution. The simulation results demonstrated that the proposed system and algorithm is efficient enough to improve the communication quality of UAV networks. The authors in [46] considered a system model in which the UAV is installed with RIS assisted uplink wireless communication system and investigated the problem of maximizing the secure energy efficiency of RIS. The maximization problem is tackled by jointly optimizing the user association, transmit power, UAV's trajectory and phase shift in continuous mode at both RIS and UAV. The SCA method is used to solve the problems. As the main finding, the proposed design exhibits the improvement of the secure energy efficiency by 38 percent gains, compared to non-RIS traditional systems.

To maximize the average worst-case secrecy rate of the proposed system, the system secrecy rate was analysed in the context of the UAV-assisted RIS system with Time Division Multiple Access (TDMA) protocol [47]. In particular, the authors focused on the joint and CSI-robust design of a UAV's trajectory, passive RIS beamforming, discrete phase shift design, and transmit power of the legitimate user. To solve the non-convex problem, an efficient algorithm was proposed to solve it by Alternating Optimization (AO), SCA S-Procedure, and semi-definite relaxation (SDR) techniques. Simulation results showed that the secrecy performance rate was improved using the proposed system and the robustness of the proposed algorithm is also confirmed. To maximize the minimum average achievable rate of all users, the study in [48] presented the joint optimization of UAV's trajectory, the continuous phase shift of RIS, the power control, and the allocation of THz sub-bands. An algorithm based on SCA with rate constraint penalty was developed by the authors to determine the UAV trajectory and IRS phase-shift as closed-form expressions. Simulation results showed that the performance rate of the whole system can be enhanced.

To summarize main ideas, Table 3 provides a summary of the contributions made by recent studies focusing deployment of UAV in RIS systems.

#### B. RIS-AIDED NOMA COMMUNICATIONS

#### 1) THE KEY ROLE OF NOMA

The future of wireless networks needs more strict requirements, such as low latency, high data rates, mass connectivity. Beyond 5G (B5G) and 6G wireless systems deal with several issues that 5G has not been able to satisfy. Though we have advantages and it is good enough to be used, the new multiple access technique that arises is 6G capable of being called a future generation network.

Wireless networks have been rapidly evolving and recently, smart radio environments have been playing a major role in these networks. The propagation medium of a wireless network signal has always been uncontrollable. Because of this kind of nature, the attenuation of the signal always limits its network connectivity. The multi-path propagation results in fading of the signal strength. We can assume that the reflections and the refractions caused by the obstacles in between are the sources for this so-called uncontrolled behavior of the environment. Recently, new technology has been evolved which can control the propagation of the signal in any environment the communication can happen. RIS is a meta-surface that can reflect and refract a radio signal according to the requirement of the user. These meta-surfaces contain integrated electronic circuits and software that can control the wireless medium [49]. It just sounds like a mirror that can reflect and refract light rays according to the incident angle. The control of the wireless signals in the medium is possible by adjusting the phase shift of the signal according to the user requirement or the position. This way, a lot of signal wasted in the environment because of external factors, can be saved and utilized, which can increase the efficiency of present-day wireless networks.

Since multiple access techniques play a major role in B5G and 6G wireless networks, the studies in [26]–[53] considered the concept along with performance analysis of promising multiple access technique, namely NOMA. NOMA is an extended version of Orthogonal Multiple Access (OMA) rather than a competitor in the field. In general, NOMA has two categories, i.e., Power Domain NOMA and Code Domain NOMA. Power Domain NOMA utilizes the same frequency, time, and phase domain with different power coefficient levels to perform communication between multiple users at the same time. This stands as the foremost advantage of NOMA. Multiple users can utilize the same non-orthogonal resources at the same time by permitting high spectral efficiency, with allowable user interference [54]-[55]. The Code Domain NOMA utilizes the Sparse Code Multiple Access (SCMA) techniques and various other techniques to perform the communication between the transmitter and the receiver. To sum up, Table 4 compares the primary advantages and disadvantages of NOMA and OMA networks.

 TABLE 4. Summary of RIS-aided NOMA communications.

Techniques	Advantages	Disadvantages	
		Inequity for users	
OMA	Receiver detection is	Limiting the number of users	
	simpler	Limited spectral efficiency	
	High Spectral efficiency	Increased complexity at receivers	
NOMA	Equity for users	Highly sensitive to channel uncertainty	
	Lower latency		

Considering the advantages of NOMA, integrating the NOMA technique with RIS technology provides enhanced communications possibility, since the RIS possess the ability to control the radio waves propagation in the environment. This integration leads to enhanced spectral efficiency, energy efficiency, QoS, and maximization of performance rate of the system. This has motivated several researchers to study the performance of the system under various parameters. The notable research articles are studied in the state-of-the-art section which describes the considered system model, optimized parameter and enhanced system performance. Despite the several advantages NOMA has over OMA, various challenges need to solved before bringing it to real-time implementation. Although recent studies in [62]-[64] explored RIS-NOMA systems, there are several open problems to investigate on how RIS benefits NOMA-aided systems.

#### 2) STATE-OF-THE-ART

In [26], the authors have adopted a single-input-single-output (SISO) NOMA network to illustrate the advanced performance of the NOMA-aided systems. The best and worst cases of the system performance are analyzed in the various parameters such as OP. To get benefits of NOMA [26], both downlink and uplink RIS-aided NOMA scenarios are considered in [50]. They adopted links with Nakagami-*m* fading channel distribution. The authors found that the diversity order is affected by the reflecting elements in IRS and Nakagami-*m* fading parameters, but these parameters do not affect the high-SNR slope.

Authors in [37] discussed the impact of Residual Hardware Impairments (RHI) on RIS-aided NOMA system. The research shows that RHI shows a primary impact on system secrecy performance. Therefore, the authors have proposed a single eavesdropper RIS-assisted downlink NOMA system with RHI. To evaluate the performance, the closed-form expressions of secrecy outage probability (SOP) are derived. The simulation results show that the main factors that affect SOP are reflective units in RIS, transmit SNR and target data rate. Simulation results showed that the secrecy outage performance of the system will be majorly affected by the RHI, and the severity of the impact of RHI on the system performance depends on the transmit SNR, and target data rates.

To explore the impact of RHI on a RIS-aided NOMA system, [37], [51] studied two-user downlink NOMA, where each user is distinguished by the distance and position of the user. In this scenario, hardware impairments are considered

as the residual noise either at the transmitter or the receiver or both, considering the real-time environment, which affects the performance of the system. It is also found that the number of RIS elements, transmit power at the BS and power allocation factors play a major role in improving the performance of the system. The destination users have more chances to receive better signals from the BS [52], [53] which is different from a RIS-NOMA system reported in [51]. They designed a RIS-NOMA system with two links i.e. backscatter link and direct link. The numerical results indicate that in the high-SNR regime and with a higher number of reflecting elements, the RIS-NOMA can outperform RIS-OMA in terms of OP, while the ergodic capacity (EC) of the near user case remains the best case.

RIS has the power to turn the highly probabilistic natured environment into a human-controlled propagation environment, which means it can also be an emerging technology that can achieve energy-efficient wireless communication. Authors in [53] focused on beamforming, joint clustering, and power allocation for downlink RIS assisted NOMA system which is targeted to maximize energy efficiency. Sub-optimal solutions were identified utilizing the efficient iterative algorithms developed by the authors. The simulation and numerical analysis illustrated that the proposed system can enhance the energy efficiency significantly, comparing the traditional NOMA and OMA system without RIS.

Moreover, it is possible to achieve higher diversity if multiple antennas are enabled in RIS-aided systems. In [54], the authors considered MIMO assisted NOMA-RIS for serving multiple users simultaneously, employing noise cancellation-based design. The authors also compared the proposed system to zero-forcing design and signal-alignment design and it turns out that the role played by reflecting antennas is not important anymore. The analytical results show that inter-cluster interference can be eliminated by employing a large number of RIS elements. Furthermore, the authors designed MIMO RIS-NOMA by enabling passive beamforming weight at RISs and Signal Cancellation Based (SCB) design is employed as well. The minimal required number of RISs can be determined in both anomalous reflector and the diffuse scattering scenarios to deploy the proposed SCB design. For the case of multiple users, a multi-cluster MISO NOMA downlink network is considered by the authors in [55]. The primary goal of this research was to minimize the transmit power by jointly optimizing the active beamforming matrices at the BS and reflective coefficient vectors at RIS. Here the authors have proposed a second-order cone programming altering the direction method of multipliers-based algorithm instead of conventional semi-definite programming, to obtain a locally optimal solution. It is shown that the proposed algorithm achieves significant performance gain over the traditional algorithm. The main result minimizes the transmit power by considering joint optimization of the reflection coefficient vector at the RISs and the active beamforming matrices at the BS.

The authors in [56]–[61] also evaluated the performance improvement of various system models of NOMA-RIS systems. For example, the interesting work in [56] designed a backscatter communication RIS system similar to [52] which investigated the joint power reflection coefficients and phase shift optimization. To solve these non-convex problems, the authors proposed a low complexity algorithm which, in the presence of a large number of meta-surfaces, outperforms the traditional NOMA Backscatter Communication (NOMABC) and OMA Backscatter Communication (OMABC) networks without RIS. With the similar systems designed in [53], [55], authors in [57] have proposed a machine learning approach based on double decaying deep-Q network (D-DQN) to maximize the energy efficiency of the system. The approach was taken in steps in which the first step is supposed to predict the data traffic demands of the users by utilizing a real-time dataset. Secondly, a position acquisition and phase-control algorithm are proposed to solve the problem of deployment and design of RIS. The results show that the proposed algorithm outperforms the traditional algorithm and NOMA enhanced RIS system has better performance when compared to the OMA enabled RIS system. Table 5 highlights the system setup and key contributions of the above works.

#### C. RIS-AIDED mmWave AND THz COMMUNICATIONS

#### 1) The BENEFITS OF RIS TO BEYOND 6 GHz COMMUNICATIONS

The ever increasing demand for higher data rates and seamless wireless connectivity has forced both academic and industrial strategists of wireless communications to consider exploring higher frequency bands other than the heavily

TABLE 5. Summar	y of RIS-aided NOMA	communications.
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Schomo	Deference	Main Contributions
Scheme	Kelerence	
SISO	[26], [50]	Compared the performance analysis of RIS-
		Aided NOMA system with OMA and identified
		the role played by number of elements, effect
		on diversity order and power allocation factor.
MIMO	[54]	RIS-Aided NOMA MIMO with noise cancel-
		lation system is designed which was able to
		eliminate the inter-cluster interference using a
		large number of RIS elements.
Hardware Im-	[37], [51]	Evaluated the performance of the system in
pairment effect		terms of OP and SOP, in the presence of
-		hardware impairments at the transceiver, where
		severe impact is shown and also compared
		NOMA with OMA system.
Energy	[53].	Authors have focused on energy optimization
efficiency and	[55] [57]	and minimization of transmit power and pro-
optimization	[00],[07]	posed an iterative non-complex algorithm and
opumization		machine learning approach which was able to
		boost up the performance of suggested system
		by 22 percent
Baalmaattar	[52] [54]	Authors have designed a multi-user system
Backscatter	[32], [30]	Authors have designed a multi-user system
communication		with direct link and backscatter link to evaluate
		the performance of the system and proposed
		a low complexity algorithm to deal with non-
		convex problems like phase optimization and
		power reflection coefficients.
Reliability	[54]	Authors have designed a RIS-Aided NOMA
		system to check the reliability in terms of BER.

utilized sub-6 GHz radio spectrum. The millimeter and THz spectrum of electromagnetic waves are two of the most preferred choices. However, when the carrier frequency increases, the propagation conditions become more challenging due to the increased penetration losses and lower scattering levels, leading to fewer propagation paths between the BS and the receiver. Further, antenna arrays become challenging to design since the size of each antenna element shrinks with the wavelength. Under these conditions by introducing additional scattering and control of the scattering characteristics, to create passive beamforming towards the desired receivers to achieve high beamforming gain and suppression of the co-channel interference.

As such, deployment and utilization of RIS increases propagation conditions by providing an additional propagation path in mmWave and THz frequency bands where conventional relaying technology is not available [33]. In light of these benefits, the goal of this section becomes one of unveiling and discussing the benefits associated with integrating RISs into mmWave and THz wireless communications. Therefore, in the following section, we introduce several state-of-the-art techniques achieved by the introduction of RIS into mmWave and THz communications. The section covers communication coverage and performance analysis perspectives. Including, RIS placement, quantization error reduction, channel acquisition, beamforming design, and user localization strategies [65].

#### 2) STATE-OF-THE-ART

In [31], the authors study how RIS can outperform Amplifyand-Forward (AF) relays over a fluctuating two-ray (FTR) channel model. The authors derived exact end-to-end SNR expressions for the RIS-aided system and AF relay system. To maximize the SNR, the authors proposed an optimal phase shift design method to obtain optimal phase shifts at the RIS elements. Furthermore, the optimal power allocation algorithm for the AF relay system is also derived. Consequently, Monte-Carlo simulations validate the OP and the average bit-error probability of the two systems. The simulation results show that the RIS-aided system can achieve the same performance as the AF relay system with low transmit power.

In [66], the authors explored the utilization of RISs to assist the BS to localize the user equipment (UE) in mmWave MIMO systems. The authors proposed a two-stage positioning method where dual RISs are used. In the first stage, a suitable RIS is chosen to reflect signals and in the second stage angle information and the difference in the delay is utilized to estimate the location of the UE. Numerical results show that the proposed method reaches a localization accuracy up to  $10^{-5} \sim 10^{-4}$  which is an order of magnitude lower than the single-RIS-aided method. In [67], the authors investigated the use of the cross-entropy (CE) method to maximize the sum-rate performance of RIS-aided indoor THz transmission. The CE method greatly reduces the complexity

of selecting the optimal phase shift for each reflecting element when compared with the local search and exhaustive search (ES) methods. The work in [68] evaluated the coverage of a RIS-assisted large-scale mmWave cellular network using stochastic geometry and obtained the peak reflection power expression of a RIS and the downlink Signal-to-Interference ratio (SIR) coverage expression in closed forms. Simulation results proved the tightness of the closed-form expressions, showing that the deployment of passive RISs is effective in enhancing mmWave coverage. Different from work in [68], the authors in [69] examined a robust technique for mitigating undesired side lobes or quantization lobes in single-bit mmWave RISs under plane-wave illumination using random phase delays. These lobes are caused by the periodicity of the errors due to the limited number of phase quantization bits. Phase randomization breaks the periodicity of quantization errors. Finally, Radar Cross-Section (RCS) characterization results show that the proposed randomization technique mitigates the quantization lobes. For deployment of MIMO, a RIS-aided multi-user THz MIMO system with orthogonal frequency division multiple access (OFDMA) is studied, where the sparse radio frequency chain antenna structure is adopted for reducing the power consumption [70]. While downlink RIS-aided mmWave systems are conducted in [67]-[70], the closed-form expression of uplink achievable rate expression were derived, taking into consideration the phase noise at the RIS and the quantization error at the BS [71]. The authors analyzed the performance loss caused by the phase noise at the RIS and the quantization error at the BS. As insight of the considered system, asymptotic results are presented when the number of RIS phase shifts approaches infinity.

In the presence of random blockages, the work in[72] studied the enhancement of network reliability and connectivity of multi-user mmWave RISs-aided communication system. The authors formulated a sum-outage probability minimization problem subject to total transmit power constraint and unit modulus constraint. The proposed stochastic optimization problem is solved using the Block Stochastic Gradient Descent (BSGD) method. In [73], the authors proposed a Geometric Mean Decomposition (GMD)-based beamforming for single-user RIS-aided wideband hybrid mmWave MIMO systems. The GMD-based hybrid beamforming achieves better BER performance without resorting to complicated bit/power allocation on different spatial domain sub-channels. Leading to multiple parallel data streams in the spatial domain having the same channel gain. Also, the authors exploited the common angular-domain sparsity of mmWave massive MIMO (mMIMO) channels over different subcarriers, to design a simultaneous orthogonal matching pursuit algorithm. The algorithm obtains optimal multiple beams from an oversampling two Dimensional Discrete Fourier Transform (2D-DFT) codebook. Moreover, the authors' design phase shifters for RIS by maximizing the array gain for the LoS channel. Simulation results show that the proposed scheme achieves good performance in wideband hybrid mmWave MIMO systems with the aid of RIS. In [74], the ranging performance of RIS-aided mmWave systems is considered in the presence of blockages. The authors proposed an optimal RIS deployment strategy that minimizes the joint blockage probability of the UE to the BS link and the UE to the RIS link. Moreover, the authors derived a Cramer-Rao Lower Bound (CRLB) characterization of the localization strategy of users in the network.

To design a practical beam alignment and channel parameter estimation method for single-user RIS-aided mmWave MIMO networks, the results in [75] show that the proposed method outperforms the standard beam alignment in terms of mean square error and spectrum efficiency. To reduce the pilot overhead, Compressive Sensing (CS)-based algorithm is developed that exploits the dual sparsity of THz MIMO channels in both the angular and delay domain [76]. In [77], the authors presented an optimal RIS placement in mmWave networks, that maximizes the SNR performance of highly directional single-user RIS-aided mmWave links of fixed topology under blockage. Table 6 provides a summary of the preceding discussion.

#### D. RIS-AIDED PHYSICAL LAYER SECURITY

#### 1) WHY PHYSICAL LAYER SECURITY?

With the introduction of wireless communication networks in security-sensitive scenarios such as banking, military, healthcare, Smart City, and environmental surveillance. As well as in emerging wireless networks such as IoT, massive machine-type communication (mMTC), 5G-Tactile Internet, wireless vehicle technology, remote surgery and sensitive IoT

# TABLE 6. State-of-the-art proposed solutions for RIS-aided mmWave and THz communications.

Reference	Main Contributions
[31]	Derivation of exact end-to-end SNR expressions for the RIS-
	aided system and AF relay system
[66]	Provide a two-stage positioning with dual RIS in mmWave
	MIMO systems
[67]	Introduce the cross-entropy method to maximize the sum-rate
	performance of RIS-aided indoor THz transmission
[68]	Discuss the coverage of an RIS-assisted large-scale mmWave
	cellular network using stochastic geometry
[69]	Present a robust technique for mitigating quantization errors in
	low resolution mmWave RISs under plane-wave illumination
	using random phase delays
[70]	Investigate an IRS-aided multi-user THz MIMO system with
	OFDMA, where the sparse radio frequency chain antenna
	structure is adopted for reducing the power consumption
[71]	Formulate the closed-form expression of uplink achievable rate
	expression of RIS-aided mmWave systems
[72]	Study the enhancement of network reliability and connectivity
	of mmWave RISs-aided communication system in the presence
	of random blockages
[73]	Discuss Geometric Mean Decomposition (GMD)-based beam-
	forming for RIS-aided wideband hybrid mmWave MIMO sys-
	tems
[74]	Study the ranging performance of RIS-aided mmWave systems
	in the presence of blockages
[75]	Present a design of a practical beam alignment and channel
	parameter estimation method for RIS-aided mmWave MIMO
67.63	networks via atomic norm minimization
[/6]	Discuss a noiographic version of an RIS and its use in THz
(22)	mMIMO systems
[77]	Present the optimal RIS placement in mmWave networks

actuators. This has created an urgent need to study ways to protect these networks against malicious attackers. The use of encryption techniques for network security may not be suitable due to stringent delay requirements [2], [7], and [78]. As a result, there is now more research interest in the development of secure data transmission based on the physical layer of the wireless channel. Mathematically, the integration of RISs with PLS brings about new communication system models and optimization techniques beneficial to enhancing Secrecy Rate Maximization (SRM), secrecy capacity, and SOP in wireless communications without relying on encryption techniques [79], [80]. We next briefly discuss the RISenabled state-of-the-art solutions proposed by several authors along these lines, and Table 7 provides a summary of the main system setup, key contributions and proposed technical solutions.

# 2) STATE-OF-THE-ART

In [81], the authors studied the performance analysis of RIS-aided communications in the presence of discrete phase shifts and derived exact SOP in the presence of non-colluding and colluding eavesdroppers. In addition, the authors employed Fox's H transform theory and the Mellin-Barnes integrals to obtain closed-form expressions of the SOP and Average Secrecy Rate (ASR) with discrete phase noise. In the interesting work of [35], the authors examined the achievable SOP of a RIS-aided wireless communication system, in which a single-antenna communicates with a single-antenna receiver in the presence of a singleantenna eavesdropper. Accurate and closed-form analytical expressions for the SOP are derived by asymptotic analysis. The security performance of adding RISs in an Artificial Noise (AN)-aided secure MIMO wireless communication system is analysed in [82]. The RIS-aided system consists of a BS, a legitimate receiver and an eavesdropper, all of which are equipped with multiple antennas. The BS transmits AN to jam the eavesdropper's received signal. To maximize the Secrecy Rate (SR), the authors jointly optimize the BS TPC matrix, the AN matrix, and continuous phase shifts at the RIS subject to constraints of transmit power limit and unit-modulus of RIS phase shifts. The resultant SRM problem is non-convex with multiple coupled variables. To solve this non-convex problem, the authors develop a BCD algorithm to alternately update the variables while keeping SR non-decreasing. The TPC matrix and AN covariance matrix are derived by the Lagrangian multiplier method, and the closed-form optimal phase shifts are obtained by an efficient Majorization-Minimization (MM) algorithm. Simulation results show that security gains can be achieved by the addition of RISs in AN-aided secure MIMO wireless communication system.

Further, in [83], the authors studied the usefulness of AN or jamming as a way to enhance the SR of an IRS-aided wireless communication network consisting of a legitimate multi-antenna transmitter in the presence of multiple single-antenna eavesdroppers. The authors maximized the

TABLE 7.	State-of-the-art proposed solutions for RIS-aided physical layer
security.	

System Setup	Reference	Contributions	Proposed
			Techniques
SISO	[81],	Closed-form SOP	Fox's H transform,
	[35],	and average SR	mellin-barnes
	[101]	expressions	integrals, asymptotic
Full duplay	<u>[84]</u>	Achieveble SP	AQ algorithms
iamming_	[04]	maximization	AO argoritimis
underlay D2D		maximization	
MISO-Energy	[85]	Energy efficiency	AO based S proce-
efficient	LJ	maximization	dure, SDR algorithm
jamming			-
Inband under-	[86]	SOP, non-zero se-	Asymptotic analysis
lay D2D		crecy capacity prob-	
11417	1071	ability	40.00A 1 14
UAV	[8/]	Jamming minimiza-	AO, SCA algorithms
SISO NOMA	1801	SOP	Asymptotic analysis
SISO-NOMA	[07]	Non-convex secrecy	SDP BCD algorithms
mmWave		rate solutions	SDI, DCD algorithins
and THz		fute solutions	
MISO	[95]	PLS feasibility	AO, SDR
	[102]	Secrecy rate	SCA algorithms
MISO-	[90]	Secrecy multicast	Inequality
Multicast		capacity	constrained
			optimization,
	[01]	XX + 1	logarithmic Barrier
MISO-SWIPT	[91]	Harvested power	Low-complexity AO,
MISO NOMA	10/1	Secure	SDK algorithm
MISO-NOMA	[24]	beamforming	shock based AO al-
MIMO	[82], [88]	Non-convex secrecy	BCD. lagrangian
	[],[]	rate maximization	multiplier, MM, AO,
			SCA algorithms
	[99]	Spectral efficiency	Asymptotic analysis
MIMO-	[93]	Secrecy rate maxi-	Fractional
Wiretap		mization	programming,
			manifold
MIMO	[100]	N	optimization
mmWaye	[100]	rate maximization	AO, SCA, DCD algo-
mmwave		solutions	numis
V2I	[92]	Closed-form	Asymptotic analysis
	1/-1	average secret	
		capacity expression	
IoT	[96]	Closed-form	Fischer-Snedecor,
		average secrecy	Asymptotic analysis
		capacity and SOP	
Dual han	1001	expressions Closed form OB	A sumptotio opolygic
Duai-nop	[90]	and sum-rate	Asymptotic analysis
		expressions	
NOMA-IoT	[37]	Closed-form SOP	Asymptotic analysis
	[]	expressions	· ···
SISO-Covert	[104]	Covert rate maxi-	PSCA, low-
Communica-		mization	complexity two-stage
tion			algorithm
MISO-Covert	[105]	Covert rate maxi-	AO, low-complexity
communication		mization	sub-optimal
			algorithm, triangle,
			caucity-Schwarz
			SDR Gauccian
			randomization
SISO-Covert	[106]	Covert rate maxi-	AO algorithm, SDR
communication		mization	technique

achievable secrecy rate via joint design of the transmit beamforming with jamming or AN and IRS continuous phase shifts subject to transmit power. The authors proposed an AO to solve the non-convex problem. Using numerical results, the authors demonstrated that transmit and IRS reflect beamforming alone fails to improve the SR when the number of eavesdroppers is larger than that of the transmit antennas due to lack of sufficient Degrees-of-Freedom (DoF). Therefore, in such a scenario, AN or jamming can effectively enhance the SR.

Recently, AN or jamming has been introduced into new RIS-aided network setups. The authors in [84], examined the integration of RIS and full-duplex jamming receivers in underlay device-to-device (D2D) systems. The authors derived novel approximate expressions of the proposed system achievable ergodic SR. In [85], the authors studied the achieved energy-efficiency via IRS-aided MISO with independent jamming. Here, the authors maximized the energy efficiency by jointly optimizing the transmit and jamming beamforming as well as the continuous IRS phase shift matrix. The authors considered both perfect and imperfect CSI scenarios. Further, the authors proposed a SDR algorithm to solve the formulated non-convex fractional problem under perfect CSI conditions as well as an AO algorithm based on the S procedure for the imperfect CSI condition. Simulation results demonstrated that IRS can enhance energy efficiency even under imperfect CSI conditions. Differently, in [86], the authors studied RIS-assisted inband underlay D2D communication where the direct link between D2D users is absent. The RIS device is used to improve the D2D communication while enhancing the network's secrecy performance. The authors derived asymptotically the SOP, the non-zero secrecy capacity probability, and D2D OP. Moreover, in [87], the authors investigated the deployment of an RIS-aided UAV deployed to eliminate jamming attacks and improve legitimate transmissions. The authors jointly optimized the RIS-aided UAV deployment and RIS continuous phase shifts subject to the aerial RIS location and the unit-modulus constraint. The authors tackle the non-convex problem via AO where SCA obtains the RIS-aided UAV location and manifold optimization is used to obtain the RIS phase shifts.

To benefit from multiple antennas design, the authors in [88] considered a scenario where an AP communicates with a legitimate user in the presence of an eavesdropper. To maximize the SR, the authors jointly optimized the AP transmit covariance matrix, and reflecting coefficients at RIS under the assumption of continuous and discrete reflecting coefficients. To solve this non-convex problem, the authors developed an algorithm based on AO and SCA. The more complex scenario of multiple RISs, multiple near and far legitimate users was explored in [89]. Analytical results for the SOP are derived, and numerical and simulation results show that secrecy performance can be improved by using group selection, and increasing the number of reflecting elements also enhances the system secrecy performance. In [90], the authors proposed securing multicast communication with the help of RISs. A multiple antenna multicasts a common message to a group of single-antenna receivers in the presence of a single-antenna eavesdropper. The authors, first

derived the equivalent multicast and wiretap channels, next the channels capacity maximization problem was formulated by jointly optimizing the optimal covariance matrix and continuous phase shifts subject to the transmit power budget and RIS phase shift constraints. The problem is an inequality constrained optimization problem, which is continuously differentiable. Then, a logarithmic barrier algorithm is developed to obtain a locally optimal solution.

From another aspect, the authors in [91], presented how the deployment of RIS maximizes harvested power at an energy harvesting receiver (EHR) in a MISO SWIPT wireless network. The proposed network consists of a multi-antenna AP serving an EHR and an information receiver with the help of a RIS in the presence of an eavesdropper. To maximize the harvested power, the authors optimize the transmit beamforming at the AP and continuous phase shifts at the RIS subject to the SR and the reflecting RIS phase shifts constraints. Since the resultant problem is non-convex with multiple coupled variables, the authors address this problem by developing AO algorithms. The non-convex problem is first converted into a SDR problem. However, the proposed SDR method is high in complexity, therefore, a Low-Complexity Alternating Optimization (LC-AO) algorithm is designed to reduce the computational complexity. Simulation results show that the integration of RIS into a MISO-SWIPT network approximately doubles the harvested power at the EHR. For vehicle-to-infrastructure (V2I) communications, the work in [92] characterized the PLS problem in the presence of malicious eavesdroppers. The authors compared the Average Secret Capacity (ASC) performance between the Decodeand-Forward (DF) and Amplify-and-Forward (AFFG) relays and RIS when employed in V2I communications. The numerical results show that RIS-aided V2I communications in the presence of an eavesdropper provide higher security than both DF and AFFG relays.

In respect of the AO algorithm, the authors in [93] considered a RIS-assisted wiretap communication system consisting of a multiple-antenna BS, a single-antenna legitimate user, one RIS, and one multiple-antenna eavesdropper. The authors designed an AO algorithm to optimize the beamforming vector at the BS under fixed RIS phase shifts. In contrast with the work in [93], a robust beamforming scheme for secure RIS-aided NOMA transmissions relying on AN was explored [94].

In [95], the authors investigated the feasibility of providing PLS via RISs in a RIS-aided communication system in which a multiple-antenna BS serves a single-antenna legitimate user in the presence of a passive single-antenna eavesdropper. The authors adopt linear precoders, based on cascaded BS-to-RIS and RIS-to-user channels, with optimization of the continuous phase-shifts at the RIS. An alternating algorithm is developed to iteratively update the phase shifts of the RIS. This optimal phase-shifts problem is modeled as a relaxed semidefinite program. Gaussian randomization techniques are used to achieve a close approximation of the optimal phase shifts. Numerical results show that the proposed techniques

offer a low-complexity alternative to joint optimization of the BS precoder and RIS phase shifts.

In [96], the authors studied the PLS of a RIS-aided IoT system over generalized fading channels. The Fischer-Snedecor is employed to analyze the composite fading and shadowing channel in a system modeled concerning a source node and a legitimate destination node in the presence of a passive eavesdropper. The authors derived closed-form expressions of the ASC and SOP of the system. Monte Carlo simulations show that there is a clear secrecy benefit of utilizing a RIS-assisted AP for various fading and shadowing conditions. As similar to these studies, the authors in [97] examined the secure transmission for RIS-assisted mmWave and THz systems, in which a multiple-antenna BS communicates with a single-antenna user in the presence of a single-antenna eavesdropper. In [98], the authors considered a dual-hop cooperative network aided by multiple RISs. In [99], the authors presented a point-to-point antieavesdropping system in which a RIS secures transmission from a multiple-antenna transmitter to a multiple-antenna legitimate receiver in the presence of a passive eavesdropper, with completely unknown channel state information.

In the perspective of multiple antennas design, the authors in [100] investigated the SR of a RIS-aided MIMO mmWave network with Low-Resolution Digital-to-Analog Converters (LDACs), where the multiple-antenna AP with multiple RF chains serves a single-antenna legitimate user in the presence of a single-antenna eavesdropper. The authors maximized the SR under hardware impairments by jointly optimizing the discrete RIS phase shifts and the transmit beamforming. In the work of [101], the Ergodic Secrecy Rate (ESR) of RIS-aided communication systems was considered in the presence of discrete phase shifts and multiple eavesdroppers.

To raise the impact of imperfect hardware, the authors in [102] studied the transmission design for a RIS-aided secure MISO communication system in the presence of transceiver hardware impairments. In [37], the authors also examined the impact of RHI on system secrecy performance of RIS-assisted NOMA technology integrated with IoT. The authors derived an approximate closed-form expression of the user's SOP.

The aforementioned techniques focused on protecting the transmitted information against eavesdroppers, however, these techniques do not address the privacy issues faced when the position, movement and even existence of wireless transmissions is easily discoverable by malicious users [103]. Covert communication has been proposed by several works in [104]–[106] and others, as an effective solution to hide the existence of wireless transmission, thus, enhancing privacy and data security. In [104], the authors investigated the performance gain obtained by IRS-enabled covert wireless communication system consisting of a single antenna legitimate transmitter, covert user, and warden. The authors jointly designed the transmit power and IRS continuous phase shifts subject to the covertness constraint (for the cases of global CSI availability and without the warden's instantaneous CSI), transmit power constraint and IRS phase shift constraints. Considering the case of global CSI availability first, the authors proved via numerical results that IRS-aided single antenna transmitters can achieve effective covertness. The authors then designed a penalty based SCA (PSCA) algorithm to tackle the formulated non-convex problem. To reduce the complexity of the PSCA algorithm, the authors developed a low-complexity two-stage algorithm to aid the derivation of analytical formulas for Alice's transmit power and IRS phase shifts. Then for without the warden's instantaneous CSI case, the authors derived analytically the covertness constraint, thus, enabling the optimal design of the IRS phase shifts.

Differently, in [105], the authors jointly optimized transmit beamforming vectors and IRS continuous phase shift subject to transmit power constraints and covertness constraints for IRS-aided single and multiple antenna transmitter scenarios. Both scenarios consider the case of either instantaneous or partial CSI of the warden's link. For the multiple antenna scenario, the authors developed an optimal AO algorithm and two low-complexity sub-optimal algorithms to solve the problem. For the case of partial CSI of the warden's link, the authors used the triangle and the Cauchy-Schwarz inequalities to reorganize the optimization problem. An AO algorithm, SDR and Gaussian randomization methods are used to solve the reformulated problem.

Moreover, in [106], the authors examined covert communication in IRS-aided NOMA systems consisting of a single antenna legitimate transmitter, covert user, and public user. Here, IRS-aided downlink and NOMA-aided uplink techniques hide the existence of a covert user from a warden. The authors maximized the covert rates of the covert user by jointly designing the transmit power and IRS continuous phase shifts subject to covertness constraints and QoS requirements of a public user. The authors developed an efficient AO algorithm to optimize the power allocation and IRS phase shifts of the non-convex problem. The closed-form optimal power allocation solutions for given IRS reflection coefficients are obtained after each iteration. Then the SDR technique was used to derive the optimal IRS phase shifts for given power allocation.

#### E. RIS-AIDED MULTIPLE ANTENNAS SYSTEM

#### 1) DESIGN OF MULTIPLE ANTENNAS IN RIS

For future wireless communication technology, MIMO has been recognised as a key enabling technology. With a sufficiently large number of antennas at the BS, MIMO has the potentiality to significantly increase the spectral and energy efficiency [107]. To meet the requirements of 5G and 6G communication networks, multi-antenna system utilization has begun, which utilizes high hardware cost and energy consumption. Though the MIMO technology is promising, some limitations need to be taken care of for practical implementation [10]. There are promising approaches given by different authors for limitations of MIMO technologies in [108]–[110]. Utilization of RIS assisted by MIMO systems with careful selection of antennas provides a way to improve the performance of the system while reducing the hardware cost. Table 8 contains a summary of the referenced state-ofthe-art works.

TABLE 8. Summary of RIS-aided multiple antennas system.

Reference	Main Contributions
[104]	MIMO based RIS system with cosine-similarity-theorem-
	based-low-complexity-algorithm to enhance path gain of com-
	municating channel
[112]	AIRS based multiple access point system with either single or
	multiple antennas to maximize the achievable user rate
[113]	MIMO-assisted RIS system to enhance communication and
[114]	transferring additional information using on-off reflection tech-
	nique and maximizing the achievable user sum rate
[115]	RIS assisted mmWave system to maximize the achievable rate
	of the system by independently optimizing various parameters
[116]	RIS-assisted SWIPT system to enhance the secrecy rate perfor-
	mance of the system
[117]	RIS-assisted SWIPT system to enhance the performance of
	energy receivers and information receivers

#### 2) STATE-OF-THE-ART

The authors in [111] have designed a RIS-assisted MIMO system with a cosine-similarity-theorem-based-lowcomplexity-algorithm to understand and adapt the continuous and discrete phase shifts of the RIS system and to enhance the path gain of the communicating channel. A semi-analytic approach is performed to derive the average BER of the system. Computer simulations are performed under different circumstances and investigated the performance of the system including discrete phase reflection, imperfect CSI, and path loss effect. An Aerial Intelligent Reflecting Surface (AIRS) aided downlink system was introduced in [112], where multiple access points with either a single antenna or multiple antennas served a group of a small number of users with single antenna receivers with the help of AIRS. Both the AP and the AIRS are being controlled by a Central Processing Unit (CPU). The main goal of the research was to maximize the achievable user rate and studied the rate optimization problem. The authors have proposed an algorithm by optimizing the power allocation vector and discrete phase shift matrix. The optimization algorithm improves the achievable rate performance and simulations were generated to explain it.

In similar work, the authors in [113] studied the passive beamforming and information transfer technique for multiuser MIMO system-aided RIS which enhances the communication via passive beamforming, meanwhile also carrying additional information using on-off reflection modulation technique [114]. The research was focused on the maximization of achievable user sum rate. The authors developed a sample average approximation-based algorithm for efficient passive beamforming and the complexity of the algorithm is further reduced by considering AO problems. The authors in [115] considered an IRS-assisted mmWave system in which massive antenna arrays are equipped at the transceivers. The main aim of this research was to maximize the achievable rate of the system while providing an optimal solution for power allocation, precoding & combining, phase shift at the RIS. The authors have derived asymptotic expressions for sum rate. The results have manifested that joint optimization of the parameters is no more required as compared to other researches.

To implement green communications, a MIMO-based SWIPT aided RIS system was introduced to provide better performance in terms of secrecy rate when non-convex iterative algorithms are applied [116]–[118]. Authors in [116] considered a SWIPT aided IRS system equipped with multiple antennas aiming to maximize the secrecy rate of the system by joint designing AN covariance and phase shift matrix. To tackle these non-convex problems, the author proposed an inexact BCD method. The simulation results show that the proposed system enhances security. Whereas authors in [117] have considered a similar system for enhancing the performance of Information Receivers and Energy Receivers. To tackle the non-convex problems, the authors have considered the classic BCD method. The proposed method enhances the performance of the system in this case.

Since CSI plays a major role in obtaining the properties of the communication channel in MIMO, achieving perfect CSI is still challenging due to limited signal processing. The authors in [119]–[122] considered RIS-aided MIMO system by assuming imperfect CSI. The authors in [120] studied the worst-case robust beamforming design for the proposed system. The imperfect CSI increases the complexity of solving non-convex problems like minimizing the transmit power and achieving the QoS for the requirement for each user.

#### F. RIS-AIDED SWIPT

#### 1) The BENEFITS OF RIS IN SWIPT NETWORKS

The rapid increase in wireless devices such as IoT devices and UAVs in various applications has been beneficial and convenient to many communication users [123]-[140]. However, these devices suffer from limited battery life which hampers their performance in different fields [141]–[143]. SWIPT is a desirable technique to provide energy over the air, thus, avoiding frequent battery replacement or recharging in hard to access areas like inside the human body for implanted medical sensors or inside concrete structures for embedded monitoring sensors [144]. In [117], SWIPT enabled networks are described as a BS transmitting signals to a group of devices, where some devices act as information receivers and others behave as energy receivers. Some energy receivers such as humidity sensors require higher operational energy than required by a typical information receiver [117]. To realize SWIPT in practice, there are various utilized receiver protocols, such as Power Splitting (PS), Time Switching (TS), and hybrid versions of the two [145], [153].

Conventional SWIPT systems are limited by the proportional relationship between path loss and transmission distance. Therefore, considerable amounts of energy can only be harvested in the vicinity of the energy transmitter [146]. Moreover, obstacles between the BS and energy receiver as well as channel randomness can impact SWIPT performance. Hence, deploying RISs closer to energy receivers can remedy this by providing additional LoS links to enhance the harvested power [117].

The authors in [147] also showed that in far-field scenarios, the path loss of the transmitter-RIS-receiver link is larger than that of the unobstructed direct transmitter-receiver link. Therefore, for the two links to have the same path loss, the RIS should be equipped with a massive number of phase shift elements [25]. However, for carrier frequencies in the mmWave and THz range, according to the RIS double fading model, the massive number of equipped RIS elements complicates channel estimation (due to the high overhead of Npilots) and real-time beamforming. The massive number of required elements might make RIS undesirable and unpractical to manufacture and deploy, however, this is not the case as noted in [33], that RIS elements are spread out over the planar two-dimensional surface and are sub-wavelength in size, hence more than 1000 elements can be equipped into a  $1 \times 1$ m surface. Moreover, based on the measurements identified in [26], [27], practical RIS devices require good resource allocation schemes to effectively enhance the energy efficiency of SWIPT systems.

Based on the above, several authors have exploited the favorable communication environment created by RIS to propose enhancements to the existing wireless techniques such as efficiency, weighted sum-power and sum-rates maximization, max-min fairness, resource allocation etc, to benefit SWIPT. Next, we discuss the state-of-the-art techniques proposed by several authors. Table 9 contains a summary of the referenced state-of-the-art works.

#### 2) STATE-OF-THE-ART

In [24], the authors proposed a weighted sum power maximization algorithm for IRS-aided MISO SWIPT system with linear energy harvesting (EH) model. The system consists of an IRS assisting a multi-antenna AP serving several single-antenna information decoding receivers (IDRs) and EHRs. Here the authors maximized the weighted sum-power received by the EHRs via jointly optimizing the AP TPC matrices and IRS continuous phase shifts while subject to the individual SINR constraints of the IDRs. Due to the non-convex SINR constraints, the authors proposed efficient AO and SDR algorithms to obtain sub-optimal solutions for the non-convex optimization problem. The authors also confirmed that dedicated energy beamforming for IRS-aided SWIPT systems is unnecessary just as in the case of conventional SWIPT systems without IRS as first investigated in [146].

Different from [24], the authors in [148] extended [24] by introducing multiple IRSs to assist the multi-antenna AP serve several single-antenna information receivers and energy receivers. Here the authors minimized the AP transmit power by jointly optimizing the AP TPCs and the IRS reflect continuous phase shifts, subject to the individual information receiver SINR constraints and energy receiver harvesting constraints. To solve this non-convex

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#### TABLE 9. State-of-the-art proposed solutions for RIS-aided SWIPT.

System Setup	Reference	Contributions	Proposed
			Techniques
MISO-SWIPT	[24]	Weighted	AO SDR algorithms
with linear EH	[2]]	sum-nower	
model		maximization	
MISO-SWIPT	[1/8]	Transmit nower	BCD algorithm
with linear FH	[140]	minimization	Den algorithm,
model		mmmzauon	method
Multi usor	[140]	Max min harvastad	AO SDB algorithms
MIGO SWIDT	[149]	wax-min naivesteu	AO, SDK algoriumis
with linear FU		power	
with fillear Eff			
model	[170]	E 66 :	10 CDD 1 14
Secure MISO-	[150]	Energy efficiency	AO, SDR algorithms
SWIPI with		maximization	
non-linear EH			
model	54.4.63		1
Secure MIMO-	[116]	Secrecy rate maxi-	Inexact BCD method,
SWIPT with		mization	penalty-based MM,
linear EH			CCM
model			
MIMO-SWIPT	[117]	Weighted sum-rate	AO, BCD algorithm
with linear EH		maximization	
model			
Multi-user PS-	[151]	Maximum energy	MM method,
MISO-SWIPT		efficiency indicator	fractional
with co-located			programming, AO,
receivers and			SDR, manifold
linear EH			method, Dinklebach
model			algorithm
TS-MISO-	[152]	Max-min energy	AO algorithm
SWIPT with		rate fairness	-
non-linear EH			
model			
MIMO-IoT-	[153]	Max-min SINR fair-	SOS1, RL methods
SWIPT with		ness	,
linear EH			
model			
PS-MISO-	[154]	Max-min energy ef-	AO, penalty-based
SWIPT with		ficiency fairness	method. IA method.
co-located			SDR. SCA DC
receivers and			programming, MM
non-linear EH			method. fractional
model			programming
PS-MIMO-	[155]	Transmit power	BCD, SCA, SDP
SWIPT with	[155]	minimization	
co-located		minimization	
receivers and			
non-linear EH			
model			
PS-SISO-	[156]	Closed_form OP av-	Asymptotic analysis
SWIPT with	[150],	erage harvested an	analysis
non-linear EU	[137]	ergy performance	
model		ergy performance	
MISO SWIDT	[150]	Dasouraa allaasti	Papalty based
with non line	[130]	Resource anocation	mathed SCA SDR
El model			method, SCA,SDK
En model	[150]	D	
Multi-user	[139]	Resource allocation	$\epsilon$ - constraint method,
WIISO-SWIPT			IVINI, IA methods
with linear EH			
model			1

QoS-constrained joint active and passive beamforming optimization problem with intricately coupled QoS constraints, the authors first decoupled the QoS constraints via proper transformations then the resultant problem was solved iteratively using the penalty-based method and BCD algorithm.

Similarly, in [149], the authors studied joint active and passive beamforming optimization for IRS-aided multiuser MISO SWIPT network with linear EH model. The authors

developed an AO and SDR algorithm to obtain a sub-optimal solution for the formulated non-convex max-min harvested power problem at all the EH receivers, under a given set of individual information receiver SINR-constraints, BS transmit power, and continuous IRS phase shifts. Also, in [150], the authors formulated an optimization problem to maximize the energy efficiency in a secure IRS-assisted MISO SWIPT system with non-linear EH model. The authors jointly optimized the AP transmit beamforming vectors, the AP AN covariance matrix, and IRS continuous phase shifts subject to transmit power budget constraints, IRS phase shifts, individual information receivers SINR constraints, EH constraints and information receivers security requirements. The authors also utilized AO and SDR methods to tackle the non-convex problem. Differently, in [116], the authors considered a secure IRS-enabled MIMO SWIPT system where the EHRs are potential eavesdroppers. The authors maximized the secrecy rate by jointly optimizing the TPC matrix, AN, and IRS continuous phase shift matrix, subject to the constraints of harvested energy and unit modulus reflect coefficient. To solve the non-convex SRM problem with several coupled variables, the authors proposed an inexact BCD method as well as the penalty-based MM and complex circle manifold (CCM) to address the unit modulus constraint.

Unlike in [116], the authors in [117] investigated the EH performance of an IRS-enabled MIMO SWIPT network consisting of a multi-antenna BS communicating with several multi-antenna information receivers and energy receivers. The authors jointly optimized the BS TPC matrices and IRS passive continuous phase shifts to maximize the weighted sum rate of the information receivers, subject to the EH constraints of the energy receivers. The EH constraint is nonconvex, therefore, complicating the optimization problem. To solve this challenging optimization problem, the authors utilized the BCD algorithm to break down the problem into several sub-problems where the TPC matrices and phase shift matrix are alternately optimized. Further, the authors designed a low-complexity iterative algorithm to enable each sub-problem to converge to the Karush-Kuhn-Tucker (KKT) point of each sub-problem.

Moreover, a recent study by the authors in [151], introduced the energy efficiency indicator to trade off between data rate and harvested energy when a multi-antenna BS transmits data along with energy via PS in a MISO IRS-assisted SWIPT network with co-located receivers. The authors maximized the energy efficiency indicator by jointly optimizing the BS beamforming vectors, individual user's PS ratio, and IRS continuous phase shifts, subject to unit modulus constraints imposed by the IRS passive reflection. To solve the non-convex problem, the authors proposed adopting the MM method to develop a concave-convex fractional function, that is handled by the Dinkelbach algorithm. Further, the AO, SDR and manifold techniques are also used to solve the formulated sub-problems.

The authors in [152] investigated the max-min fairnessbased energy-rate trade-off among devices in a IRS-aided time-switching MISO SWIPT system with non-linear EH model. The authors first used linear maximal-ratio transmission (MRT) to set the precoder for both direct and cascaded channels, and then jointly optimized the transmit BS power allocation coefficients and continuous IRS phase shifts to maximize the minimum harvested energy and achievable user rates, subject to the total transmit BS power, and the modulus constraint imposed by the IRS elements. The authors then adopted an AO algorithm to obtain sub-optimal solutions for the formulated non-convex problem.

Another recent study in [153] attempted to solve the max-min fairness of the IRS-assisted MIMO SWIPT IoT networks consisting of a multi-antenna BS, an IRS with discrete phase shifts and two groups of IoT SWIPT devices, i.e. multiple single-antenna information receivers and multiple multi-antenna energy receivers. To simplify the problem, the authors considered the max-min SINR problem of a single information receiver via jointly optimizing the transmit beamforming vector and IRS reflection matrix subject to the EH constraints of the energy receivers. Due to the coupling of the transmit beamforming vectors and IRS discrete phase shifts in both the objective function and max-min SINR problem, the resultant combinatorial optimization problem is non-convex and NP-hard, therefore, deriving the globally optimal solution is computationally challenging. The authors attempted to solve this intractable problem by using classical combinatorial optimization techniques such as special ordered set of type 1 (SOS1) and the reformulationlinearization (RL) methods to handle the max-min SINR design put in place by the optimization of IRS discrete phase shift variables.

Moreover, another recent study on the topic of maxmin energy-efficiency fairness in [154], proposed a different fairness algorithm for IRS-aided MISO SWIPT network with non-linear EH model. Here, the authors considered co-located receivers relying on PS. The authors jointly optimized the BS transmit information and energy beamforming, IRS continuous phase shifts, and PS ratio at each user subject to a minimum required data rate, minimum EH, transmit power constraints, and unit modulus constraints. The authors proposed two AO algorithms based on the penalty-based and inner approximation (IA) methods to solve the formulated non-convex problem. To solve this, the nonconvex problem is divided into two sub-problems. For the first sub-problem, the penalty-based algorithm exploits SDR, SCA, difference of convex functions (DC) programming, MM method, and fractional programming to transform the non-convex sub-problem into a concave-convex form. Here, the BS information and energy beamforming, and PS ratios are jointly optimized. In the second subproblem, a penalty-based method is developed to handle the design of the IRS phase shifts unit modulus constraints. For the IA algorithm, PS ratios are designed in the first subproblem, and in the second sub-problem, the BS information and energy beamforming, and IRS phase shifts are jointly designed.

The works discussed so far in this section have assumed perfect CSI availability, however, this assumption does not hold in practical systems. In [155], the authors designed a joint optimization of the BS's transmit power, and active data, IRS continuous phase shifts, and receivers' PS ratio subject to the minimum rate and EH constraints at each receiver in a MISO IRS-assisted PS-SWIPT network with a nonlinear EH model with co-located receivers. Here the authors considered both perfect and imperfect CSI conditions. For the perfect CSI case, the authors exploited BCD to iteratively design the active and passive beamformers. SCA is used to solve the formulated problem to achieve semidefinite relaxation. For the imperfect CSI case, the problem is reformulated with many constraints. In this case, the BCD method was used iteratively to solve the active and passive beamformers. SDP is used to transform the resulting nonlinear matrix inequalities into linear matrix inequalities. Then SCA is used to solve the inequalities.

In [156], [157], the authors studied the impact of channel correlations between multiple IRS elements on OP and average harvested energy in IRS-aided PS-SISO SWIPT systems with non-linear EH model. Specifically, the OP and average harvested energy performance is evaluated for equal, random and no phase shifts. The authors derived exact average harvested energy formulas as well as exact approximations for the OP for both the uncorrelated and fully correlated cases. The authors via simulation results proved that correlation is beneficial for EH but has a detrimental impact on OP under random and equal phase shifts.

In [158], the authors proposed a resource allocation scheme for large IRS-aided MISO SWIPT systems with non-linear EH model. The authors adopted a physics-based IRS model proposed in [25] and partitioned the IRS into a finite number of phase shift equipped tiles. Based on the above, the authors minimized the total transmit power of the IRS-aided SWIPT network via jointly optimizing the transmit beamforming vectors and the IRS transmission mode tile selection subject to the QoS needs of both the non-linear energy receivers and information receivers. To solve the formulated non-convex problem, the authors exploited a penalty-based method, SCA and SDR to design a low-complexity and efficient algorithm which asymptotically converges to a locally optimal solution.

The authors in [159] extended the work in [158] to study resource allocation in multi-user IRS-assisted MISO SWIPT systems with linear EH. The authors formulate a Multi-Objective Optimization (MOOP) scheme to study the overall system performance in terms of data sum-rate maximization and total harvested energy maximization, via jointly designing the BS active and passive beamforming and IRS continuous phase shifts subject to the information receiver SINR and minimum harvested energy constraints. The formulated non-covex MOOP problem is converted to a single-objective optimization problem (SOOP) by the  $\epsilon$ - constraint method. To obtain a locally optimal solution, the authors applied MM and IA techniques.

## III. OPEN CHALLENGES AND FUTURE RESEARCH DIRECTIONS

Although numerous results on RIS are reported in the literature, there are still several challenging problems to be solved, some of them are presented as follows.

#### A. RIS-AIDED RATE-SPLITTING MULTIPLE ACCESS (RSMA)

A significant design challenge of RISs is the acquisition of CSI due to the lack of RF chains. This leads to interference in the communications channel due to imperfect Channel State Information at the Transmitter (CSIT). Thus, channel estimation is a crucial issue to be addressed in nearly-passive RISs. In contrast to relays, which are equipped with powerful signal processing units, RISs have limited signal processing capabilities. Therefore, new algorithms are necessary to perform CSI acquisition, while keeping the complexity of RISs as low as possible and avoiding on-board complex signal processing operations as much as possible. In [3], the authors discuss the IRS channel acquisition problem and introduce several solutions.

One of the proposed solutions is applying the sub-array technique to reduce the number of receive RF chains at the IRS. Here the sub-array technique enables the IRS array to be arranged into clusters with each cluster equipped with one RF chain for channel estimation. Similar to [3], in [160], [161], the authors propose a hybrid RIS with a few active elements connected to a RF chain for channel estimation. However, the proposed solutions are hindered by the power budget at the RIS/IRS, as each receive RF chain requires extra power to support the channel estimation operations [6] as well as increased RIS implementation complexity [161].

Another solution is designing the reflection coefficients for IRS's passive beamforming directly based on the feedback from the BSs/users instead of estimating the IRS BS/user channels explicitly [3]. However, this solution is suitable for indoor environments where the IRS to AP/receiver channels are correlated. Recent work in [162] proposed a cascaded channel estimator with low pilot overhead for mmWave massive MISO systems. The proposed solution exploits the sparsity and correlation of cascaded channels in mmWave massive MISO systems. Another recent work in [17], also proposed a channel estimator for RIS-aided massive MIMO based on exploiting spatial correlations at both the RIS and BS and random phase-shifts on the dominant paths in mobile environments. Different solutions can be found in [2], [163]–[189] and the references therein. The assortment and variety of channel estimations techniques ranging from cascaded channels, minimum variance estimators, neural networks, etc, indicates that the research community is still in pursuit of the holy grail of channel estimators suitable for RIS.

In this paper we propose adding RSMA into the mix of possible channel estimators for RIS. RSMA divides user information into two parts which are common and private parts. The common parts are encoded into one or several common streams while the privates parts are encoded into separate streams. The available CSIT (perfect or imperfect) is used to precode the streams. Therefore it is a possible candidate to be used in systems employing RIS since it is designed to be robust to imperfect CSIT [174]. The combination of RIS and RSMA is an exciting and promising area, and more research is needed to develop practical and low complexity RIS-aided communication systems.

#### B. RIS-AIDED COGNITIVE RADIO SYSTEMS

In [175], [176], the authors proposed robust CR beamforming as a solution to tackle the CSI uncertainty. CR is an effective solution to improve spectrum utilization by allowing the unlicensed SUs to share the spectrum with licensed PUs [175]. Also, RIS has been proposed as a promising approach to enhance Energy Efficiency (EE), achievable rate of the secondary system, physical layer security, resource allocation and spectrum sensing problems of CR networks through intelligently controlling the channel environment as mentioned in the following works [23], [175], [177]-[181]. In addition, RIS-enhanced energy detection has been proposed by the authors in [182] as a potential technique to enhance spectrum sensing in CR networks. Also, the integration of RIS in CR networks has the potential to provide robustness against imperfect CSI. Further studies need to be carried out to confirm this.

#### C. RIS-AIDED CELL-FREE MASSIVE MIMO SYSTEMS

Cell-Free mMIMO and RIS are two promising technologies for application to future 6G networks [28], [183]-[188]. Cell-free mMIMO systems promise to eliminate inter-cell interference by enabling multiple randomly distributed BS to cooperatively serve users without cell boundaries at the expense of high costs of hardware and power sources due to the large-scale deployment of BSs. To address this issue, the deployment of low-cost RIS can serve as a promising technology to reduce the high costs of hardware and improve the capacity and energy efficiency of cell-free mMIMO systems [28], [185]–[188]. One way RIS can enhance network capacity is via joint precoding. In [28], the authors proposed a precoding framework for wideband RIS-assisted cell-free systems. Specifically, the proposed framework maximized the weighted sum-rate subject to BS power constraint and RIS phase shift constraint. Similarly, in [29], the authors also maximized the weighted sum-rate of IRS-aided multicell MIMO systems via the joint design of TPC and the IRS continuous phase shifts subject to the individual BS power constraint and unit modulus constraint. Motivated by these works, the integration of RIS and cell-free mMIMO is an exciting and promising area of research. Therefore, more research is needed to explore the advantages and disadvantages of this integration.

#### D. RIS-AIDED LiFi

Due to the rapid increase in wireless data traffic, radio spectrum below 10 GHz is inadequate to satisfy such

demand [189], [190]. Therefore, researchers and industry specialists have proposed exploiting higher frequency bands - mmWave (30 - 300 GHz) and VLC communication (430 - 770 THz) [189], [190]. LiFi which is based on VLC offers a complete short-range bidirectional multi-user wireless networking solution, has been indicated as a possible key component in achieving 6G technology [191]. In addition, the bi-directionality and short-range makes it attractive for secure, energy and cost effective indoor, vehicular and underwater communication [191]. However, the achievable rate achieved by LiFi is hindered by the modulation bandwidth of light-emitting diodes (LEDs) and blockages due to non-LoS [191]. The application of RIS to LiFi is a promising solution to address these limitations. The authors in [192] investigated the benefits of using RIS to maintain LoS in free space optical systems. Moreover, in [193]–[197] the authors studied the integration of RIS and VLC. But no studies at present apart from [191], have investigated the benefits of adding RIS into LiFi networks. Hence, further studies are needed to also understand the potential and limitations of the merger between RIS and LiFi in the areas of PLS, modulation, and LoS blockages as proposed in [191].

#### **IV. CONCLUSION**

In this paper, we survey recent methodologies of RIS integration with other emerging technologies and identify future research directions for RIS. In addition, this paper provides broad topics of research regarding RIS and the benefits of RIS to existing wireless networks. This study considers lots of perspectives from physical characterization and channel modeling, to key results reported in recent studies, mainly focusing on system performance metrics including outage probability, ergodic capacity, throughput, BER. Further, optimization along with solution methods are introduced for RIS-aided wireless systems. The current papers have identified existing problems and solution methods for RIS-assisted wireless systems, such as imperfect hardware, partial CSI channel information, and security. In the current literature, lots of applications are studied but more practical scenarios need to be further addressed. The system models and performance analysis are provided through significant performance improvement which can be verified by numerical results and simulations, but these results need more comparisons. In future work, by exploring more sophisticated optimization algorithms, the RIS-assisted wireless systems are expected to achieve a higher spectrum, energy efficiency, than that in the current literature. This will open a significantly larger space to explore than the research scope in the current literature. We hope that this survey will create more interest and direction for researchers and industry practitioners in the area of RIS for 6G.

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MUNYARADZI MUNOCHIVEYI was born in Harare, Zimbabwe. He received the B.S. degree in electrical engineering from the University of Cape Town, in 2007, the M.S. degree in electronic engineering from Tianjin University of Technology and Education, Tianjin, China, in 2012, and the D.Eng. degree in communication and information systems from Jilin University, Changchun, China, in 2017. He is currently a Senior Lecturer with the University of Zimbabwe and a Research Assistant with the WICOM Laboratory led by Dr. Thuan. His research interests include

wireless communications, machine learning, and robotics.



ARJUN CHAKRAVARTHI POGAKU born in India. He received the M.E. degree from Asia University, Taichung, Taiwan, where he is currently pursuing the Ph.D. degree with the Department of Computer Science and Information Engineering. He is currently a member of WICOM Laboratory, Asia University, led by Dr. Dinh-Thuan Do. His research interests include wireless communications and satellite communications.



DINH-THUAN DO (Senior Member, IEEE) received the B.S., M.Eng., and Ph.D. degrees in communications engineering from Viet Nam National University (VNU-HCM), in 2003, 2007, and 2013, respectively. He was a Visiting Ph.D. Student with the Communications Engineering Institute, National Tsing Hua University, Taiwan, from 2009 to 2010. Prior to joining Asia University, he was an Assistant Professor with Ton Duc Thang University and a Senior Engineer with

VinaPhone Mobile Network. His publications include over 85 SCIE/SCIindexed journal articles. He is a sole author in one textbook and one book chapter. He was a recipient of the Golden Globe Award from Vietnam Ministry of Science and Technology, in 2015 (Top ten excellent young scientists nationwide). He is currently serving as an Editor for Computer Communications (Elsevier) and KSII Transactions on Internet and Information Systems, and an Associate Editor for EURASIP Journal on Wireless Communications and Networking (Springer) and Electronics. He was a Lead Guest Editor for the Special Issue on Recent Advances for 5G: Emerging Scheme of NOMA in Cognitive Radio and Satellite Communications of Electronics, in 2019; Special Issue on Massive Sensors Data Fusion for Health-Care Informatics of Annals of Telecommunications (Springer), in 2020; Special Issue on Power Domain-Based Multiple Access Techniques in Sensor Networks of International Journal of Distributed Sensor Networks (IJDSN), in 2020; and Special Issue on UAV-Enabled B5G/6G Networks: Emerging Trends and Challenges of Physical Communication (Elservier), in 2020.



ANH-TU LE (Member, IEEE) was born in Lam Dong, Vietnam, in 1997. He is pursuing the Ph.D. degree in communication and information system the field of wireless communication. He is currently a Research Assistant with the WICOM Laboratory, which was led by Dr. Thuan. He has authored or coauthored over 25 technical articles published in peer-reviewed international journals (SCIE). His research interests include the wireless channel modeling, NOMA, cognitive radio, and MIMO



MIROSLAV VOZNAK (Senior Member, IEEE) received the Ph.D. degree in telecommunications from the Faculty of Electrical Engineering and Computer Science, VSB-Technical University of Ostrava, in 2002, and the Habilitation degree, in 2009. He was appointed as a Full Professor in electronics and communications technologies, in 2017. His research interests include ICT, especially on quality of service and experience, network security, wireless networks, and big data

analytics. He has authored and coauthored over 100 articles in SCI/SCIE journals. According to the Stanford University study released, in 2020, where he is one of the World's Top 2% of Scientists in Networking and Telecommunications and Information and Communications Technologies. He served as a General Chair of the 11th IFIP Wireless and Mobile Networking Conference, in 2018, and the 24th IEEE/ACM International Symposium on Distributed Simulation and Real Time Applications, in 2020. He participated in six projects funded by the EU in programs managed directly by European Commission. He is currently a Principal Investigator in the research project QUANTUM5 funded by NATO, which focuses on the application of quantum cryptography in 5G campus networks.



NHAN DUC NGUYEN received the M.Eng. degree in electronic materials from the International Training Institute for Materials Science (ITIMS), Hanoi University of Technology, in 1998, and the Ph.D. degree in electrical and computer systems engineering from Monash University, Australia, in 2011. He has been a Lecturer with the Faculty of Telecommunications, Post and Telecommunication Institute of Technology, Vietnam, since 1999. He served as the Head for the

Signals and Systems Department, Post and Telecommunication Institute of Technology, from 2014 to 2020. He is currently serving as a Systems Engineering Director for the Innovation Center, Van Lang University. His research interests include optical communications, numerical modeling and analysis, signal processing, and sensor data processing in machine learning.