## IEEE TCCN Special Section Editorial: Intelligent Surfaces for Smart Wireless Communications

E ARE delighted to introduce the readers to this special section of the IEEE TRANSACTIONS ON COGNITIVE COMMUNICATIONS AND NETWORKING (TCCN), which aims at reporting the latest most promising research advances in modeling, analysis, fabrication design, algorithms, and communication protocols of future wireless networks with reconfigurable intelligent surfaces (RISs), and at identifying new research directions in this emerging field of research. We have received a total number of 22 submissions, and after a rigorous review process, 12 articles have been selected for publication, which are briefly discussed in the sequel.

The first article, titled "On the Performance of RIS-Assisted Dual-Hop Mixed RF-UWOC Systems" by Li et al., investigates the performance of an RIS-assisted dual-hop mixed radio-frequency underwater wireless optical communication (RF-UWOC) system, where a ground source does not have a reliable direct link to a given marine buoy. Analytical expressions of the outage probability, average bit error rate, and average channel capacity are derived assuming fixed-gain amplify-and-forward and decode-and-forward relaying protocols at the marine buoy. Numerical results demonstrate that RIS-assisted systems can effectively improve the performance of RF-UWOC systems.

The second article, titled "Joint Transmit Beamforming and Phase Shift Design for Reconfigurable Intelligent Surface Assisted MIMO Systems" by Wang et al., aims to maximize the ergodic spectral efficiency (SE) of an RIS-assisted multiple-input multiple-output (MIMO) system under spatial fading correlations, with the statistical channel state information known at the transmitter and the RIS. A benchmark algorithm based on the semidefinite relaxation technique is proposed to jointly optimize the beamforming vector at the transmitter and phase shift matrix of the RIS. Then, the dominant eigen direction transmission scheme is applied for beamforming in order to reduce the complexity of the algorithm. Numerical results show the tightness of the upper bounds and the effectiveness of the proposed algorithm for improving the ergodic SE.

The third article, titled "Reconfigurable Intelligent Surface Assisted Mobile Edge Computing With Heterogeneous Learning Tasks" by Huang et al., presents an RIS-assisted mobile edge computing system with learning-driven tasks. The design of a learning-efficient system is proposed by jointly optimizing the transmit power of the mobile users, the beamforming vectors of the base station (BS) and the phaseshift matrix of the RIS by using an alternating optimization

framework. Simulation results demonstrate the validity of the learning error model and the superiority of the proposed scheme over various benchmarks. Also, a unified sensingcommunication-learning platform is developed based on the CARLA platform and the SECOND network.

The fourth article, titled "Multi-Dimensional Polarized Modulation for Land Mobile Satellite Communications" by Qian et al., proposes a novel multiple-input multiple-output transmission scheme for dual-polarized land mobile satellite communications, called generalized polarized enhanced spatial modulation (GPESM). A theoretical upper bound for the average bit error probability is derived. Two novel power allocation algorithms are introduced for improving the system reliability. To enhance the spatial diversity gain, an RIS-assisted GPSEM system is proposed and the corresponding power allocation algorithms are proposed. Simulation results show that the RISassisted GPESM system can significantly improve the system performance.

The fifth article, titled "RIS Configuration, Beamformer Design, and Power Control in Single-Cell and Multi-Cell Wireless Networks" by Buzzi et al., tackles the problem of signal-to-interference plus noise ratio (SINR) maximization with respect to the RIS configuration and to the BS beamformer for a single-user setting. For a multi-user multi-cell scenario, the geometric mean of the SINRs is maximized with respect to the BS transmit power vectors and the RIS configuration, assuming that some of the users are jointly served by two BSs. Numerical results show that the beneficial impact on the system performance of the presence of an RIS and of the described optimization procedures.

The sixth article, titled "Beyond Cell-Free MIMO: Energy Efficient Reconfigurable Intelligent Surface Aided Cell-Free MIMO Communications" by Zhang et al., introduces a hybrid beamforming scheme that consists of digital beamforming at the BSs and RIS-based analog beamforming to maximize the energy efficiency in an RIS-aided cell-free MIMO system. The energy efficiency maximization problem is formulated and an iterative algorithm is designed to solve it. The impact of the transmit power, the number of RISs, and the RIS size on the energy efficiency are investigated. Numerical evaluations show that the proposed system can achieve a higher energy efficiency than conventional schemes.

The seventh article, titled "Energy Efficient Reconfigurable Intelligent Surface Enabled Mobile Edge Computing Networks With NOMA" by Li et al., investigates an RIS-aided singlecell multi-user mobile edge computing system where the users communicate with a BS based on a non-orthogonal multiple access (NOMA) protocol. The sum energy consumption minimization problem is formulated by jointly optimizing

2332-7731 © 2021 IEEE. Personal use is permitted, but republication/redistribution requires IEEE permission.

Digital Object Identifier 10.1109/TCCN.2021.3078418

See https://www.ieee.org/publications/rights/index.html for more information.

the phase shifters, the size of the transmission data, the transmission rate, the power control, the transmission time, and the decoding order. Since the resulting problem is nonconvex, the block coordinate descent method is used to alternately optimize two separated subproblems, which are tackled with the dual and penalty methods. Numerical results demonstrate that the proposed scheme can increase the energy efficiency and can achieve significant performance gains over the three benchmark schemes.

The eighth article, titled "Channel Estimation Method and Phase Shift Design for Reconfigurable Intelligent Surface Assisted MIMO Networks" by Mirza *et al.*, proposes a two-stage channel estimation method for RIS-aided MIMO time-division duplexing systems, where a bilinear adaptive vector approximate message passing (BAdVAMP) algorithm is used to estimate the RIS channels accurately and robustly. A phase shift design (passive beamforming) is also proposed for the RIS by formulating an optimization problem that maximizes the total channel gain at the receiver. Numerical results show that the proposed BAdVAMP-based RIS channel estimation performs better than its counterpart bilinear generalized AMP (BiGAMP) scheme.

The ninth article, titled "Channel Estimation Approach for RIS Assisted MIMO Systems" by Shtaiwi *et al.*, investigates the channel estimation problem for RIS-aided multi-user MIMO uplink systems. An algorithm is proposed to estimate the composite channel, the separate RIS-based channels, and the direct channel for the RIS-assisted system by exploiting the properties of symmetric positive definite matrices. A simple passive pilot sequence scheduling scheme is further proposed to jointly adjust the phase shift coefficients of the RIS elements. Simulation results justify the efficiency and effectiveness of the proposed approach algorithm.

The tenth article, titled "Weighted Sum-Rate Maximization for Multi-IRS-Assisted Full-Duplex Systems With Hardware Impairments" by Saeidi et al., investigates how multiple intelligent reflecting surfaces (IRSs) affect the performance of multi-user full-duplex communication systems under hardware impairments at each node, wherein the BS and the uplink users are subject to maximum transmission power constraints. The authors formulate the resource allocation design problem, to maximize the system weighted sum-rate as an optimization problem, which jointly optimizes the beamforming and the combining vectors at the BS, the transmit powers of the uplink users, and the phase shifts of multiple IRSs. An efficient iterative alternating approach is further proposed to obtain a suboptimal solution for the considered problem. Numerical results are presented to identify how multiple IRSs enhance the performance metric under hardware impairments.

The eleventh article, titled "Delay-Constrained Joint Power Control, User Detection and Passive Beamforming in Intelligent Reflecting Surface-Assisted Uplink mmWave System," authored by Cao *et al.*, proposes a novel IRSassisted mmWave system to minimize the user power, by jointly optimizing the transmit powers of the devices, the multi-user detector at the BS, and the passive beamforming at the IRS, thereby overcoming the impact of blockages. To solve this problem, an alternating optimization framework is developed to decompose the joint optimization problem into three subproblems that are iteratively optimized till convergence. Numerical results corroborate the effectiveness of the proposed scheme in terms of power saving, as compared with a semidefinite relaxation-based alternative.

Finally, the last article, "A 3D Non-Stationary Channel Model for 6G Wireless Systems Employing Intelligent Reflecting Surfaces With Practical Phase Shifts" by Sun *et al.*, proposes a three-dimensional (3D) geometry based stochastic model for a massive MIMO communication system employing practical discrete IRSs. The proposed channel model supports the scenario where both transceivers and environments move. The evolution of clusters in the space domain and the impact of discrete phase shifts are considered in the channel model. Through statistical analysis, the non-stationary properties of the channel are investigated. The time auto-correlation function of continuous-type and discrete-type phase shifts are compared against each other.

Our Guest Editorial team is pleased with the technical depth and span of this Special Section in IEEE TCCN. We also recognize that it cannot cover all open research issues that pertain to the application of RISs in future wireless communications and networks. We sincerely thank all the authors and reviewers for their efforts, and the Editor-in-Chief and Staff Members for their gracious support. We hope that the readers will enjoy this special section.

> CHAU YUEN Singapore University of Technology and Design Singapore

GEORGE C. ALEXANDROPOULOS National and Kapodistrian University of Athens 15772 Athens, Greece

XIAOJUN YUAN University of Electronic Science and Technology of China Chengdu 610054, China

> MARCO DI RENZO CNRS - CentraleSupelec, Paris-Saclay University 91190 Gif-sur-Yvette, France

MÉROUANE DEBBAH Lagrange Mathematical and Computing Research Center Paris, France



**Chau Yuen** (Fellow, IEEE) received the B.Eng. and Ph.D. degrees from Nanyang Technological University, Singapore, in 2000 and 2004, respectively. He was a Postdoctoral Fellow with Lucent Technologies Bell Labs, Murray Hill, in 2005, and a Visiting Assistant Professor with The Hong Kong Polytechnic University in 2008. From 2006 to 2010, he was with the Institute for Infocomm Research, Singapore. Since 2010, he has been with the Singapore University of Technology and Design. He was a recipient of the Lee Kuan Yew Gold Medal, the Institution of Electrical Engineers Book Prize, the Institute of Engineering of Singapore Gold Medal, the Merck Sharp and Dohme Gold Medal, and twice a recipient of the Hewlett Packard Prize. He received the IEEE Asia–Pacific Outstanding Young Researcher Award in 2012 and the IEEE TRANSACTIONS ON COMMUNICATIONS and the IEEE TRANSACTIONS ON VEHICULAR TECHNOLOGY, where he was awarded as the Top Associate Editor from 2009 to 2015. He served as the Guest Editor for several special issues, including IEEE JOURNAL ON SELECTED AREAS IN COMMUNICATIONS,

*IEEE Communications Magazine*, and IEEE TRANSACTIONS ON COGNITIVE COMMUNICATIONS AND NETWORKING. He is a Distinguished Lecturer of the IEEE Vehicular Technology Society.



**George C. Alexandropoulos** (Senior Member, IEEE) received the Engineering Diploma degree in computer engineering and informatics, the M.A.Sc. degree (with Distinction) in signal processing and communications, and the Ph.D. degree in wireless communications from the University of Patras (UoP), Rio-Patras, Greece, in 2003, 2005, and 2010, respectively.

Since 2001, he has held research positions with various Greek universities and research institutes (UoP, the University of Peloponnese, the Technical University of Crete, the National Center for Scientific Research "Demokritos," the National Observatory of Athens, the Institute of Accelerating Systems and Applications, the Athens Information Technology Center for Research and Education, and the ATHENA Research and Innovation Center), where he technically managed several national, European, and international research and development projects, and lectured mathematics and computer engineering courses. From 2014 to January 2019, he was a Senior Research Engineer with the Mathematical and Algorithmic Sciences Lab, Paris Research Center, Huawei Technologies France SASU delivering RAN solutions for 5G NR and beyond, and

mainly for full duplex and massive MIMO, beamforming management, and reconfigurable metasurfaces. He is currently an Assistant Professor for Wireless Communication Systems and Signal Processing with the Department of Informatics and Telecommunications, National and Kapodistrian University of Athens, Greece. His research and development activities span the general areas of algorithmic design, optimization, and performance analysis for wireless communication networks with emphasis on multiantenna systems, transceiver hardware architectures and metasurfaces, high-frequency communications, and distributed machine-learning algorithms. He has received scholarships for his postgraduate and Ph.D. studies, a Student Travel Grant for the 2010 IEEE Global Telecommunications Conference, the Best Ph.D. Thesis Award 2010 by a Greek University in the fields of informatics and telecommunications, and the IEEE Communications Society Best Young Professional in Industry Award 2018. He currently serves as an Editor for IEEE TRANSACTIONS ON WIRELESS COMMUNICATIONS, IEEE COMMUNICATIONS LETTERS, *Computer Networks* (Elsevier), *Frontiers in Communications and Networks*, and the *ITU Journal on Future and Evolving Technologies*, as well as a guest editor for various IEEE journals. He is a Senior Member of the IEEE Communications and Signal Processing Societies as well as a Professional Engineer of the Technical Chamber of Greece. More information is available at www.alexandropoulos.info.



**Xiaojun Yuan** (Senior Member, IEEE) received the Ph.D. degree in electrical engineering from The City University of Hong Kong, Hong Kong, in 2008. From 2009 to 2011, he was a Research Fellow with the Department of Electronic Engineering, The City University of Hong Kong. He was also a Visiting Scholar with the Department of Electrical Engineering, The University of Hawai'i at Mānoa in Spring and Summer 2009, as well as in Spring and Summer 2010. From 2011 to 2014, he was a Research Assistant Professor with the Institute of Network Coding, The Chinese University of Hong Kong. From 2014 to 2017, he was an Assistant Professor with the School of Information Science and Technology, ShanghaiTech University. He is currently a Professor with the Center for Intelligent Networking and Communications, University of Electronic Science and Technology of China, Chengdu, China. His research interests cover a broad range of signal processing, machine learning, and wireless communications, including but not limited to multiantenna and cooperative communications, sparse and structured signal recovery, Bayesian approximate inference, and network coding. He has authored or coauthored more than 160 peer-

reviewed research papers in the leading international journals and conferences in the related areas. He was the co-recipient of the Best Paper Award of IEEE International Conference on Communications 2014 and the Best Journal Paper Award of IEEE Technical Committee on Green Communications and Computing 2017. He has served on a number of technical programs for international conferences. He has been an Editor of the IEEE TRANSACTIONS ON COMMUNICATIONS since 2017 and the IEEE TRANSACTIONS ON WIRELESS COMMUNICATIONS since 2018.



**Marco Di Renzo** (Fellow, IEEE) received the Laurea (*cum laude*) and Ph.D. degrees in electrical engineering from the University of L'Aquila, Italy, in 2003 and 2007, respectively, and the Habilitation Diriger des Recherches (Doctor of Science) degree from University Paris-Sud, France, in 2013. Since 2010, he has been with the French National Center for Scientific Research (CNRS), where he is a CNRS Research Director (CNRS Professor) with the Laboratory of Signals and Systems, Paris-Saclay University—CNRS and CentraleSupelec, Paris, France. In Paris-Saclay University, he serves as the Coordinator of the Communications and Networks Research Area of the Laboratory of Excellence DigiCosme, and as a member of the Admission and Evaluation Committee of the Ph.D. School on Information and Communication Technologies. He has received several individual distinctions and research awards, which include the IEEE Communications Society Best Young Researcher Award for Europe, Middle East, and Africa, the Royal Academy of Engineering Distinguished Visiting Fellowship, the IEEE Jack Neubauer Memorial Best System Paper Award, the IEEE Communications Society Young Professional in Academia Award, the

SEE-IEEE Alain Glavieux Award, and the 2019 IEEE ICC Best Paper Award. In 2019, he was a recipient of a Nokia Foundation Visiting Professorship for conducting research on metamaterial-assisted wireless communications at Aalto University, Finland. He currently serves as the Editor-in-Chief of IEEE COMMUNICATIONS LETTERS and is a Distinguished Speaker of the IEEE Vehicular Technology Society. From 2017 to 2020, he was a Distinguished Lecturer of the IEEE Vehicular Technology Society and IEEE Communications Society. He also served as an Editor and the Associate Editor-in-Chief for IEEE COMMUNICATIONS LETTERS, and as an Editor for IEEE TRANSACTIONS ON COMMUNICATIONS and IEEE TRANSACTIONS ON WIRELESS COMMUNICATIONS. He currently serves as the Founding Chair of the Special Interest Group on Reconfigurable Intelligent Surfaces of the Wireless Technical Committee of the IEEE Communications Society, and is the Founding Lead Editor of the IEEE Communications Society Best Readings in Reconfigurable Intelligent Surfaces. He was a Highly Cited Researcher (Clarivate Analytics) in 2019, a World's Top 2% Scientist from Stanford University in 2020, and a Fellow of IET in 2020.



**Mérouane Debbah** (Fellow, IEEE) received the M.Sc. and Ph.D. degrees from Ecole Normale Superieure Paris-Saclay, France. He was with Motorola Labs, Saclay, France, from 1999 to 2002, and also with the Vienna Research Center for Telecommunications, Vienna, Austria, until 2003. From 2003 to 2007, he was an Assistant Professor with the Mobile Communications Department, Institut Eurecom, Sophia Antipolis, France. In 2007, he was appointed as a Full Professor with CentraleSupelec, Gif-sur-Yvette, France. From 2007 to 2014, he was the Director of the Alcatel-Lucent Chair on Flexible Radio. Since 2014, he has been the Vice-President of the Huawei France Research Center. He is jointly the Director of the Mathematical and Algorithmic Sciences Lab as well as the Lagrange Mathematical and Computing Research Center. He has managed eight EU projects and more than 24 national and international projects. His research interests lie in fundamental mathematics, algorithms, statistics, information, and communication sciences research. He was a recipient of the ERC Grant MORE (Advanced Mathematical Tools for Complex Network Engineering) from 2012 to 2017. He is an EURASIP Fellow, a WWRF Fellow, and a Membre émérite SEE.