Protect Your Chip Design Intellectual Property: An Overview

Johann Knechtel, Satwik Patnaik, and Ozgur Sinanoglu * Tandon School of Engineering, New York University, New York, USA Division of Engineering, New York University Abu Dhabi, United Arab Emirates {johann,sp4012,ozgursin}@nyu.edu

ABSTRACT

The increasing cost of integrated circuit (IC) fabrication has driven most companies to "go fabless" over time. The corresponding outsourcing trend gave rise to various attack vectors, e.g., illegal overproduction of ICs, piracy of the design intellectual property (IP), or insertion of hardware Trojans (HTs). These attacks are possibly conducted by untrusted entities residing all over the supply chain, ranging from untrusted foundries, test facilities, even to end-users. To overcome this multitude of threats, various techniques have been proposed over the past decade. In this paper, we review the landscape of IP protection techniques, which can be classified into logic locking, layout camouflaging, and split manufacturing. We discuss the history of these techniques, followed by state-of-the-art advancements, relevant limitations, and scope for future work.

KEYWORDS

Hardware Security, Intellectual Property, Design for Trust, Logic Locking, Layout Camouflaging, Split Manufacturing

1 INTRODUCTION

As our modern lives are more and more dependent on ubiquitous information technology, it is critical, yet highly challenging, to ensure the security and trustworthiness of the underlying integrated circuits (ICs). For example, researchers have cautioned against powerful attacks on the speculative execution of processor ICs [1, 2], or profiled the side-channel leakage of cryptographic modules [3]. Besides such concerns regarding security at runtime, protecting against other threats such as reverse engineering (RE), intellectual property (IP) piracy, illegal overproduction, or insertion of hardware Trojans (HTs) is another challenge. Note that these threats arise due to the globalized and distributed nature of modern IC supply chains, which span across many parties and countries [4].

Over the last decade, a multitude of protection schemes have been proposed (and selectively already implemented in silicon), which can be broadly classified into *logic locking*, *layout camouflaging*, and *split manufacturing*. All these techniques seek to protect the hardware from different attackers, which include untrusted foundries, untrusted testing facilities, untrusted end-users, or a combination thereof (Table 1).

In this paper, we present an overview of the different schemes while discussing the threat models, recent developments, attack

Table 1: IP Protection Techniques Versus Untrusted Entities
(✓: Protection Offered, ४: No Protection Offered)

Technique	FEOL/BEOL Foundry	Test Facility	End-User
Logic Locking	J/J	✓ (see also [5])	1
Layout Camouflaging	$X/X (\checkmark/X [6], \checkmark/\checkmark [7])$	X (√ [7])	1
Split Manufacturing	✓/X (X/√ [8])	×	X (√ [9, 10])

avenues, limitations, and future directions for research. This paper also serves as a follow-up to an earlier survey [11].

2 LOGIC LOCKING

2.1 Concept

Logic locking (LL) protects the IP by inserting dedicated locks, which are operated by a secret key. Hence, a locked circuit holds additional inputs, known as *key inputs*, which are to be driven by an on-chip tamper-proof memory. The locks are commonly realized by additional, interposed logic (e.g., XOR/XNOR gates, AND/OR gates or look-up tables (LUTs)). Only after manufacturing (but before deployment), the locked IC is to be activated by loading the secret key onto the chip's dedicated, tamper-proof memory by some trusted entity. Note that the secure realization of tamper-proof memories remains under research and development [12, 13].

2.2 Threat Model

In general, a threat model describes the attackers' capabilities and the resources at their disposal. It also classifies entities as trusted or untrusted. The threat model for LL can be summarized as follows:

- The design house is considered *trusted*, and so are the designers as well as the electronic design automation (EDA) tools they work with, whereas the foundry, the test facility, and the end-user(s) are all considered *untrusted*.
- The attackers know the LL scheme which has been applied.
- The attackers have access to the locked netlist (e.g., by RE). Hence, they can identify the key inputs and the related logic, but are oblivious to the actual, secret key.
- The secret key cannot be tampered with, as it is programmed in a tamper-proof memory.
- The attackers are in possession of an already functional chip, e.g., bought from the open market. This chip can act as an "oracle" for evaluating input/output patterns.

Without knowledge of the secret key, LL ensures that: (i) the details of the original design cannot be fully recovered; (ii) the IC is non-functional, i.e., it produces incorrect outputs; and (iii) targeted insertion of HTs is difficult—an attacker, in absence of the recovered design, cannot readily locate the appropriate places to insert HTs.

^{*}J. Knechtel and S. Patnaik contributed equally. This work was supported in part by NYUAD REF under Grant RE218 and by NYU/NYUAD CCS.

COINS, May 5-7, 2019, Crete, Greece

^{© 2019} Association for Computing Machinery.

This is the author's version of the work. It is posted here for your personal use. Not for redistribution. The definitive Version of Record was published in *INTERNATIONAL* CONFERENCE ON OMNI-LAYER INTELLIGENT SYSTEMS (COINS), May 5–7, 2019, Crete, Greece, https://doi.org/10.1145/3312614.3312657.

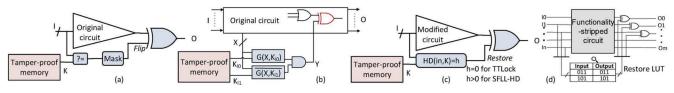


Figure 1: Selected, SAT-resilient locking schemes: (a) SARLock, (b) Anti-SAT, (c) TTLock/SFLL-HD, and (d) SFLL-flex. © 2017 IEEE. Reprinted, with permission, from [14].

2.3 Logic Locking Schemes and Attacks

Early research proposed various defenses—random locking (*RLL*) [15], fault-analysis-based locking (*FLL*) [16], and strong interference-based locking (*SLL*) [17]—protecting against brute-force and other simple attacks. Note that these techniques identify suitable but selected locations for embedding the key; multiple attacks have soon undermined those early, selective schemes [17–19].

In 2015, Subramanyan *et al.* [20] challenged the security of all then-known LL schemes. This attack leverages Boolean satisfiability (SAT) to compute so-called *discriminating input patterns (DIPs)*; a DIP generates different outputs for the same input across (at least) two different key values. As the outputs are different, one or even both of the key values is/are incorrect. The attack stepwise evaluates different DIPs until all the incorrect keys have been pruned. The attack experiences its worst-case scenario when it can eliminate only one incorrect key per DIP; here, $2^k - 1$ DIPs are required to resolve *k* key bits. In general, the SAT attack resilience of any locking scheme can be represented by the number of DIPs required to decipher the correct key [21].

In 2016, *SARLock* [22] and *Anti-SAT* [21] were put forward as defense schemes against the SAT-based attack [20]. *SARLock* (Fig. 1(a)) employs controlled corruption of the output, across all incorrect key values, for exactly one input pattern. *SARLock* can also be integrated with other high-corruptibility schemes (e.g., *FLL* or *SLL*) to provide a two-layer defense. In *Anti-SAT* [21], two complementary logic blocks, embedded with the key gates, converge at an AND gate (Fig. 1(b)). The output of this AND gate is always '0' for the correct key; for the incorrect key, it may be '1' or '0', depending on the inputs. This AND gate then feeds an additional XOR gate which is interposed into the original design, thereby possibly inducing incorrect outputs for incorrect key values. Both schemes utilize the concept of *one-point functions* and enforce low output corruptibility to obtain resilience against the SAT-based attack.

The two-layer defense of *SARLock* was *approximately* circumvented by *AppSAT* [23, 24] and *Double DIP* [25]. In both the attacks, the combination of a low-corruption part (resilient to SAT attacks) and a high-corruption part (prone to SAT attacks) is reduced to the low-corruption part (e.g., *SARLock + SLL* to *SARLock*). Moreover, *Double DIP* [25] can eliminate at least two incorrect keys in each iteration, thereby increasing the attack efficiency. For *Anti-SAT*, the two complementary blocks at its heart exhibit significant signal skews, rendering them distinguishable from other logic, which is exploited by Yasin *et al.* in the *signal probability skew* (*SPS*) attack [26]. Moreover, both *SARLock* and *Anti-SAT* are vulnerable to the *bypass attack* [27]. This attack picks some key randomly and determines the inputs that provide incorrect outputs for this chosen key. Then, additional logic is constructed around the *Anti-SAT/SARLock* blocks to recover the overall circuit from these incorrect outputs.

To summarize, although *SARLock* and *Anti-SAT* demonstrate superior resilience against the seminal SAT attack [20], they remain vulnerable to other variants of SAT attacks (e.g., *AppSAT*, *Double DIP*) as well as structural attacks (e.g., *SPS*, *bypass attack*). Also note that both schemes keep the to-be-protected IP largely as is, thereby opening the doors to *removal attacks* [28, 29].

2.4 Advanced Logic Locking Schemes

In *TTLock*, the original logic is modified for exactly one input pattern [30]. The output for this protected pattern is restored using a comparator block, as illustrated in Fig. 1(c). Even if an attacker succeeds to remove the comparator block, she/he obtains a design different from the original one (albeit for only one input pattern).

Following on the heels of *TTLock*, Yasin *et al.* [28] proposed *stripped functionality logic locking (SFLL). SFLL* is resilient against most current attacks, and it enables to trade-off between resilience against SAT attacks and removal attacks [28]. It is based on the notion of "strip and restore," where some part of the original design is removed and the intended functionality is concealed. The authors also implemented a chip demonstrator in GLOBALFOUNDRIES 65nm technology. SFLL has three variants, *SFLL-HD* [28], *SFLL-flex* [28], and *SFLL-fault* [31, 32], which we all discuss briefly below.

SFLL-HD is a generalized version of *TTLock* which allows the designer to protect a larger number of selected input patterns. More specifically, SFLL-HD^h protects $\binom{k}{h}$ input cubes which are Hamming distance (HD) *h* away from the *k*-bit secret key.¹ The values for *k* and *h* dictate the trade-off between SAT attack resilience and removal attack resilience. It should also be noted that *SFLL-HD* protects a restricted set of input cubes, which are all underpinned by one secret key. *SFLL-flex*^{c×k}, in contrast, allows to protect any *c* selected input cubes, each with *k* specified bits. Here, the protected patterns are typically represented using a small set of input cubes, which are then stored in an on-chip LUT (Fig. 1(d)).

Both *SFLL-HD* and *SFLL-flex*^{$c \times k$} utilize AND-trees which leave structural hints for an opportune attacker. Such an attack has been demonstrated recently by Sirone *et al.* [33]; the authors deciphered the key successfully (even without oracle access).

Sengupta *et al.* [31, 32] proposed *SFLL-fault*, where a fault injectionbased heuristic is leveraged to identify and protect multiple patterns. Notably, the authors were able to demonstrate 35% area cost reduction when compared to *SFLL-flex*^{$c \times k$}. At the time of writing, no attacks have been demonstrated on *SFLL-fault* yet.

Shamsi et al. [34] presented a layout-centric LL scheme, based on routing cross-bars comprising obfuscated and configurable vias.

¹Input cubes are partially-specified input patterns; some input bits are set (to '0' or '1') while others are set to *don't care* ('X'). An n-bit input cube with k set bits (or care bits) encompasses 2^{n-k} input patterns [28].

Protect Your Chip Design Intellectual Property: An Overview

Finally, the notion of *cyclic locking* has been proposed in [35] and extended in [36]. The idea is to create supposedly unresolvable locking instances by introducing feedback cycles. However, tailored SAT formulations have challenged such locking schemes [37, 38].

2.5 Future Directions for Logic Locking

Recent works have proposed *parametric locking* [30, 39–41]; the essence is to lock design parameters and profiles. For example, in [39], the key not only protects the functionality of the design but also its timing profile. A functionally-correct but timing-incorrect key will result in timing violations, thereby leading to circuit malfunctions. A *timing-based SAT* attack, presented in [42], circumvented the timing locking approach in [39]. Therefore, further research into *parametric locking* is required. Finally, *mixed-signal locking* has been advocated recently as well, e.g., in [43, 44].

3 LAYOUT CAMOUFLAGING

3.1 Concept

The objective for layout camouflaging (LC) is to mitigate RE attacks, i.e., reverse engineering of the chip IP (conducted by malicious endusers). LC seeks to alter the appearance of a chip in order to cloak the chip IP. That is, LC obfuscates the design information at the device level (Fig. 2). Obfuscation can also be conducted at the logic and system level (e.g., obfuscating the finite state machine [45]); such techniques are orthogonal to LC. See also [46] for an overview.

3.2 Threat Model

The threat model for LC is summarized as follows:

- The design house and foundry are trusted, the test facility is either trusted or untrusted, and the end-user is untrusted.
- The adversary holds one or multiple functional chip copies, and is armed with more or less sophisticated equipment and know-how to conduct RE. The resilience of any LC scheme ultimately depends on the latter.
- The adversary is aware of the LC scheme, and she/he can identify the camouflaged gates, infer all the possible functions implemented by the camouflaged cell, but cannot readily infer the actual functionality.

3.3 Layout Camouflaging Schemes and Attacks

Similar to LL, early studies focused on the selection of gates to camouflage (and the design of camouflaged cells). In their seminal work, Rajendran *et al.* [47] proposed a camouflaged NAND-NOR-XOR cell. The authors also proposed clique-based selection for LC, based on their own finding that a random selection of gates to camouflage can be resolved by sensitization-based attacks [47]. Massad *et al.* [48] and Yu *et al.* [49] formulated independently SAT-based attacks (with oracle access) which challenged the security of [47] nevertheless.² These attacks could readily circumvent small-scale LC for various benchmarks with up to 256 gates being camouflaged.

A parallel SAT attack providing an average speedup of $3.6 \times$ over prior attacks was presented by Wang *et al.* [50]. Keshavarz *et al.* [51] proposed a SAT-based formulation augmented by probing and fault injection capabilities, where the authors were able to

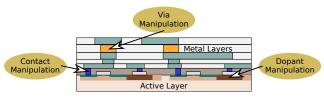


Figure 2: Device-level concepts for camouflaging.

RE an *S-Box*. Still, it remains to be seen whether the attack can tackle larger designs. In [52], Yasin *et al.* demonstrated how an untrusted test facility can circumvent the security promise of LC, even without access to an oracle. The authors deciphered LC as in [47] successfully by analyzing the test patterns provided by the design house. To the best of our knowledge, none of the LC schemes proposed thus far have been able to mitigate this kind of attack, except for the *dynamic camouflaging* scheme discussed in [7].

Many existing LC schemes, e.g., [47, 53–55], exhibit a significant cost with respect to power, performance, and area (PPA). For example, the NAND-NOR-XOR gate proposed in [47] incurs $5.5\times$ power, $1.6\times$ delay, and $4\times$ area cost when compared to a regular 2-input NAND gate. A detailed investigation of PPA cost for various schemes is given in [6]. Most LC schemes also require modifications for the front-end-of-line (FEOL) manufacturing process, which can incur financial cost on top of PPA overheads. Therefore, LC is applied rather selectively, to limit PPA cost and the impact on FEOL processing. As indicated above, however, the selective application of LC schemes can compromise their security, especially in the light of *oracle-guided SAT* attacks such as [48–50].

The notion of *provably secure camouflaging* was put forward in [56, 57]. *CamoPerturb* [56] seeks to minimally perturb the functionality of the design by either removing or adding one *minterm* (i.e., the product term of all variables). A separate block, called *CamoFix*, is then added to restore the minterm; *CamoFix* is built up using camouflaged INV/BUF cells. Inspired by LL, Li *et al.* [57] leverage AND-trees as well as OR-trees for LC. Depending on the desired security level, tree structures inherently present in the design are leveraged, or additional trees are inserted. Then, the inputs of the trees are camouflaged using dopant-obfuscated cells.

Both techniques [56, 57] have been shown to exhibit vulnerabilities: [57] was circumvented by a so-called *sensitization-guided SAT attack* (*SGS*) [29], while Jiang *et al.* [58] circumvented *CamoPerturb* using *sensitization* and *implication* principles leveraged from automated test pattern generation (ATPG). In general, these schemes are also vulnerable to *approximate* attacks outlined in [23–25]. A follow-up work to [57] is presented in [59], where the authors discuss how structural attacks like *SPS* [26] can be rendered ineffective when the trees are obfuscated both structurally and functionally.

Besides the various analytical attacks, RE may also compromise LC schemes directly. For example, ambiguous gates [47, 60] or secretly configured MUXes [55] rely on dummy contacts and/or dummy channels, which will induce different charge accumulations at runtime. Courbon *et al.* [61] leveraged scanning electron microscopy in the passive voltage contrast mode (SEM PVC) for measurement of charge accumulations, whereupon they succeeded in reading out a secured memory. Furthermore, monitoring the photon emission at runtime, as for example proposed by Lohrke *et al.* [62], can presumably also help to uncover LC.

²The essence of these attacks is similar to [20] and omitted here for brevity; interested readers are also referred to [48, 49].

COINS, May 5-7, 2019, Crete, Greece

Johann Knechtel, Satwik Patnaik, and Ozgur Sinanoglu

3.4 Advanced Layout Camouflaging Schemes

Threshold voltage-based camouflaging (TVC) has gained significant traction recently. The essence of TVC is a selective manipulation of dopants at the transistor level, to create cells which are identical structurally but operate with different functionality. Nirmala *et al.* [53] proposed TVC cells which can operate as NAND, NOR, OR, AND, XOR, or XNOR. Erbagci *et al.* [63] proposed TVC cells operating as XOR or XNOR, based on the selective use of high- and low-threshold transistors. Collantes *et al.* [54] adopted *domino logic* to implement their TVCs. Recently, Iyengar *et al.* [64] demonstrated two flavors of TVC in STMicroelectronics 65nm technology. In principle, TVC schemes offer better resilience than other LC schemes as regular etching and optical-imaging techniques are ineffective. Still, TVC may be revealed eventually, e.g., by leveraging SEM PVC [65].

Another interesting avenue is the camouflaging of the backend-of-line (BEOL), i.e., the interconnects [6, 34, 66, 67]. Chen *et al.* [66, 68] explored the use of real vias (magnesium, Mg) along with dummy vias (magnesium oxide, MgO). The authors (and others, e.g., [69]) have shown that Mg can oxidize quickly into MgO, thereby hindering an identification by an RE attacker. Recently, Patnaik *et al.* [6] extended the concept of BEOL camouflaging in conjunction with *split manufacturing* (Sec. 4), to protect against an untrusted FEOL foundry, which was a first for LC. Patnaik *et al.* developed customized cells and design stages for BEOL camouflaging, whereupon they succeeded to demonstrate full-chip camouflaging at lower PPA cost than prior works. Their study also explored how large-scale (BEOL) camouflaging can thwart SAT-based attacks, "simply" by inducing overly large and complex SAT instances.

3.5 Future Directions for Layout Camouflaging

Most schemes discussed so far cannot be configured post-fabrication, i.e., they implement static camouflaging. In contrast, Akkaya *et al.* [70] demonstrated a reconfigurable LC scheme which leverages hot-carrier injection. The authors succeeded to fabricate a prototype in 65nm technology; however, they report significant PPA cost (e.g., in comparison to regular NAND gates, they report 9.2×, 6.6×, and 7.3× for power, performance, and area, respectively).

Zhang *et al.* [71] introduced *timing-based LC*, based on wavepipelining and false paths. However, this scheme was circumvented in [72]. Besides, emerging devices are gaining traction as well in the context of LC [7, 73–75]. For example, Rangarajan *et al.* [7] explore magneto-electric spin-orbit (MESO) devices for reconfigurable LC.

In short, future work should seek to make LC more RE-resilient, leverage new devices and circuit principles, reduce dependencies on foundries, and yet enable low overheads.

4 SPLIT MANUFACTURING

4.1 Concept

Split manufacturing (SM) protects the design IP from untrustworthy foundries during manufacturing time [76]. The idea is to split up the manufacturing flow, typically into the FEOL and BEOL process steps (Fig. 3). Considered individually, the physical layout becomes a "sea of largely unconnected gates" for the FEOL foundry, whereas it becomes system-level wiring without any gate-level information for the BEOL foundry. Such splitting into FEOL and BEOL is practical for multiple reasons: (i) outsourcing the FEOL is desired, as

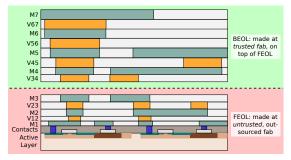


Figure 3: Classical split manufacturing, i.e., the separation into FEOL and BEOL parts. © 2018 IEEE. Reprinted, with permission, from [77].

it requires some high-end and costly facilities, (ii) BEOL fabrication on top of the FEOL is significantly less complex than FEOL fabrication itself, (iii) the sole difference for the supply chain is the preparation and shipping of FEOL wafers to the BEOL facility.

4.2 Threat Model

The basic, most common threat model is summarized as follows:

- The design house and end-user are trustworthy, while the FEOL foundry is deemed untrustworthy. SM necessitates a trusted BEOL foundry, with assembly and testing facilities typically also considered as trustworthy.
- With the design house and end-user being trusted, the adversary cannot obtain a chip copy from those entities. Besides, the chip has typically not been manufactured before; the chip is then unavailable altogether for RE attacks.
- The objective for the adversary is to infer the missing BEOL connections from the given but incomplete FEOL layout. Towards this end, she/he (i) is aware of the underlying protection scheme, if any, and (ii) has access to the utilized EDA tools, libraries, and other supporting information.

An "inverted model" model was explored in [8], where the BEOL facility is untrustworthy and the FEOL fab is trustworthy. Since fabricating the FEOL is more costly than the BEOL, the practical relevance of this model remains questionable.

Another variation of the threat model was explored by Chen and Vemuri [78]. The authors assume that a working chip is available which is then used as an oracle for a SAT-based formulation to recover the missing BEOL connections. While it is not explicitly stated in [78], we presume that the authors seek to recover the gate-level details of some design whose functionality is otherwise already available/known. For an attacker, doing so can be relevant, e.g., for inserting HTs during re-implementation of some existing design, or to obtain the IP without RE of the available chip copy.

Imeson *et al.* [79] further proposed a "strong model" in the context of HTs. Here, the attacker already holds the netlist and is interested in inserting HTs into appropriate locations. This work [79], also known as *k*-security, has been further extended in [80].

4.3 Split Manufacturing Schemes and Attacks

A first attack on SM was proposed by Rajendran *et al.* [81]. The notion of this so-called *proximity attack* is as follows: although the layout is split into FEOL and BEOL, it is still designed holistically (at least when using regular EDA tools); therefore, various hints on the

Protect Your Chip Design Intellectual Property: An Overview

BEOL can remain in the FEOL. Rajendran *et al.* [81] infer from the proximity of cells which is readily observable in the FEOL, whether they have to be connected in the BEOL. While that attack shows a good accuracy for small designs, the same is not true for larger designs. Wang *et al.* [82] extended this attack, by taking into account a multitude of FEOL-level hints: (i) physical proximity of gates, (ii) avoidance of combinatorial loops (which are rare in practice), (iii) timing and load constraints, and (iv) orientation of "dangling wires" (i.e., the wires remaining unconnected in the top-most FEOL layer). Magaña *et al.* [83] proposed various routing-based attack techniques, and they conclude that such attacks are more effective than solely placement-centric attacks. Recently, Zhang *et al.* [84] and Li *et al.* [85] leveraged machine learning as an attack framework. However, neither attack [83–85] recovers the actual netlist; rather, they provide most probable BEOL connections.

Various techniques have been proposed to safeguard FEOL layouts against proximity attacks, e.g., [77, 81–83, 86–91]. They can be categorized into (i) placement-centric, (ii) routing-centric, and (iii) both placement- and routing-centric defenses.

Among others, Wang *et al.* [82] and Sengupta *et al.* [87] propose placement perturbation. Layout randomization is most secure, especially when splitting at the first metal layer, as shown by Sengupta *et al.* [87]. However, this technique has limited scalability and significant layout cost for larger designs. In general, placement-centric works caution that splitting at higher metal layers—which helps to limit financial cost and practical hurdles for SM [77, 92]—can undermine their resilience. That is because any placement perturbation is eventually offset by routing at higher layers.

Routing-centric schemes as those in [77, 81, 83, 88, 89] resolve proximity and other hints at the FEOL routing. Rajendran *et al.* [81] proposed to swap pins of IP modules and to re-route those nets, thereby obfuscating the design hierarchy. As these swaps cover only part of the interconnects, this scheme cannot protect against gatelevel IP piracy. In fact, 87% of the connections could be correctly recovered on the *ISCAS-85* benchmarks [81]. In general, routingcentric schemes are subject to routing resources and PPA budgets, which can ease proximity attacks. For example, [88, 89] consider short routing detours, and [83] consider few routing blockages.

4.4 Advanced Split Manufacturing Schemes

Patnaik *et al.* [77] proposed various heuristics as well as custom cells for lifting wires to the BEOL in a concerted manner. The authors demonstrated a superior resilience; the state-of-the-art attack [82] could not infer any of the protected connections correctly. Later on, Patnaik *et al.* [90] proposed randomization at the netlist level, which is carried through the EDA flow, thereby resulting in an erroneous and misleading FEOL layout. The original design is only restored at the BEOL, using customized routing cells. This work is one of the first to address holistic protection of both placement and routing. The authors also demonstrated superior resilience.

Inspired by LL, Sengupta *et al.* [93] realize IP protection at manufacturing time by locking the FEOL and subsequent unlocking of the BEOL. The authors also formalize the problem of SM.

As mentioned before, Imeson *et al.* [79] formulated the notion of *k*-security to prevent targeted insertion of HTs. The idea is to create k isomorphic structures in the FEOL by guided lifting of wires to the BEOL. Now, an attacker cannot uniquely map these

k structures to some specific target in the already-known design; she/he has to either randomly guess (with a probability of 1/k) or insert multiple HTs. Li *et al.* [80] extended *k*-security in various ways. Most notably, they leverage additional gates and wires to be able to elevate the security levels beyond those achieved in [79]. Recently, Xu *et al.* [94] questioned the theoretical security of *k*security by pattern matching attacks conducted on the layout level.

Vaidyanathan *et al.* [95] advocate testing of the untrusted FEOL against HT insertion, using BEOL stacks dedicated for testability.

Finally, Xiao *et al.* [92] propose the notion of *obfuscated built-in self-authentication (OBISA)* to hinder IP piracy and HT insertion.

4.5 Future Directions for Split Manufacturing

While advanced attacks such as [84, 85] are on the rise, SM becomes inherently more resilient for larger, industrial designs. In fact, none of the existing attack works succeeded yet in fully recovering all missing BEOL connections for larger designs. Still, the crux for SM—to resolve hints from the FEOL—remains. Thus, schemes which further reduce the dependency on EDA tools (and cost) are required.

Although [93] explores the formalism of SM, a notion of *provably secure SM* remains an open problem. Finally, "entering the next dimension of SM," by leveraging the up-and-coming techniques for 3D integration, has been initiated in [9, 10, 79, 96, 97]. Further research towards this end seems promising as well.

5 SUMMARY

A multitude of techniques have been proposed to protect your chip design from attacks such as illegal overproduction, IP piracy, and insertion of Trojans. We presented an overview on logic locking, layout camouflaging, and split manufacturing—the three main categories for IP protection. We also outlined shortcomings, attack avenues, and promising directions for future research.

REFERENCES

- P. Kocher et al., "Spectre attacks: Exploiting speculative execution," arXiv, vol. abs/1801.01203, 2018.
- [2] M. Lipp et al., "Meltdown," arXiv, vol. abs/1801.01207, 2018.
- [3] L. Lerman et al., "Start simple and then refine: Bias-variance decomposition as a diagnosis tool for leakage profiling," *Trans. Comp.*, vol. 67, no. 2, pp. 268–283, 2018.
- [4] M. Rostami et al., "A primer on hardware security: Models, methods, and metrics," Proc. IEEE, vol. 102, no. 8, pp. 1283–1295, 2014.
- [5] M. Yasin *et al.*, "Activation of logic encrypted chips: Pre-test or post-test?" in *Proc. DATE*, 2016, pp. 139–144.
- [6] S. Patnaik et al., "Obfuscating the interconnects: Low-cost and resilient full-chip layout camouflaging," in Proc. ICCAD, 2017, pp. 41–48.
- [7] N. Rangarajan et al., "Opening the doors to dynamic camouflaging: Harnessing the power of polymorphic devices," arXiv, vol. abs/1811.06012, 2018.
- [8] Y. Wang et al., "Front-end-of-line attacks in split manufacturing," in Proc. ICCAD, 2017.
- [9] S. Patnaik et al., "Best of both worlds: Integration of split manufacturing and camouflaging into a security-driven CAD flow for 3D ICs," in Proc. ICCAD, 2018.
- [10] P. Gu et al., "Cost-efficient 3D integration to hinder reverse engineering during and after manufacturing," in Proc. AsianHOST, 2018, pp. 74–79.
- [11] J. Rajendran et al., "Regaining trust in VLSI design: Design-for-trust techniques," Proc. IEEE, vol. 102, no. 8, pp. 1266–1282, 2014.
- [12] P. Tuyls et al., "Read-proof hardware from protective coatings," in Proc. CHES, 2006, pp. 369–383.
- [13] S. Anceau et al., "Nanofocused X-ray beam to reprogram secure circuits," in Proc. CHES, 2017, pp. 175–188.
- [14] M. Yasin et al., "Evolution of logic locking," in Proc. VLSI SoC, 2017.
- [15] J. A. Roy et al., "Ending piracy of integrated circuits," Computer, vol. 43, no. 10, pp. 30–38, 2010.
- [16] J. Rajendran et al., "Fault analysis-based logic encryption," Trans. Comp., vol. 64, no. 2, pp. 410–424, 2015.
- [17] J. Rajendran et al., "Security analysis of logic obfuscation," in Proc. DAC, 2012.

COINS, May 5-7, 2019, Crete, Greece

- [18] S. M. Plaza et al., "Solving the third-shift problem in IC piracy with test-aware logic locking," Trans. CAD Integ. Circ. Sys., vol. 34, no. 6, pp. 961-971, 2015.
- [19] L. Li et al., "Piercing logic locking keys through redundancy identification," in Proc. DATE, 2019.
- [20] P. Subramanyan et al., "Evaluating the security of logic encryption algorithms," in Proc. HOST, 2015, pp. 137-143.
- [21] Y. Xie et al., "Mitigating SAT attack on logic locking," in Proc. CHES, 2016.
- [22] M. Yasin et al., "SARLock: SAT attack resistant logic locking," in Proc. HOST, 2016. [23] K. Shamsi et al., "AppSAT: Approximately deobfuscating integrated circuits," in
- Proc. HOST, 2017, pp. 95–100.
 [24] K. Shamsi *et al.*, "On the approximation resiliency of logic locking and IC camou-flaging schemes," *Trans. Inf. Forens. Sec.*, 2018.
- [25] Y. Shen et al., "Double DIP: Re-evaluating security of logic encryption algorithms," in Proc. GLSVLSI, 2017, pp. 179–184.
- M. Yasin et al., "Security analysis of Anti-SAT," Proc. ASPDAC, pp. 342-347, 2016. [26] X. Xu et al., "Novel bypass attack and BDD-based tradeoff analysis against all [27]
- known logic locking attacks," in Proc. CHES, 2017. [28] M. Yasin et al., "Provably-secure logic locking: From theory to practice," in Proc.
- CCS, 2017, pp. 1601-1618. [29] M. Yasin et al., "Removal attacks on logic locking and camouflaging techniques,"
- Trans. Emerg. Top. Comp., vol. PP, no. 99, 2017.
 [30] M. Yasin et al., "What to lock?: Functional and parametric locking," in Proc.
- GLSVLSI, 2017, pp. 351–356.
 [31] A. Sengupta *et al.*, "ATPG-based cost-effective, secure logic locking," in *Proc. VTS*,
- 2018, pp. 1-6.
- [32] A. Sengupta et al., "Customized locking of IP blocks on a multi-million-gate SoC," in Proc. ICCAD, 2018, pp. 59:1-59:7.
- [33] D. Sirone et al., "Functional analysis attacks on logic locking," in Proc. DATE, 2019.
- [34] K. Shamsi et al., "Cross-Lock: Dense layout-level interconnect locking using cross-bar architectures," in Proc. GLSVLSI, 2018, pp. 147-152.
- [35] K. Shamsi et al., "Cyclic obfuscation for creating SAT-unresolvable circuits," in Proc. GLSVLSI, 2017, pp. 173-178.
- [36] S. Roshanisefat et al., "SRCLock: SAT-resistant cyclic logic locking for protecting the hardware," in Proc. GLSVLSI, 2018, pp. 153-158.
- [37] H. Zhou et al., "CycSAT: SAT-based attack on cyclic logic encryptions," in Proc. ICCAD, 2017, pp. 49-56.
- [38] Y. Shen et al., "BeSAT: Behavioral SAT-based attack on cyclic logic encryption," in Proc. ASPDAC, 2019, pp. 657-662.
- [39] Y. Xie et al., "Delay locking: Security enhancement of logic locking against IC counterfeiting and overproduction," in Proc. DAC, 2017, pp. 9:1-9:6
- [40] M. Zaman et al., "Towards provably-secure performance locking," in Proc. DATE, 2018, pp. 1592-1597.
- A. Chakraborty et al., "GPU obfuscation: attack and defense strategies," in Proc. [41] DAC, 2018, pp. 122:1-122:6.
- [42] A. Chakraborty et al., "TimingSAT: timing profile embedded SAT attack," in Proc. ICCAD, 2018, pp. 6:1-6:6.
- [43] N. G. Jayasankaran et al., "Towards provably-secure analog and mixed-signal locking against overproduction," in Proc. ICCAD, 2018, pp. 7:1-7:8.
- [44] J. Leonhard et al., "MixLock: Securing mixed-signal circuits via logic locking," in Proc. DATE, 2019.
- [45] Y. Lao et al., "Obfuscating DSP circuits via high-level transformations," Trans. VLSI Syst., vol. 23, no. 5, pp. 819-830, 2015.
- [46] A. Vijayakumar et al., "Physical design obfuscation of hardware: A comprehensive investigation of device- and logic-level techniques," Trans. Inf. Forens. Sec., vol. 12, no. 1, pp. 64–77, 2017.
- [47] J. Rajendran et al., "Security analysis of integrated circuit camouflaging," in Proc. CCS, 2013, pp. 709-720.
- [48] M. E. Massad et al., "Integrated circuit (IC) decamouflaging: Reverse engineering camouflaged ICs within minutes," in Proc. NDSS, 2015, pp. 1-14.
- [49] C. Yu et al., "Incremental SAT-based reverse engineering of camouflaged logic circuits," Trans. CAD Integ. Circ. Sys., vol. 36, no. 10, pp. 1647-1659, 2017.
- [50] X. Wang et al., "Parallelizing SAT-based de-camouflaging attacks by circuit parti-tioning and conflict avoiding," *Integration*, 2018.
- [51] S. Keshavarz et al., "SAT-based reverse engineering of gate-level schematics using fault injection and probing," in *Proc. HOST*, 2018, pp. 215–220.
- [52] M. Yasin et al., "Testing the trustworthiness of IC testing: An oracle-less attack
- on IC camouflaging," *Trans. Inf. Forens. Sec.*, vol. 12, no. 11, pp. 2668–2682, 2017.
 I. R. Nirmala *et al.*, "A novel threshold voltage defined switch for circuit camouflaging," in Proc. ETS, 2016, pp. 1-2.
- [54] M. I. M. Collantes et al., "Threshold-dependent camouflaged cells to secure circuits against reverse engineering attacks," in Proc. ISVLSI, 2016, pp. 443-448.
- [55] X. Wang et al., "Secure and low-overhead circuit obfuscation technique with multiplexers," in Proc. GLSVLSI, 2016, pp. 133-136.
- [56] M. Yasin et al., "CamoPerturb: Secure IC camouflaging for minterm protection," in Proc. ICCAD, 2016, pp. 29:1-29:8.
- [57] M. Li et al., "Provably secure camouflaging strategy for IC protection," in Proc. ICCAD, 2016, pp. 28:1-28:8.
- S. Jiang et al., "An efficient technique to reverse engineer minterm protection [58] based camouflaged circuit," J. Comp. Sci. Tech., vol. 33, no. 5, pp. 998–1006, 2018.

- [59] M. Li et al., "Provably secure camouflaging strategy for IC protection," Trans. CAD Integ. Circ. Sys., vol. PP, no. 99, 2017. R. P. Cocchi *et al.*, "Circuit camouflage integration for hardware IP protection,"
- [60] in Proc. DAC, 2014, pp. 1-5.
- F. Courbon et al., "Direct charge measurement in floating gate transistors of flash [61] EEPROM using scanning electron microscopy," in *Proc. ISTEA*, 2016, pp. 1–9. H. Lohrke *et al.*, "No place to hide: Contactless probing of secret data on FPGAs,"
- [62] in Proc. CHES, 2016, pp. 147-167.
- B. Erbagci et al., "A secure camouflaged threshold voltage defined logic family," [63] in Proc. HOST, 2016, pp. 229-235.
- A. S. Iyengar et al., "Threshold defined camouflaged gates in 65nm technology [64] for reverse engineering protection," in Proc. ISLPED, 2018, pp. 6:1-6:6.
- T. Sugawara et al., "Reversing stealthy dopant-level circuits," J. Cryptogr. Eng., [65] S. Chen *et al.*, "Chip-level anti-reverse engineering using transformable intercon-
- [66] nects," in Proc. DFT, 2015, pp. 109-114.
- J.-W. Jang et al., "Threshold-defined logic and interconnect for protection against [67] reverse engineering," Trans. CAD Integ. Circ. Sys., vol. PP, no. 99, 2018.
- S. Chen et al., "A chip-level anti-reverse engineering technique," J. Emerg. Tech. [68] Comp. Sys., vol. 14, no. 2, pp. 29:1-29:20, 2018.
- S.-W. Hwang et al., "A physically transient form of silicon electronics," Science, [69] vol. 337, no. 6102, pp. 1640-1644, 2012.
- [70] N. E. C. Akkaya et al., "A secure camouflaged logic family using postmanufacturing programming with a 3.6GHz adder prototype in 65nm CMOS at 1V nominal VDD," in Proc. ISSCC, 2018.
- [71] G. L. Zhang et al., "TimingCamouflage: Improving circuit security against counterfeiting by unconventional timing," in Proc. DATE, 2018, pp. 91-96.
- [72] M. Li et al., "TimingSAT: Decamouflaging timing-based logic obfuscation," in Proc. ITC, 2018, pp. 1-10.
- [73] Y. Bi et al., "Emerging technology-based design of primitives for hardware security," J. Emerg. Tech. Comp. Sys., vol. 13, no. 1, pp. 3:1-3:19, 2016.
- F. Parveen et al., "Hybrid polymorphic logic gate with 5-terminal magnetic domain wall motion device," in *Proc. ISVLSI*, 2017, pp. 152–157. [74]
- S. Patnaik et al., "Advancing hardware security using polymorphic and stochastic [75] spin-hall effect devices," in Proc. DATE, 2018, pp. 97-102.
- C. McCants, "Trusted integrated chips (TIC)," IARPA, 2011.
- S. Patnaik et al., "Concerted wire lifting: Enabling secure and cost-effective split [77] manufacturing," in *Proc. ASPDAC*, 2018, pp. 251–258. S. Chen *et al.*, "On the effectiveness of the satisfiability attack on split manufac-
- [78] tured circuits," in Proc. VLSI SoC, 2018.
- [79] F. Imeson et al., "Securing computer hardware using 3D integrated circuit (IC) technology and split manufacturing for obfuscation," in Proc. USENIX, 2013.
- [80] M. Li et al., "A practical split manufacturing framework for trojan prevention via
- simultaneous wire lifting and cell insertion," in *Proc. ASPDAC*, 2018, pp. 265–270. J. Rajendran *et al.*, "Is split manufacturing secure?" in *Proc. DATE*, 2013. [81]
- Y. Wang et al., "The cat and mouse in split manufacturing," in Proc. DAC, 2016. [82]
- [83] J. Magaña et al., "Are proximity attacks a threat to the security of split manufac-
- turing of integrated circuits?" in *Proc. ICCAD*, 2016, pp. 90:1–90:7. B. Zhang *et al.*, "Analysis of security of split manufacturing using machine [84] learning," in Proc. DAC, 2018, pp. 141:1-141:6.
- [85] H. Li et al., "Attacking split manufacturing from a deep learning perspective," in Proc. DAC, 2019.
- [86] K. Vaidyanathan et al., "Efficient and secure intellectual property (IP) design with split fabrication," in Proc. HOST, 2014, pp. 13–18.
- [87] A. Sengupta et al., "Rethinking split manufacturing: An information-theoretic
- approach with secure layout techniques," in *Proc. ICCAD*, 2017, pp. 329–336. Y. Wang *et al.*, "Routing perturbation for enhanced security in split manufactur-[88]
- ing," in *Proc. ASPDAC*, 2017, pp. 605–610. L. Feng *et al.*, "Making split fabrication synergistically secure and manufacturable," [89] in Proc. ICCAD, 2017.
- S. Patnaik et al., "Raise your game for split manufacturing: Restoring the true [90] functionality through BEOL," in Proc. DAC, 2018, pp. 140:1-140:6.
- S. Chen et al., "Improving the security of split manufacturing using a novel BEOL [91] signal selection method," in Proc. GLSVLSI, 2018, pp. 135-140.
- K. Xiao et al., "Efficient and secure split manufacturing via obfuscated built-in [92]
- self-authentication," in *Proc. HOST*, 2015, pp. 14–19. A. Sengupta *et al.*, "A new paradigm in split manufacturing: Lock the FEOL, [93] unlock at the BEOL," in Proc. DATE, 2019.
- W. Xu et al., "Layout recognition attacks on split manufacturing," in Proc. ASPDAC, [94] 2019, pp. 45-50.
- [95] K. Vaidyanathan et al., "Detecting reliability attacks during split fabrication using test-only BEOL stack," in Proc. DAC, 2014, pp. 156:1-156:6.
- J. Valamehr et al., "A 3-D split manufacturing approach to trustworthy system [96] development," Trans. CAD Integ. Circ. Sys., vol. 32, no. 4, pp. 611-615, 2013.
- J. Knechtel et al., "Large-scale 3D chips: Challenges and solutions for design [97] automation, testing, and trustworthy integration," Trans. Sys. LSI Des. Method., vol. 10, pp. 45-62, 2017.