

# 타원곡선 암호화와 퍼지 추출자를 이용한 강건한 삼중요소 사용자 인증 방식 연구

(A Robust Three-Factor User Authentication Scheme based  
on Elliptic Curve Cryptography and Fuzzy Extractor)

오 중 타 잉 \*      최 태 영 \*\*  
(Trung Thanh Ngo)      (Tae-Young Choe)

**요 약** 삼중요소 사용자 인증은 금융 거래와 같이 사용자 인증의 보안성이 중요시되는 경우에 적용될 수 있는 인증 프로토콜이다. Fan과 Lin의 삼중요소 사용자 인증은 보안 토큰, 패스워드, 그리고 지문을 사용하여 인증을 수행하므로 이들 요소 중 하나라도 빠진 경우 인증을 할 수 없는 엄격한 인증 방식이다. 하지만, Fan과 Lin의 인증 방식은 내부자 공격, 인증 토큰 도난 공격, 그리고 메시지 변형 공격에 취약하다는 문제점을 가지고 있다. 최근에 Yeh 외 3인은 기존의 Fan과 Lin의 방식을 개선한 삼중요소 사용자 인증을 제안했으며 타원 곡선 암호화 기법을 사용하여 보안성을 높이고 성능을 향상시켰다. 하지만, 본 논문에서는 Yeh 외 3인의 인증 방식이 사용자 위장 공격과 서버 위장 공격에는 취약함을 밝힌다. 이러한 문제를 해결하기 위해 본 논문에서는 서버 스마트카드, 타원 곡선 암호화, 그리고 퍼지 추출자를 사용한 삼중요소 사용자 인증 방식을 제안한다. 또한 이 방식이 앞에서 언급한 문제점들을 모두 해결하고, 동시에 성능이 더 개선됨을 보이며, BAN 로직을 통해 안전한 통신 채널이 연결됨을 입증한다.

**키워드:** 삼중요소 인증, 내부자 공격, 타원 곡선 암호화, 퍼지 추출자, BAN 로직

**Abstract** A three-factor user authentication is appropriate to ensure a high degree of authentication. Fan and Lin proposed a typical three-factor authentication scheme, which requires token, password, and fingerprint. The scheme does not allow authentication in the absence of any of the three factors. Unfortunately, Fan and Lin's scheme is associated with security risks such as vulnerability to insider attacks, stolen-verifier attacks, and message modification attacks. Yeh et al. proposed a three-factor user authentication, which overcomes such pitfalls and improves security and performance using elliptic curve cryptography. We found that Yeh et al.'s scheme is still vulnerable to user impersonation attacks and server masquerading attacks. We propose a robust three-factor authentication scheme entailing server smart cards, elliptic curve cryptography, and a fuzzy extractor that address the foregoing flaws and result in enhanced security. The proposed scheme is resistant to various attacks and improves system performance. BAN logic is used to prove that the scheme establishes a secure channel.

**Keywords:** three-factor authentication, insider attack, elliptic curve cryptography, fuzzy extractor, BAN logic

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† 비 회 원 : 금오공과대학교 IT융합학과  
thanh1239z@gmail.com

†† 정 회 원 : 금오공과대학교 컴퓨터공학과 교수  
(Kumoh Nat'l Institute of Technology)  
choety@kumoh.ac.kr  
(Corresponding author임)

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## 1. Introduction

In the 21st century, Internet technologies have offered us many benefits. For example, people can stay connected everywhere at any time using social networks like Facebook. Powerful search engines like Google provide us great ways to obtain information and knowledge from giant Internet databases. However, threats of cybercrime are also increasing rapidly in terms of both quantity and the complexity level. High availability of hardware and software makes it easier for hackers to steal sensitive data. There are a number of cracking tools available on the Internet, and these tools can be used by any Internet cracker who does not know cracking mechanisms in detail. As an example, the cracker may find that a user forgets to change the default password of his/her new router, and exploit it.

In order to cope with such cybercrime threats, many countries and companies have invested a huge amount of money to improve their network security. In the past, if a user had access to a company's resource, username and password authentication was sufficient. However, now the use of username and password is no longer safe against many threats such as spyware, viruses, and keyloggers. Accordingly, new authentication schemes have been proposed to replace the traditional password authentication [1-3]. Smart cards offer strong protection of stored data against unauthorized access and have been used along with password verification in many studies [4-8]. Unfortunately, smart cards and passwords can be lost, forgotten, or even shared with other people. Thus smart cards partially provide non-repudiation, which is a method to guarantee that an individual who accesses a certain facility cannot later deny using it [9]. Recently, biometric authentications like fingerprint or iris scanning have come under the spotlight because they offer advantages such as negative recognition and non-repudiation that were not provided by tokens and passwords [10].

Combining the advantages of passwords, smart cards, and biometric authentications is considered another idea for guaranteeing both strong security and non-repudiation requirements. Accordingly, several remote authentication schemes using the three above-men-

tioned factors have been proposed [11-14]. For instance, Yeh et al. proposed a robust elliptic curve cryptography based authentication protocol [15] based on Fan and Lin's three-factor authentication scheme [16]. They claimed that their scheme overcomes the security pitfalls of Fan and Lin's scheme, such as being vulnerable to insider attacks, stolen-verifier attacks, and modification attacks. However, we found that Yeh et al.'s scheme still has some flaws such as vulnerability to user impersonation attacks and server masquerading attacks. In this paper, we propose a scheme that uses multiple server smart cards with a authentication protocol in order to overcome these flaws and to strengthen security. Evenmore, the proposed scheme has less computation and communication overheads compared to Yeh et al.'s scheme.

The rest of this paper is organised as follows: Section 2 briefly introduces related works on the topic. Section 3 shows the mathematical background and notations used in Yeh's scheme and the proposed scheme. The review and the analysis of Yeh's scheme are presented as a case study in Section 4 and 5, respectively. Section 6 presents the proposed scheme, which withstands the flaws discussed in Section 5. Next, the strength and validity of the proposed scheme is discussed in Section 7. Finally, we provide the concluding remarks in Section 8.

## 2. Previous works

Jiang et al. proposed a three-factor authentication scheme based on chaotic maps [17]. In order to reduce computation time of public key processing, the scheme uses chaotic maps which utilize Chebyshev polynomial [18]. Since Jiang et al.'s scheme relies on timestamp, reliable time server is necessary in the system. Also, the scheme requires additional computation in order to guarantee anonymity.

Another three-factor authentication scheme uses Elliptic curve cryptosystem in order to reduce encryption and decryption overheads [17]. Although the scheme uses small number of communication messages, the result of the authentication phase is an implicit shared key which requires validity codes during the main communication phase.

Chaudhry et al. proposed a three-factor authentication scheme for multi server environments [19]

with ProVerif verification tool. The scheme provides user anonymity and mutual authentication. In order to provide user anonymity and small number of keys, the scheme uses public key cryptography. Unfortunately, the scheme hashes a user’s biometrics which increases false rejection rate.

A lightweight three-factor authentication scheme is proposed for wireless sensor networks [20]. In order to be used in low powered system, the scheme utilizes Rabin cryptosystem which uses modular square-root. Timestamp is not fully utilized in the scheme because it opens a probability of replay attack when messages are very short and the same contents.

### 3. Mathematical background and notations

Elliptic curve cryptosystems (ECCs) [21] have been used in Yeh et al.’s scheme to offer better performance than other public key cryptosystems that use exponentiation modulo, since ECCs can achieve the same security level with a smaller key size. An ECC is used as an encryption method during the mutual authentication between a smart card and a server. Fuzzy extractor [22] is a method to solve the sensitive hash problem of biometric templates [11].

#### 3.1 Elliptic curve cryptosystem (ECC)

An elliptic curve is a plane curve over a finite field, which consists of the points satisfying the equation  $y^2 \equiv x^3 + ax + b \pmod{p}$  with  $a, b \in F_p$  satisfying  $4a^3 + 27b^2 \not\equiv 0 \pmod{p}$ , where  $F_p$  denotes a finite field and  $p$  represents a large prime number. Given an integer  $s$  ( $0 < s < p - 1$ ) and a point  $P \in F_p$ , the point multiplication  $sP$  is defined as  $sP = P + P + \dots + P$  ( $s$  times). In general, the security of an ECC is based on the complexities of the following problems:

- Problem 1 (ECDLP): Given two points  $P$  and  $Q$  over  $E_p(a, b)$ , the elliptic curve discrete logarithm problem (ECDLP) is to find an integer  $s$  such that  $0 < s < p - 1$  and  $Q = sP$ .
- Problem 2 (ECDHP): Given three points  $P, sP$ , and  $tP$  over  $E_p(a, b)$  for  $0 < s, t < p - 1$ , the elliptic curve Diffie-Hellman problem (ECDHP) is to find the point  $stP$  over  $F_p$ .

#### 3.2 Fuzzy extractor

A fuzzy extractor extracts nearly uniform randomness  $R$  from the biometric input  $B$ . Auxiliary infor-

Table 1 Notations

Notation	Description
$U$	User entity
$TRC$	Trusted registration center
$SM$	Smart card of user $U$
$SM_S$	Smart card of the server
$S$	Server entity
$A$	Attacker
$PW$	Password of user $U$
$ID$	Identity of user $U$
$B$	Biometric template of user $U$
$h(\cdot)$	A secure hash function
$a \parallel b$	$a$ concatenates $b$
$a \oplus b$	$a$ exclusive-or $b$
$E(M, k)$	A symmetric encryption of plaintext $M$ using $k$ as a symmetric key
$D(C, k)$	A symmetric decryption of cyphertext $C$ using symmetric key $k$
$r$	A random string
$F_p$	A finite field of order $p$
$E_p(a, b)$	An elliptic curve defined on finite field $F_p$ with $a, b \in F_p$

mation  $Q$  helps to maintain the same  $R$  as long as the biometric input remains reasonably close to the original. A fuzzy extractor consists of a pair of randomized procedures  $Gen$  and  $Rep$ , which stand for "generate" and "reproduce", respectively.

- $(R, Q) = Gen(B)$  is a probabilistic generation procedure that takes a biometric input  $B$  and produces an extracted string  $R$  and an auxiliary string  $Q$ .
- $R = Rep(B', Q)$  is a deterministic reproduction procedure that allows the recovery of  $R$  from the corresponding auxiliary string  $Q$  and any vector  $B'$  close to  $B$ .

The notations used in this paper are shown in Table 1.

### 4. Yeh’s three factor user authentication scheme

Yeh’s scheme is a remote user authentication scheme that uses three authentication factors. It consists of five phases: initiation phase, registration phase, login phase, authentication phase, and password change phase.

#### 4.1 Initiation phase

In this phase, the server sets up the following system parameters for session key generation:

1. User  $U$  and server  $S$  choose an elliptic curve  $E_p(a, b)$ .
2. The server randomly chooses its private key  $q_s \in Z_p^*$ , where  $Z_p^*$  denotes a multiplicative group and

computes the user's authentication key (public key)  $Q_s = q_s P$ , where  $P$  represents a point on elliptic curve  $E_p(a, b)$ .

3. The server chooses an one-way hash function  $h(\cdot)$ .
4. The server stores  $q_s$  and generates message  $(E_p(a, b), P, Q_s)$ .

#### 4.2 Registration phase

1. User  $U$  enters his/her identity  $ID$  and password  $PW$ , and computes  $h(PW \oplus r)$ , where  $r$  denotes a secret random string chosen by  $U$ .
2.  $U$  scans biometric template  $B$  and computes  $\delta_r(B) = r \oplus B$  using encryption key  $B$ .
3.  $U$  submits  $ID$ ,  $h(PW \oplus r)$ , and  $\delta_r(B)$  to server  $S$  via a secure channel.
4. Server  $S$  receives  $U$ 's message and computes  $W = h(P \oplus h(PW \oplus r))$ . Then,  $S$  stores  $(W, h(\cdot), P, Q_s)$  to a smart card  $SM$  and issues it to  $U$  via a secure channel.
5.  $U$ 's smart card  $SM$  checks whether  $W = h(P \oplus h(PW \oplus r))$  is correct. If this is true,  $U$  accepts the smart card. Otherwise,  $U$  rejects the smart card.
6. The sketch  $E(r, B)$  is stored in the smart card using the user's biometric template  $B$  as the encryption key.

#### 4.3 Login phase

To log in to the system, the user must adhere to the following steps:

1.  $U$  offers  $PW^*$  and his/her biometrics  $B^*$ .  $U$ 's smart card retrieves random string  $r = D(E(r, B), B^*)$ . Then, the smart card computes  $BB^* = r \oplus B^*$ . A hash value  $h(PW^* \oplus r)$  is sent to the server  $S$ .
2. The server  $S$  validates whether  $W = h(P \oplus h(PW^* \oplus r))$  is correct. If this is true, the server accepts the login and goes to the authentication phase. Otherwise, the server rejects the login request.

#### 4.4 Authentication phase

1.  $U$  randomly chooses a private key  $q_u = r^*$  and computes  $Q_u = q_u P$ , where  $Q_u$  denotes  $U$ 's public key and  $r^* \in \mathbb{Z}_p^*$  represents a random string.
2.  $U$  computes  $Q_1 = q_u Q_s$  and  $M_u = N_u + Q_u + Q_1$ , where  $N_u$  as  $BB^*$ .
3.  $U$  sends  $m_1 = (Q_1, Q_u, M_u)$  to the server.
4. Server  $S$  computes  $Q_1 = q_s Q_u$ . Then,  $S$  checks whether  $N_u^* = M_u - Q_u - Q_1 = N_u$  where  $N_u$  as  $\delta_r(B)$ . If this is true,  $m_1$  did come from  $U$ . Otherwise, the process is terminated.

5.  $S$  computes  $Q_s^* = q_s^* P$ ,  $T_s = N_u^* + Q_s + Q_1$  and  $M_s = N_s + Q_s + Q_1 + N_u^*$ , where  $N_s$  is chosen by  $S$ . Then,  $S$  sends  $m_2 = (T_s, M_s, Q_s^*)$  to  $U_i$ .

6.  $U$  computes  $N_u^{**}$  and checks whether  $N_u^{**} = T_s - Q_s^* - Q_1 = N_u$  is correct. If this is true,  $m_2$  definitely comes from  $S$ . Otherwise,  $m_2$  does not comes from  $S$  and the process is terminated.  $U$  computes  $N_s^* = M_s - Q_s - Q_1 - N_u^*$ ,  $L = N_s^* + Q_u + Q_1$  and sends  $m_3 (= L = N_s + Q_u + Q_1)$  to  $S$ .

7.  $S$  computes  $N_s^{**} = L - Q_u - Q_1$  and checks whether  $N_s = N_s^{**}$ . If this is true,  $S$  accepts the login request. Otherwise, it rejects the login request.

#### 4.5 Password changing phase

To change his/her password, the user can compute a new value  $h(PW^* \oplus r)$  and send the message  $(ID, h(PW^* \oplus r), \delta_r(S))$  to the remote server. After receiving the demand for password change, the remote server computes the new value to update  $W^* = h(P \oplus h(PW^* \oplus r))$  into the smart card in order to replace the original value of  $W$ .

### 5. Security analysis of Yeh's scheme

In this section, we analyse the security of Yeh's scheme on the basis of the following assumptions:

- An attacker can eavesdrop, intercept, and modify messages sent between a user and a server.

#### 5.1 User impersonation attack

In order to impersonate a legal user, attacker  $A$  can replay messages in both the login and the authentication phases. In the login phase,  $A$  can carry out a replay attack by executing the following steps:

1. Attacker  $A$  eavesdrops login messages  $PW^*, B^*$  sent from  $U$  to  $S$ .
2. Later,  $A$  makes a connection and sends the eavesdropped login messages to  $S$ . Server  $S$  validates the messages and finds them valid. As a result,  $S$  allows  $A$  to proceed to the authentication phase.

It is known that a password is vulnerable to off-line guessing attack [17,23], and biometrics can be stolen easily [24]. The second step of this attack is possible because the sent messages do not have values like nonce or time-stamps to prevent the attacker from replaying old login messages. In the authentication phase, attacker  $A$  can carry out a replay attack by executing the following steps:

1.  $A$  eavesdrops authentication messages  $m_1$  sent from  $U$  to server  $S$ .
2. Later,  $A$  makes a connection and sends the eaves-dropped message  $m_1$  to  $S$ .  $S$  performs Steps 4 and 5 of the authentication phase and sends  $m_2$  to  $A$ .
3.  $A$  computes  $N_s^* = M_s - Q_s^* - Q_1 - N_u$ , where  $N_u$  can be computed from the message  $m_1$  as  $N_u = M_u - Q_u - Q_1$ . Further,  $A$  sends  $m_3 = L = N_s^* + Q_u + Q_1$  to  $S$ , as in Step 6.
4.  $S$  executes the final step 7 and grants access to  $A$ .

This attack is possible because the authentication messages  $m_1$ ,  $m_2$ , and  $m_3$  do not have values like nonce to prevent attacker  $A$  from replaying old authentication messages. Further, because  $Q_1$  is sent in message  $m_1$ ,  $A$  can use it to compute  $N_u^{**}$  and  $N_s^*$ , which are needed to compute  $L = m_3$ .

**5.2 Server masquerading attack**

Attacker  $A$  can intercept message  $m_1$  and masquerade as the server  $S$  by executing the following steps:

1.  $A$  eavesdrops message  $m_2$  in the previous authentication session.
2. After intercepting message  $m_1$  from  $U_i$ ,  $A$  computes  $N_u = M_u - Q_u - Q_1$ ,  $T_s = N_u + Q_s + Q_1$ , and  $M_s = N_s' + Q_s^* + Q_1 + N_u$ , where  $N_s'$  is chosen by attacker  $A$  in Step 6 of the authentication phase. This is possible because  $Q_1^*$  is the same as  $Q_1$ .
3.  $U$  successfully validates  $m_2$  and replies with message  $m_3$  to  $A$  in Step 6 of the authentication phase.
4.  $A$  grants access to  $U_i$ .

This attack is possible because the sent messages do not contain values like nonce to prevent the attacker from replaying old authentication messages.

**6. Enhanced scheme**

In this section, we propose a new scheme that

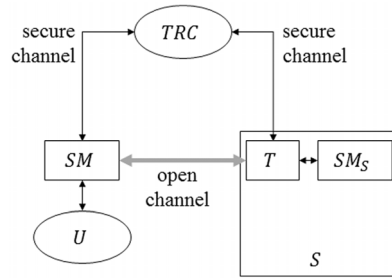


Fig. 1 Proposed system model

overcomes the flaws of Yeh’s scheme and resists various attacks. In the proposed scheme, as depicted in Fig. 1, there are a trusted registration center (TRC), client smart cards (SM), server smart cards (SM<sub>s</sub>), and a remote server (S). T is a smart card device that transfers data between the server and the smart cards. Note that T cannot read the secret data stored in a server smart card. It only acts as a smart card adapter between the server and the server smart cards.

The enhanced scheme consists of three phases: registration phase, login phase, and server authentication phase.

**6.1 Registration phase**

To register at a system, a user  $U$  must carry out the following steps with TRC (Fig. 2):

1. TRC chooses an elliptic curve equation  $E_p(a, b)$  and a base point  $P$  on the curve.
2. User  $U$  personally provides TRC with his/her identity  $ID$ , personal biometrics identity  $B$ , and password  $PW$ .
3. Then, TRC computes the following:
  - TRC gets  $(R, Q) = Gen(B)$  using a biometric device containing a fuzzy extractor and generates a random integer  $k$ .
  - $E(k, R)$  is an encryption of  $k$  by secret key  $R$ , where

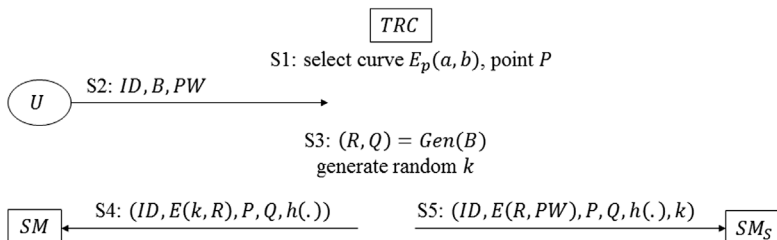


Fig. 2 Registration phase

- $k$  is a random number generated by  $TRC$  for user  $U$ .
- $E(R, PW)$  is an encryption of  $R$  by secret key  $PW$ .
4.  $TRC$  stores  $(ID, E(k, R), P, E_p(a, b), Q, h(\cdot))$  into smart card  $SM$  and sends the smart card to the user  $U$  via a secure channel.
  5.  $TRC$  stores  $(ID, E(R, PW), P, E_p(a, b), Q, h(\cdot), k)$  into smart card  $SM_S$  and sends the smart card to the server via a secure channel.

## 6.2 Login and authentication phase

To login to server system  $S$ , a user  $U$  must carry out the following steps with smart card  $SM$ , and  $S$  with smart card  $SM_S$  (Fig. 3):

1. User  $U$  inserts smart card  $SM$  into a card reader and offers his/her  $ID'$  and  $PW'$ .
2.  $U$  imprints his/her biometrics identity  $B'$  on a specific device with a fuzzy extractor to get  $R' = Rep(B', Q)$ .
3. The smart card  $SM$  computes the following:
  - $k' = D(E(k, R), R')$
  - $M_1 = sP$ , where  $0 < s < p - 1$  is a random number generated by  $SM$ .
  - $M_2 = h(k' \parallel M_1)$
4.  $SM$  sends  $(ID', M_1, M_2)$  to the remote server  $S$ .
5.  $S$  finds smart card  $SM_S$  which matches with  $ID'$  and forwards the message  $(ID', M_1, M_2)$  to  $SM_S$ .
6.  $SM_S$  receives the message and checks whether  $M_2$  is equal to  $h(k \parallel M_1)$ . In the case,  $SM_S$  judges that  $U$  is the valid user and continues the phase. Otherwise,  $SM_S$  asks server  $S$  to terminate the session.

7.  $SM_S$  computes the following and sends  $(M_3, M_4)$  to  $SM$ :
  - $M_3 = tP$ , where  $0 < t < p - 1$  is a random number generated by  $SM_S$ .
  - $M_4 = h(E(R, PW) \parallel M_1 \parallel M_3)$
8.  $SM$  checks whether  $h(E(R', PW') \parallel M_1 \parallel M_3)$  is equal to  $M_4$ , which means that the password and biometric information are correct. Otherwise, the protocol terminates.
9.  $SM$  and  $SM_S$  compute their session key  $SK = sM_3 = tM_1$ , respectively.
10.  $SM$  computes and sends  $M_5 = h(SK \parallel M_3)$  to  $SM_S$ .
11.  $SM_S$  checks whether  $M_5 = h(SK \parallel M_3)$ . If this holds true,  $S$  successfully authenticates  $U$ . Otherwise,  $S$  rejects  $U$ 's login request.

## 7. Security and performance analysis of the enhanced scheme

In this section, we analyze the security of the enhanced scheme based on the assumptions stated in Section 5 and show that the proposed scheme withstands all the attacks mentioned on Yeh's scheme.

### 7.1 Security analysis using extended BAN logic

BAN logic is a famous tool for analyzing information exchange protocol [25]. Unfortunately, it is not suitable to analyze public-key based authentication protocols [26–29]. Extended BAN logic is announced with an added functionality that can analyze public-key based authentication protocols [26]. We

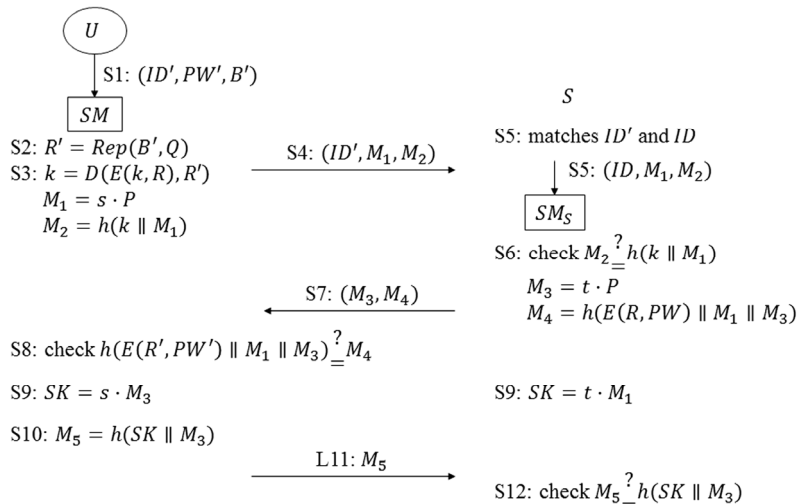


Fig. 3 Login and authentication phase

show that the login and authentication phase of the proposed scheme properly guarantees secret session key using the extended BAN logic. To apply extended BAN logic in our case, the following steps should be performed.

1. Idealize the proposed scheme in formal logic language.
2. Identify initial state assumptions of the proposed scheme.
3. Make annotated idealized protocol for each protocol statement with assertions.
4. Apply logical rules to the assumptions and assertions in order to discover the beliefs held by the parties in the proposed scheme.

Since BAN logic describes a condition or a state as logical equations, some mathematical notations are used as shown in Table 2.

Recall that a subject  $A$  generates and sends  $sP$  to a subject  $B$ ,  $B$  returns  $tP$ , and  $s(tP)$  (or  $t(sP)$ ) is computed as a session key between  $A$  and  $B$  in Diffie-Hellman key-agreement on ECC. In the case,  $s$  is the  $A$ 's private key-agreement key and  $PK_s^{-1}(A)$  means that  $s$  is kept private by  $A$ . Also,  $sP$  is the  $A$ 's public key-agreement key and  $PK_s^*(A)$  means that  $B$  received  $sP$  safely from  $A$ .

Among rules in BAN logic [25] and its extension [26], we uses following rules:

**R2** (Nonce-verification)

$$\frac{A| \equiv \#(X), A| \equiv B| \sim X}{A| \equiv B| \equiv X}$$

**R3** (Jurisdiction rule)

$$\frac{A| \equiv B| \Rightarrow X, A| \equiv B| \equiv X}{A| \equiv X}$$

Table 2 BAN logic notations

Notation	Description
$A  \equiv X$	entity $A$ believes $X$
$A \xleftrightarrow{k} B$	$A$ and $B$ share a secret key $k$
$\#(X)$	data $X$ is fresh
$A \triangleleft X$	$A$ sees $X$
$A  \sim X$	$A$ said $X$
$A  \Rightarrow X$	$A$ controls $X$
$PK_s^{-1}(A)$	$A$ 's private key-agreement key is safe
$PK_s^*(A)$	$A$ 's public key-agreement key is safe

**R7** (Once-said projection)

$$\frac{A| \equiv B| \sim (X, Y)}{A| \equiv B| \sim X, A| \equiv B| \sim Y}$$

**R12** (Freshness propagation rule)

$$\frac{A| \equiv \#(X)}{A| \equiv \#(X, Y)}$$

**R31** (DH key agreement)

$$\frac{A| \equiv PK_s^{-1}(A), A| \equiv PK_s^*(B), A| \equiv PK_s^{-1}(B)}{A| \equiv A \xleftrightarrow{k} B}$$

**R40** (Message meaning on MAC)

$$\frac{A| \equiv A \xleftrightarrow{k} B, A \triangleleft X, A \triangleleft h(X, k)}{A| \equiv B| \sim X}$$

Rules R2-R12 are defined in [25]. Rule numbering used in [26] is applied in the paper, where Rule R31 is defined. Key  $k$  of Rule R31 is a passive session key in [26]. A session key is called *passive* if user  $A$  does not verify whether the opposite  $B$  has the key. The possession of the key is passively proved by following message exchanges encrypted by the key. If the key possession of the opposite entity is proved by the authentication protocol, the session key becomes *active*. Since our protocol does not verify key possession in authentication protocol, passive session key is sufficient. We define rule R40 in order to grant message meaning to Message Authentication Code (MAC). Given a message  $X$  and its MAC  $Y$  from sender  $B$ , where  $k$  is a secret key, a receiver  $A$  generates another MAC  $h(X, k)$  using the shared secret key  $k$  and tests if  $Y$  is the same as  $h(X, k)$ . In the case,  $A$  assures that message  $X$  and MAC  $Y$  are sent by  $B$ . In order to apply the validity in BAN logic, rule R40 is defined.

BAN logic has been used to check whether mutual authentication is guaranteed or a fresh session key is securely shared by both sides. Although the proposed scheme uses the three factors to authenticate, goal of analysis is similar with other protocols: guaranteeing secure session key and freshness of the session key. Thus, goals for the logic are defined as follows:

**G1**  $U| \equiv U \xleftrightarrow{SK} S$

**G2**  $U| \equiv \#(SK)$

**G3**  $S| \equiv U \xleftrightarrow{SK} S$

**G4**  $S| \equiv \#(SK)$

Goals G1 and G3 mean that user  $U$  and server  $S$  believe a new session key  $SK$ . The goals imply that  $U$  and  $S$  are ready to authenticate each other or authenticate passively. Moreover, the session key  $SK$  should be guaranteed as a fresh key not used before, which is expressed as goals G2 and G4.

Idealized protocol of the proposed scheme is described as follows:

**S4**  $U \rightarrow S: (R_u, PK_\delta(U, R_u), h(PK_\delta(U, R_u)))$   
where  $R_u = sP$

**S7**  $U \leftarrow S: (R_s, PK_\delta(S, R_s), h(PK_\delta(S, R_s), R_u))$   
where  $R_s = tP$

**S11**  $U \rightarrow S: h(R_s)$

Basically, message in step S4 consists of key-agreement key  $sP$  and its MAC. Message in step S7 is similar with S4 except a response in hash value given challenge  $sP$ . Step S11 is necessary in order to check response from user  $U$ .

Before deriving the goals, we need to list initial assumptions:

**A1**  $U \models \#(R_u)$

**A2**  $S \models \#(R_s)$

**A3**  $S \models U \xrightarrow{k} S$

**A4**  $U \models U \xrightarrow{E(R, PW)} S$

**A5**  $U \models PK_\delta^{-1}(U)$

**A6**  $S \models PK_\delta^{-1}(S)$

**A7**  $U \models PK_\delta^{-1}(S)$

**A8**  $S \models PK_\delta^{-1}(U)$

**A9**  $U \models S \Rightarrow PK_\delta(S, R_s)$

**A10**  $S \models U \Rightarrow PK_\delta(U, R_u)$

Assumption A1 and A2 are reasonable because  $R_u = sP$  and  $R_s = tP$  are generated by  $U$  and  $S$ , respectively. Also, two values have role of nonces. Recall that key  $k$  used in A3 is a random number generated by  $TRC$  and is stored in  $SM_S$ . Although  $k$  is not possessed by user  $U$ , valid user can derive  $k$  at step S3 using the biometrics identity  $B$ .  $E(R, PW)$  in assumption A4 is another shared key between  $U$  and  $S$ . The key is stored in smart card  $SM_S$  of server and it is generated from biometrics information  $R$  and password  $PW$  obtained from user  $U$ . Since private key-agreement keys  $s$  and  $t$  are generated by owner and are not transfer through communication channel,

assumptions A5–A8 are reasonable. Assumption A9 and A10 mean that each owner of a public key-agreement key has jurisdiction over her/his key.

**Proof of G1:** By applying R40 (Message meaning on MAC) on A3 and S7,

$$\frac{U \models U \xrightarrow{k} S, U \triangleleft (PK_\delta(S, R_s), R_u), h(k, PK_\delta(S, R_s), R_u)}{U \models S \sim (PK_\delta(S, R_s), R_u)}$$

and applying R7, we have

$$\frac{U \models S \sim (PK_\delta(S, R_s), R_u)}{U \models PK_\delta(S, R_s)} \quad (1)$$

Assumption A1 derives as follows by applying R12:

$$\begin{aligned} U \models \#(R_u) \\ U \models \#(PK_\delta(S, R_s), R_u) \\ U \models \#(PK_\delta(S, R_s)) \end{aligned} \quad (2)$$

Notice that above derivation is available because the values are contained in MAC. By applying R2 on Equations 2 and 1,

$$\frac{U \models \#(PK_\delta(S, R_s)), U \models S \sim PK_\delta(S, R_s)}{U \models S \models PK_\delta(S, R_s)} \quad (3)$$

By applying rule R3 on Equation 3 and A9,

$$\frac{U \models S \models PK_\delta(S, R_s), U \models S \Rightarrow PK_\delta(S, R_s)}{U \models PK_\delta(S, R_s)} \quad (4)$$

By applying R31 on A5, Equation 4, and A7, we get goal G1 as follows:

$$\frac{U \models PK_\delta^{-1}(U), U \models PK_\delta(S, R_s), U \models PK_\delta^{-1}(S)}{U \models U \xrightarrow{SK} S}$$

Proof of G2 is the almost same as the proof of G1.

**Proof of G3** starts from assumption A1, and

$$\begin{aligned} U \models \#(R_u) \\ U \models \#(sP) \end{aligned} \quad (5)$$

$$U \models \#(tsP) \quad (6)$$

$$U \models \#(SK) \quad (7)$$

Equation 5 comes from  $R_u = sP$ , Equation 6 comes from rule R12, and Equation 7 is obtained since  $SK = t(sP)$  for  $U$ . Proof of G4 is the almost same as the proof of G3. Thus, all goals are derived from our assumptions and protocol messages.

## 7.2 Security analysis on attack cases

### Man-in-the-middle attack

In this attack, an attacker performs the following steps: during an authentication session between smart card  $SM$  and server  $S$ , the attacker intercepts the message  $(M_1, M_2)$  sent from  $SM$ ; the attacker computes  $M_1' = uP$  where  $0 < u < p - 1$  is a random



number generated by attacker; the attacker sends message  $(M_1', M_2)$  to  $S$ . When  $S$  receives the modified message  $(M_1', M_2)$ , it checks whether  $M_2$  is the same as  $h(k \parallel M_1')$ . This is not the same. Therefore,  $S$  can detect this attack.

**Server masquerading attack**

In this attack, a fake server tries to masquerade a legal server by conducting the following steps: during an authentication session between smart card  $SM$  and server  $S$ , the fake server intercepts message  $S4: (ID', M_1, M_2)$  sent from  $SM$ ; the fake server computes  $(M_3', M_4')$  where  $M_3' = uP$  ( $0 < u < p - 1$ ) and  $M_4' = h(r \parallel M_1 \parallel M_3')$ . Since the fake server does not know  $R$  nor  $PW$ , random number  $r$  is used instead of  $E(R, PW)$ . In step  $S8$ , by checking  $h(E(R', PW') \parallel M_1 \parallel M_4')$  and  $M_4'$ ,  $U$  know that the opposite is not valid server because two values are different.

**User impersonation attack**

In this attack, an attacker tries to impersonate a legal user by conducting the following steps: during an authentication session, the attacker eavesdrops a legal user's message  $(ID', M_1, M_2)$  sent from  $SM$  in step  $S4$ ; later, the attacker initiates an authentication session with server  $S$  by sending the eavesdropped message  $(ID', M_1, M_2)$ ;  $S$  matches  $ID'$  with  $ID$  of a legal user in database and forwards it to  $SM_S$  at step  $S5$ ; and  $SM_S$  checks MAC values and sends  $(M_3, M_4)$  at step  $S7$ ; In order to get a new session key, the attacker should know  $s$  a private key-agreement key of  $U$ . Further process is blocked by the difficulty of discrete logarithm problem. Server  $S$  can detect the attacker at step  $S12$  because the attacker cannot generate session key  $SK$ .

**Stolen smart card attack**

If a user's smart card is stolen by an attacker in our scheme, the attacker can breach the key for

encryption and decryption by monitoring power consumption of smart card [30,31]. A decryption key can be used is  $R'$  of operation  $k' = D(E(k, R), R')$  in step 3 of subsection 6.2. Since there is no process to take advantage of  $R'$ , any attacks using the secret values in the stolen smart card can be prevented.

**Insider attack**

This attack occurs with the help of a server administrator or malicious softwares such as viruses, spywares, and trojan inside server. Although an attacker can get secret values stored in server, this attack can be prevented in the proposed scheme. Because secret values of server are stored in server smart cards and read/write accesses are restricted, they are secure against malicious softwares.

**Parallel processing capability**

The use of multiple server smart cards improve the server performance because authentication protocols are processed in parallel by the server smart cards and the server just transfers the data between the smart cards and the users.

In summary, Table 3 shows the comparison of functionalities between Yeh's scheme, Chaudhry's scheme, Jiang's scheme [20], and the proposed scheme.

**7.3 Performance analysis**

Table 4 evaluates and compares performance of our scheme with that of Yeh's scheme and Jiang's scheme in terms of computational overheads and communicational overheads. The communicational overhead is calculated in terms of total number of messages transferred and total number of information contained in them as a number within parenthesis. In the registration phase, the proposed scheme needs only two symmetric key operations (2SK) to complete whereas Yeh's scheme needs four hash operations (4H), one ECC point multiplication operation (1PM), and one symmetric key operation (1SK). In the login

Table 3 Functionality comparisons

Functionality	Yeh's scheme	Chaudhry's scheme	Jiang's scheme	Proposed scheme
Provide mutual authentication	Yes	Yes	No	Yes
Resist man-in-the-middle attack	Yes	Yes	Partial	Yes
Resist insider attack	Yes	Yes	Yes	Yes
Resist user impersonation attack	No	Yes	Yes	Yes
Resist server masquerading attack	No	Yes	Partial	Yes
Resist stolen smart card attack	Yes	Yes	Yes	Yes
Provide parallel processing capability	No	No	No	Yes

Table 4 Performance comparisons

$H$  stands for hashing,  $PM$  stands for ECC point multiplication operation,  $PA$  stands for ECC point addition operation,  $SK$  stands for symmetric key operation,  $RK$  stands for Rabin crypto-operation and  $P$  stands for transferring a physical object, smart card. The numbers in the communication overhead mean the number of messages (and the number of terms in the messages).

Overheads		Yeh's scheme	Jiang's scheme	Proposed scheme
Computation	Registration phase	$4H + 1PM + 1SK$	$7H$	$2SK$
	Login & authentication phase	$1SK + 2H + 20PA + 4PM$	$2RK + 26H$	$6H + 2SK + 4PM$
Communication	Registration phase	2 ( $3 + P$ )	2 ( $2 + 2P$ )	3 ( $3 + 2P$ )
	Login & authentication phase	4 (8)	4 (14)	3 (6)

phase and authentication phase, the proposed scheme needs two symmetric key operations ( $2SK$ ), six hash operations ( $6H$ ), and four ECC point multiplication operations ( $4PM$ ) to complete, whereas Yeh's scheme needs one symmetric key operation ( $1SK$ ), two hash operation ( $2H$ ), four ECC point multiplication operations ( $4PM$ ), and twenty ECC point addition operations ( $20PA$ ). Because Yeh's scheme needs more operations than the proposed scheme needs and ECC operations involving modulo are slower than symmetric key operations, it is obvious that the proposed scheme is more efficient than Yeh's scheme in all phases except registration phase where physical transfer of smart card is required ( $2P$ ).

## 8. Conclusion

In this study, we reviewed and analysed the security of Yeh's scheme. We showed that this scheme still retains certain flaws that make it insecure against various types of attacks. Therefore, we redesigned the system model such that multiple smart cards process the authentication phase on the server side. The proposed scheme overcomes the previous security weaknesses and made the system more secure against various types of attacks. Further, it also improved the system performance significantly.

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오 중 태 영

2011년 미국 TROY대학교 컴퓨터과학과(학사). 2015년 금오공과대학교 컴퓨터공학과(석사). 2017년~현재 금오공과대학교 IT융합학과 박사과정. 관심분야는 VANET에서의 Traffic Light 스케줄링



최 태 영

1991년 고려대학교 수학교육과(학사). 1996년 POSTECH 컴퓨터공학과(석사). 2002년 POSTECH 컴퓨터공학과(박사). 2002년~현재 금오공과대학교 컴퓨터공학과 교수. 관심분야는 병렬 및 분산 알고리즘, 클라우드 컴퓨팅, IoT 시스템, 인공 지능