Embedding and Retrieving Private Metadata in Electrocardiograms

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Abstract—Due to the recent explosion of 'identity theft' cases, the safeguarding of private data has been the focus of many scientific efforts. Medical data contain a number of sensitive attributes, whose access the rightful owner would ideally like to disclose only to authorized personnel. One way of providing limited access to sensitive data is through means of encryption. In this work we follow a different path, by proposing the fusion of the sensitive metadata within the medical data. Our work is focused on medical time-series signals and in particular on Electrocardiograms (ECG). We present techniques that allow the embedding and retrieval of sensitive numerical data, such as the patient's social security number or birth date, within the medical signal. The proposed technique not only allows the effective hiding of the sensitive metadata within the signal itself, but it additionally provides a way of authenticating the data ownership or providing assurances about the origin of the data. Our methodology builds upon watermarking notions, and presents the following desirable characteristics: (a) it does not distort important ECG characteristics, which are essential for proper medical diagnosis, (b) it allows not only the embedding but also the efficient retrieval of the embedded data, (c) it provides resilience and fault tolerance by employing multistage watermarks (both robust and fragile). Our experiments on real ECG data indicate the viability of the proposed scheme.

I. INTRODUCTION

In the years to come, the Healthcare system is expected to experience a drastic change in its structure and organization. These changes are partly driven by changes in the human genographics, and also reinforced by the recent climatic changes and the various events and disasters throughout the world. This shift is clearly reflected on recent Healthcare reports. For example, the *Healthcare 2015 report* ¹ shows that governments, health regions, hospitals, and healthcare providers are allotting billions of dollars into multiple medical initiatives.

One very important effort is the creation of electronic health records (EHR's). As health care (and health care data) grows more complex, storage and accessibility of medical information is not only invaluable but also necessary. The long-term goal for electronic health records is to make patient data securely available to health care providers such as hospitals

^lhttp://healthnex.typepad.com/web_log/2007/02/ web_seminar_rep.html and emergency personnel, when and where the information is needed. Disasters, such as Hurricane Katrina, for example, have shown the practical utility of being able to store and retrieve information like prescription histories and dosages electronically in an emergency.

One of the major technological and ethical issues governing electronic records is the issue of data privacy. Protection from unauthorized access on medical history data and personal patient data, is something that can not only protect a patient's private data hindering potential identity thefts, but can also safeguard the healthcare and insurance system from fraudulent claims. With this in mind, this work proposes techniques for hiding sensitive patient metadata within the actual medical measurements, which are stored into a patient's medical record. In specific, we focus on electrocardiograms (ECG's) and how to embed numerical metadata within the ECG signals.

A prerequisite of this embedding is, of course, not to destroy the data usability. We indeed show that the usefulness of the data is not affected, because of the imperceptible distortion induced through the fusion of the metadata within the actual data. For most watermarking applications this requirement can simply be stated as preserving the visual/audio quality of the signal (i.e., for image and audio processing). When dealing with medical data this means that our watermarking algorithms should not change the diagnosis of a physician. For example, when dealing with ECG signals, common tasks are the detection of arrhythmia or other heart related conditions. Therefore, the diagnosis on the watermarked signal should not deviate from the diagnosis on the original signal.

The privacy of the embedded data is assured because we do not embed directly the private metadata, but instead we embed a surrogate random sequence, that is generated by a cryptographically safe hash function using the metadata as the input and a secret key as the seed. Hence, we avoid leaking or revealing any information about the patient's sensitive information to the public. Even though the privacy of sensitive data attributes can be addressed through encryption, such an approach is inherently a blocking factor in data dissemination. Additionally, the use of encrypted fields in medical records directly suggests the existence of private data, which may be something that one would like to avoid in the first place.

The tight coupling of the metadata within the actual medical

measurements presents several desirable properties: 1) Private information is effectively concealed in the signal and therefore can serve as an additional authentication seal regarding the originality of the data. 2) The fusion of the metadata within the actual data can potentially eliminate the need for recording the patient metadata separately. This could provide an additional level of security on the private information of a patient, by thwarting deliberate changes on the medical records, or even by providing a source for data verification which may occur due to accidental errors during a laborious replicating/typing process of a patient's record fields. 3) Finally, the techniques that are delineated here could also be applied for establishing the provenance [1] of the data. Therefore, if every recipient (or processor) of the data embeds a different secret watermark, then one can trace the lineage of how the data was produced, processed and distributed in a methodical fashion.

In the experimental section we also demonstrate that the fusion of the metadata with the data is achieved in such a way, so that the data usability is not hindered or affected. The upcoming sections, will explicate in more detail the challenges and also the advantages of the proposed embedding.

II. OVERVIEW

In order to embed metadata within the medical signals, we will utilize notions from data watermarking and channel coding. The sensitive metadata (social security number (SSN), birth date, and so on) will be embedded as a hidden watermark within the medical measurements of the patient. In order to provide additional protection and data resilience we propose to embed two types of watermark on the medical signal; a robust one for storing the actual metadata and a fragile one for identifying possible tamperings on the data:

- The robust watermark will encode an encrypted version of the patient's metadata, employing additional data redundancy for aiding data recovery in the case of data corruption by a malicious attacker. We show that a robust watermark cannot be easily removed without significantly distorting the actual data, i.e., without obvious attacks, which in any case will render the data useless.
- 2) The fragile watermark will be used for detecting potential data tampering. As the name suggests, simple operations can destroy the fragile watermark, but its absence on the received data is an indication that the data have been compromised or altered.

Here, we introduce novel robust and fragile watermarking approaches and apply them to medical time-series data. We use extensive randomization in every step of our algorithms to alleviate potential vulnerabilities of the algorithms against malicious attackers or common alterations on the host signal. The robust watermark encoding the actual metadata is embedded in the frequency domain, and the data is masked effectively in certain frequencies that are selected based on a secret key. This type of embedding makes the embedded data resilient to transformations such as translations, least significant bit alternations, small noise additions, resampling and decimation. Furthermore, the regions where the private metadata are embedded are selected based on a secret key. A fraction of the hidden metadata bits will be allocated for employing error correction codes, in order to provide additional resiliency due to malicious attacks, or even due to transmission errors. In this sense, our watermarking approach not only uses ideas from spread spectrum based algorithms [2], but also has connections to watermarking techniques motivated by traditional cryptography [3].

The fragile watermark will be embedded after the robust watermark on the least significant bits at specially selected positions of the ECG signal. The fragile embedding will introduce virtually no distortion. Notice, that even though the fragile watermark is embedded on top of the robust, it cannot destroy the robust watermark which is able to withstand such minor (or even more significant) transformations. An overview of this architecture is provided in Figure 1.

Once the metadata are effectively fused within the medical signal, there are three supported modes of operation:

- 1) **Tamper Detection** by examining the presence of the fragile watermark.
- 2) Data Authentication through correlation with the originally embedded metadata. For example, if the SSN of a patient is embedded in an ECG signal, then using the SSN and a secret key, one can verify that the data indeed belong to the patient with a specific Social Security Number.
- 3) **Metadata Retrieval.** The rightful owner of the data can provide the secret key to someone else, who is now at a position to retrieve the embedded metadata from the medical signal.

A. Related Work

Watermarking research in multimedia data is a very rich field. Compared to traditional watermarking our work exhibits various differences, such as the fact that we provide the ability of *retrieving back* the embedded metadata. For this reason we also augment our watermarking technique with coding redundancy schemes, in order to achieve better data preservation and provide the ability for error correction. Additionally, because we are dealing specifically with medical ECG signals, we can exploit their regularity for tailoring more appropriately the metadata encoding scheme.

Previous research work dealing with the watermarking of medical signals have appeared for electroencephalograms (EEG's) [4] and for ECG data [5]. In [4], the authors study three different fragile watermarking techniques for checking the integrity of EEG signals. The watermarking techniques are applied to the prediction error of an autoregressive filter, which is used to model EEG signals. In our work, we investigate not only fragile watermarking, but also robust watermarking concurrently. Unlike [4], our fragile watermarking algorithm has the ability to localize tampering due to random locations chosen to embed the actual watermark. Each patch of fragile watermark only depends on the hash values, i.e., variables that reflect the properties of that particular segment, of that segment. Hence, this segmentation provides localized tampering information as explained in the text. Furthermore, as shown in [6], the types of watermarking algorithms investigated in [4],



Fig. 1. Overview of our approach

using known watermark locations, are highly susceptible to estimation type of attacks and can be easily removed without any tampering on the original signal.

In [5], the authors investigate a robust watermarking algorithm for ECG signals. The watermarking used is the multiplicative spread spectrum type watermark applied to third order wavelet decomposition of ECG signals. The randomization is only provided in the watermark signal generation. As pointed out above, this type of watermarks are highly susceptible to estimation type of attacks. In a similar setup, [6] demonstrated that the embedded watermark signal can be easily estimated and removed from the original signal if the watermark locations are known. In our work, we use both random locations as well as randomized binary sequence generation to avoid such kind of estimation type of attacks. Additionally, to our knowledge, this is first work that considers metadata fusion within the medical signal, not only for reasons of authentication, but also for providing the ability of heterochronous metadata retrieval.

Related is also the work of [7] which watermarks numeric streams, by embedding the watermark on easily identifiable stream positions, such as the local maxima and minima. However, such an approach might not be ideally suited for ECG signals, where one would like to preserve as well as possible such areas, because of their significance in medical diagnosis. Therefore we spread the intensity of the robust watermark using a spread spectrum approach. Additionally, the Least Significant Bit (LSB) alteration that we employ in the fragile watermark is quite more advanced, in the sense that it can also pinpoint the area and type of tampering.

Watermarking work has also been used in relational databases either using direct LSB alterations [8], [9] or using hierarchical Binning approaches [10]. Finally, there is a vast literature on the topic of privacy preserving data-mining [11]– [15]. Compared to the above areas, our approach is different regarding the goals and the methodological approach that we follow.

In the upcoming sections we will describe the embedding and the retrieval of the robust and the fragile watermarks. We will also demonstrate the minimal distortion that is introduced by their embedding and in the experimental section we will empirically assess the resilience of our scheme.

III. ROBUST WATERMARKING

A. Preliminaries and Notation

Consider an ECG signal as a one dimensional time-series sequence, represented as a vector $x = \{x_1, \ldots, x_n\}$, where $x_k \in \mathbb{R}$. In such a signal, we will embed private numeric metadata by adapting on watermarking techniques. However, we will also show how to retrieve back the hidden information, which is something that traditional watermarking applications do not consider. Therefore, our technique gracefully fuses watermarking and channel coding techniques. The secret information that will be hidden inside each ECG signal itself is encoded as a watermark $W \in \{-1, 1, 0\}^n$, which has the same length as x and can take 3 distinct values. Later we will show how we can use the sequence W to encode numeric metadata consisting of *l*-bits. The embedding of the watermark consists of a composition function that, given x and W, returns a modified signal which is *similar* to x and *encloses* W. The original ECG signal should not be significantly distorted and a technique to retrieve/detect W in the watermarked signal should be provided. We call this watermark robust because it is able to withstand a variety of possible data transformations. We will not embed the watermark in the original Space-Time domain but into the Frequency domain, which will guarantee better resilience against malicious attacks.

Every ECG signal x will thus be represented with the set of its Fourier descriptors $X = \{X_1, \ldots, X_n\}$ where n is the number of points of x as well as the number of its frequency components. The mappings from one domain to the other are described by the discrete Fourier transform dft(x):

$$X_{j} = \frac{1}{\sqrt{n}} \sum_{k=1}^{n} x_{k} \exp\left(-i\frac{2\pi}{n}(j-1)(k-1)\right)$$

and the inverse discrete Fourier transform idft(X):

$$x_j = \frac{1}{\sqrt{n}} \sum_{k=1}^n X_k \exp\left(i\frac{2\pi}{n}(j-1)(k-1)\right).$$

Every coefficient X_j can be described in terms of its magnitude ρ_j and phase ϕ_j , that is, $X_j = \rho_j e^{\phi_j i}$.

We use an additive embedding of the watermark which alters only the magnitudes but retains the original phase:

Definition 1 (Additive Fourier Embedding): For a signal $x \in \mathbb{R}^n$ and a watermark $W \in \mathbb{R}^n$, the additive Fourier embedding generates a watermarked signal \hat{x} by replacing the magnitudes of each Fourier descriptor of x with a watermarked

magnitude $\hat{\rho}_i$:

$$\hat{\rho_j} = \langle \rho_j + pW_j \rangle \stackrel{\text{def}}{=} \max(0, \rho_j + pW_j)$$

where power p > 0 specifies the intensity of the watermark.

Notice that we use the function $\langle \cdot \rangle$, in order to ensure that we have no resulting negative magnitudes, when $W_j = -1$. Using the modified magnitudes $\hat{\rho}_j$ and the original phases ϕ_j , we go back from the frequency domain to the time domain and reconstruct the watermarked sequence using the inverse discrete Fourier transform.

B. Watermark Construction

Let us describe now how the private metadata are embedded into the hidden watermark. First, let's recall that the watermark W will consist of the values +1, -1 and 0. Understandably, only those W_j 's that contain +1 or -1 will introduce some alteration in the respective signal frequencies. Thus, only those W_j can encode some information. Conversely, the zero values of Wdetermine the descriptors that we do not want to modify.

The choice of which Fourier descriptors (frequencies) are most suitable to be altered, i.e., to be actually used for the embedding, can affect the goodness of the detection process. Our goal is to build an unbreakable bond between a signal and a embedded watermark. On the other hand, a potential attack cannot alter the overall shape of the ECG plot, i.e. damage its usability. Therefore we should tie the embedded metadata Wwith the most important frequencies. It is well established that the first descriptors hold almost all the energy of ECG signals, which means that they describe very accurately the data.

Driven by these considerations, we will focus on embedding the watermark in the lowest frequencies. However, we will not embed any portion of the watermark on the first Fourier descriptor X_1 , since the DC component of the signal x ($X_1 = \sum_j x_j/\sqrt{n}$) is easily susceptible to attacks. For example, a simple translation will change the DC level of x (that is, X_1) without affecting its shape, but it will erase this part of the watermark. Therefore we embed the watermark into the 2^{nd} and up to the $(l + 1)^{th}$ Fourier descriptor, where l is the number of non zero elements of W. Then, the watermark Wis formally defined as follows:

$$W_j = \begin{cases} 0 & \text{if } j = 1 \\ \{-1, 1\} & \text{if } 2 \le j \le l+1 \\ 0 & \text{if } l+2 \le j \le n \end{cases}$$
(DC component)

The metadata that one wishes to embed in an ECG signal will be represented with a sufficiently long bit-string. In order to provide additional resilience to attacks, we introduce additional pre-processing before materializing the watermark W. Let B(I) be the binary representation of the information I (e.g., metadata), which is randomly generated using the original information and part of the secret key κ . Details of this pre-processing would be clear later on. We prefer a randomized representation of the metadata in order to protect the private information of the patient. We next produce an error correcting code of $H_{7,4}(B(I))$ using the Hamming(7,4) coding. Introducing channel coding is mainly used to detect errors during the transmission of bit-streams over a noisy channel. This process introduces a controlled level of redundancy by mapping an input of 4 bits into a code of 7 bits. Due to this added redundancy, the receiver of the message will be able to *correct* 1-bit errors and *detect* 2-bit errors. In the same way, we will detect malicious attacks that may *flip* one or more bits of the embedded watermark. We adopted the Hamming(7,4) encoding for its simplicity, but more complicated and effective techniques could be utilized as well. The Reed-Solomon code, for instance, is currently used in CDs and DVDs and it provides augmented correction capabilities.

Given the above, the embedded watermark that can encode the metadata I is defined as follows:

$$W_{j} = \begin{cases} 0 & \text{if} \quad j = 1 \quad (\text{DC component}) \\ 1 & \text{if} \quad (j-1)\text{-th bit of } H_{7,4}(B(I)) = 1 \\ -1 & \text{if} \quad (j-1)\text{-th bit of } H_{7,4}(B(I)) = 0 \\ 0 & \text{if} \quad l+2 \le j \le n \end{cases}$$

where l = |B(I)| is the length of the binary representation of I.

As explicative example, for the rest of the paper we will use the social security number (SSN) as the metadata to be embedded in a given ECG plot. The SSN in the United States, consists of 9 digits in the form 999 - 99 - 9999. Any number $< 10^9$, can be represented with a 30-bit long string, which for conciseness let us call *binary*(SSN). This initial representation can be as simple as the binary conversion of the decimal SSN. The binary representation *binary*(SSN) is then inserted into a cryptographically secure hash function with κ as the secret key to produce the final randomized 30 bit long string B(SSN) as seen in Figure 2. This representation is then divided into seven chucks of four bits each and then Hamming coding is applied independently to each chuck. The result is a l = 57 bits long error correcting code enclosing a given SSN.



Fig. 2. Randomized binary representation of SSN metadata, through hashing and Hamming coding.

C. Embedding the metadata

After the watermark is created based on the given metadata, we use a spread spectrum approach [2] for embedding it into the host medical signal. Our technique will embed the same watermark multiple times in a single time-series sequence. A given ECG signal is partitioned into a set of subsequences S. Then in each of these sub-ECGs the watermark is embedded. This distributes the power of the watermark across multiple frequencies of the signal subsequences, making its removal particularly difficult, while at the same time preserving the important data characteristics. In other words, we get a stronger watermark with less power, i.e. less noise introduced in the original ECG by spreading the watermark signal over the whole data.

More specific, given an ECG signal $x = \{x_1, \ldots, x_n\}$, we first select a random starting point t_k using κ as the seed of a pseudo-random number generator. We then split xinto $|S| = \lfloor n/m \rfloor$ adjacent subsequences, starting from t_k . However, when we reach to the last point of x, i.e., x_n , we cyclically continue embedding the watermark W from x_1 until the remaining n - m * s points of x. We ignore these last n - m * s remaining points before x_{t_k} . We denote the set of these subsequences with S and from now on we will call them *characteristic subsequences*. We chose each characteristic subsequence to contain m = 3*l points such that each subsequence is 3 times longer than the bit-string to be hidden into the data. This simply allocates enough bandwidth in order to embed the watermark in the lowest frequencies of each subsequence, since the length should be at least 2 times the length of the watermark due to the conjugate symmetry of Fourier coefficients. The magnitudes of each subsequence are then updated according to the additive embedding scheme described before.

The embedding process returns the second part of the secret key β to be used during the detection process described later. The vector β is defined as the average values of the various ρ_j of the subsequences in S, only for those j such that $W_j \neq 0$:

$$\beta j(x) = \frac{1}{|S|} \sum_{s \in S} \rho_j(s)$$

Note that the vector β is calculated on the original ECG, i.e. before the watermarking takes place.

Unlike a non-blind watermarking approach, where in order to retrieve the watermark it is necessary to have access to the original data, in our case, we will only need the vector $\theta = [\kappa \beta]$. In this sense we avoid revealing the original data to the users, hence avoiding any obvious security risks.

Resilience of the Embedding: Potential transformations in a medical signal include vertical shifts, re-sampling (upsampling or downsampling) and cropping. By construction, our technique is resistant to vertical shifts, which only affect the first frequency component (the DC), where no part of the watermark is embedded. In the experimental section, we also evaluate the resilience of our scheme to other types of attacks, such as noise addition, upsampling and decimation.

D. Error introduced by the watermark.

We measure the amount of noise introduced in a watermarked signal \hat{x} as the relative error ϵ , w.r.t the original x:

$$\epsilon(x, \hat{x}) = \frac{\|x - \hat{x}\|}{\|x\|}$$

where, $\|\cdot\|$ signifies the L_2 norm of a vector.

If we consider a single subsequence s of x, then due to Parseval's theorem [16], and after some algebraic manipulations, it is easy to see that:

$$\begin{split} \|s - \widehat{s}\|^2 &= \|S - \widehat{S}\|^2 = \|\rho - \widehat{\rho}\|^2 + 2\sum_j \rho_j \widehat{\rho}_j [1 - \cos(\phi_j - \widehat{\phi}_j)] \\ &= \|\rho - \widehat{\rho}\|^2 \qquad (since \ \phi_j = \widehat{\phi}_j \) \\ &= \|\rho - \langle \rho + pW \rangle \|^2 \le \|pW\|^2 = l \ p^2 \end{split}$$

The above gives an upper bound to the error introduced in a single subsequence, assuming that $\langle \rho + pW \rangle = (\rho + pW)$. It also shows that an additive watermarking introduces an error which is proportional to the square root of key length and to the watermarking power. To get an upper bound on the error, ϵ_p , for the whole signal x, we apply the previous result for each segment, yielding

$$\epsilon_p = \frac{1}{\|x\|} \sqrt{\sum_{s \in S} lp^2} = p \frac{\sqrt{|S|l}}{\|x\|}$$

E. Metadata retrieval

In order to retrieve the embedded metadata, we essentially need to retrieve the enclosed robust watermark, based on the knowledge of the secret key $\theta = [\kappa \beta]$. The process is illustrated in Figure 3. We want to allow only the owners of this secret key to retrieve the sensitive metadata present in the data. Note that the first part of key vector κ is randomly selected from the key space and the second part of key vector β depends only on the data and does not have any correlation with the watermark. By disclosing the secret key θ , not the watermarked data, no information can be inferred about the secret metadata.



Fig. 3. Illustration of the watermark embedding and detection/retrieval process.

For retrieving the private metadata, we reverse the watermarking process by comparing the value of β that we have from the original ECG and the new value β^y that we calculate from the received ECG signal y. The received signal y is equal to the watermarked data \hat{x} if there is no distortion (attack) on the signal.

Given a received (watermarked) signal y, we split y into a new set of characteristic subsequences S_y , exactly as done during the watermark embedding process. The metadata are retrieved as follows:

Definition 2 (Metadata Retrieval): Let \hat{x} and y be watermarked and received signals, respectively. The characteristic subsequences S_y is the set derived from the received signal y, which is equal to \hat{S} if there is no distortion on the watermarked signal \hat{x} . Let the calculated statistics from the received signal y be β^y , then we define the binary vector Z as

$$Z_i = \begin{cases} 1 & \text{if} \quad \beta_i^y - \beta_i \ge \tau \\ 0 & \text{if} \quad \beta_i^y - \beta_i < \tau \end{cases}$$

where the threshold is selected to control the trade-off jbetween false alarm (FA) and false rejection (FR) rate. Then, the received B(SSN) is given by

$$R = H_{7.4}^{-1} \langle Z \rangle$$

where R is equal to B(SSN) if there is no error in retrieval.

If $\beta_j^y - \beta_j \ge \tau$ we have a hint that the *j*-th element of the embedded watermark is equal to 0 ($W_j = 0$), and symmetrically equal to 1 if $\beta_j^y - \beta_j < \tau$. In order to get the actual data, we must apply the Hamming decoder $H_{7,4}^{-1}$. Using decoder we retrieve two pieces of information. First we infer whether there has been some error in the retrieval of R, and secondly we can try to remove such an error.

Example: Suppose that we are embedding a 9 digit SSN of a patient as the secret metadata. We first convert 9 digit decimal

SSN into a 30-bit long binary stream. We input the resulting binary representation to a cryptographically safe hash function (with κ as the secret key) to get, again, 30-bit long random sequence. Applying Hamming(7,4) for each 4-bit blocks of this data would yield a watermark signal of 56-bit long, i.e., $\lceil 30/4 \rceil * 7 = 56$. Given an ECG signal x, this W would be embedded for each segment of size 3×56 . After decoding the watermarked signal, we get Z. If there is no attack on x, than it is easy to see that Z should be equal to W, since $\beta^y - \beta = \hat{\beta} - \beta = pW$. In the presence of an attack one can measure the goodness of the watermarking as:

$$\text{Goodness} = 1 - \frac{\sum Z \text{ XOR } W}{56}$$

i.e. the percentage of bits correctly retrieved.

F. Watermark Detection

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Given the secret key θ , one can also simply detect the presence of the watermark without retrieving back the embedded metadata. This is achieved using a generalized correlation detector which is given in the following definition:

Definition 3 (Watermark Detection): Let x, \hat{x} and y be the original, watermarked and received signals, respectively. The characteristic subsequences S_y is the set derived from the received signal y and equal to \hat{S} if there is no distortion on the watermarked signal \hat{x} . Let the calculated statistics from the received signal y be β^y , then we define the generalized correlation detector as

$$\frac{\left\langle \beta^{y} - \beta, \beta - \beta \right\rangle}{\|\widehat{\beta} - \beta\|^{2}} \stackrel{>}{\leq} \tau \quad \text{watermarked} \qquad (1)$$

where the threshold τ is selected based on the desired false acceptance and false rejection rate, and $\langle x, y \rangle = \sum_{i} x_{i} y_{i}$.

The above correlation detector is decision-theoretic optimal when the disturbance on \hat{x} is white Gaussian noise [17]. However, in case of non-Gaussian disturbances, we also introduce following updated correlation detectors which work directly on the received bits instead of β values:

$$\frac{\langle Z, W \rangle}{\|W\|^2} \le \tau \quad \text{watermarked}$$
(2)

and

$$\frac{\langle R, B(SSN) \rangle}{\|B(SSN)\|^2} \ge \tau \quad \text{watermarked}$$
(3)

In the experimental section, we include detailed experiments regarding the performance of the above three watermark detectors.

IV. FRAGILE WATERMARK

After the robust watermark which encloses the private metadata is embedded in the ECG signal, a fragile watermark will be added on top of the resulting signal. The fragile watermark can be used to efficiently detect subsequent alterations to a marked data. Although, the robust watermark is designed to be resilient against most of the benign signal processing operations (such as compression, cropping, decimation) and/or against malicious attacks that intentionally attempt to remove the underlying watermark, fragile watermarks are designed to detect (with high probability) even the slightest changes on the underlying watermarked data. Although conceptually different, the embedding and detection of fragile watermarks is similar to that of robust watermarking framework. In the details provided later on, we stress on the importance of the randomized aspect of our algorithms, since a randomization approach will protect the watermark against most of the intentional attackers trying to estimate the watermark.

A. Fragile Watermark Embedding

For our particular application, we desire our fragile watermarking to have the following properties:

- The embedded watermark should not interfere with the underlying usage of the signal. This requirement reduces the candidate algorithms that one can use on the fragile watermark, in order to induce only minimal effects on the underlying ECG signal.
- 2) The fragile watermarking should be able to detect the presence of tampering on the medical signal.
- 3) The fragile watermarking should provide localized information about tampering. To satisfy this, the fragile watermark needs to be localized. The candidate fragile watermark should also be able to quantify the nature of the underlying alterations or attacks on the corresponding signal. For some applications this property is essential, since most of benign signal processing operations such as compression or change of axis by DC addition/subtraction will destroy the fragile watermark, however, the underlying signal is still useful for all practical purposes. Hence, the fragile watermark should quantify the underlying cause of the alteration as much as possible in order to assist the final judgment on the usability of the tampered signal.

Since our first motivation is to detect any alteration on the underlying ECG signal and we desire to have minimal effect on the underlying signal, we embed the watermark in the spatial domain on the least-significant-bits (LSB's) of the ECG signal. This type of algorithms that alter the LSB's are extremely effective for detection of random perturbations, but in their most basic form [8] are very susceptible to malicious attacks. One can easily change the underlying watermarked signal (in the extreme case completely replace with another signal) without touching the LSB's. In the literature, there are many different variations of the basic approach to reduce this kind of vulnerability to malicious attacks by including context information into the watermark [18]–[20]. In this paper, we require, the embedded watermark signal to be both context and data dependent in a randomized manner in order to avoid any possibility of an attacker to either replace the watermark partially or completely, or alter the watermarked signal. The fragile watermark embedded in the LSB's depends on randomly generated semi-global data statistics, which we believe would capture the essential features of the underlying signal [21]. We extensively use randomization in order to eliminate the possibility for an attacker to retrieve any information about the original key. Since, an attacker which has access to the original key could use this key for watermarking arbitrary data.

Next, we provide the basic fragile watermarking algorithm and the motivation of each step. The complete description of the embedding and detecting of the algorithm are given in Figure 5 and in Figure 7, respectively.

Embedding Algorithm: Given an ECG signal $x = \{x_1, \ldots, x_n\}$, we first separate the underlying signal into separate blocks based on heart-beats, i.e., we use each heart-beat duration as a segment, where x^i is the portion of the ECG signal corresponding to the *i*th heart beat. To achieve a beat to beat signal separation we utilize an energy based filter, since the ECG signal should exhibit higher energy at the frequency indicated by the heart beat. Note, that the heart beat separation does not have to be exact, since this block processing is merely a way of providing broad localization information upon the fragile watermark. In a sense, we are exploiting the fact that we work on ECG signals, and we use their inherent pattern regularity in establishing an effective localization capability for the fragile watermark.

Subsequently, we remove the LSB from each x_k^i to get \tilde{x}_k^i , i.e., \tilde{x} is the ECG signal where all LSB's are set to zero. We use κ as the seed for a pseudo random number generator to generate p randomly located intervals with length w, where $\{t_1^i, \ldots, t_p^i\}$ are the randomly selected starting points for each interval, in Figure 4.



Fig. 4. Localization of the fragile watermark is achieved through data 'blocking' into heart-beats. Subsequent selection of randomly generated windows within the heart-beat for embedding the fragile watermark.

Naturally, each t_i^i is selected to avoid any interference with the next segment, i.e., $t_j^i + w - 1$ should be less than the starting point of the next segment. The length of these windows w is a design parameter. There is a trade off in selecting w, since a large w would capture the essential (or global) characteristics of the signal better, but a small w would capture the local characteristics of the signal better [22]. Given a randomly selected location and a window of length w, we generate semi-global statistics from this portion of the data. These statistics can also have random components in their generation, however in this work we do not use any randomization, except their locations. Our algorithms are generic such that this kind of alterations can readily be incorporated. The windows can be overlapping so that we avoid constraining the selection of locations to reveal limited information to an attacker. These local and randomly generated features are essential and would be called hash values. We use these hash values (after appropriate quantization) and the patient metadata, as the seed of a random number generator to generate the final fragile watermark which is comprised of zeros and ones of length equal to heart-beat duration. The resulting fragile watermark is embedded to the LSB's of the corresponding heart-beat. We repeat the same process for each heart-beat to create the watermarked ECG signal.

We generate several different statistics (or hash values) per window to capture different features of the data in that window. Per window, we generate three different hash values $g_{1,j}^i, g_{2,j}^i, g_{3,j}^i, j = \{1, \ldots, p\}$, by calculating: the power of the corresponding signal filtered by a low pass filter, a band pass filter and a high pass filter as seen in Figure 6. Hence for each heart-beat segment

$$g_{1,j}^{i} = T_{1}(\{\tilde{x}_{t_{j}}^{i}, \dots, \tilde{x}_{t_{j}+w-1}^{i}\}), j \in \{1, \dots, p\}$$

$$g_{2,j}^{i} = T_{2}(\{\tilde{x}_{t_{j}}^{i}, \dots, \tilde{x}_{t_{j}+w-1}^{i}\}), j \in \{1, \dots, p\}$$

$$g_{3,j}^{i} = T_{3}(\{\tilde{x}_{t_{j}}^{i}, \dots, \tilde{x}_{t_{i}+w-1}^{i}\}), j \in \{1, \dots, p\}$$

where $T_1(x)$ ($T_2(x), T_3(x)$) represents the composite operation of first lowpassing (bandpassing, highpassing) the signal x and then calculating the power of lowpass ((bandpass, highpass) filtered signal. We collect all hash values corresponding to all segments and windows in $g = \{g_{l,j}^i\}$. Apparently, these three different hash values would capture the different features of the data. For example, a local shift of the heart-beat data, i.e., a DC addition or subtraction, will not affect the hash values generated by the high pass or band-pass filters, hence revealing and localizing the corresponding tampering. The amount of tampering could also be determined as the amount of change in the corresponding hash values. Even a local tempering could be pinpointed since we use several overlapping windows for each heart-beat segment. Although we use simple outputs of straightforward DSP filters, more sophisticated filters or algorithms that are tuned for a particular application or a signal database can be easily introduced in the algorithm. Each new addition will introduce further localization or capture different features of the data. After collecting the hash values for each interval for each segment of a heart-beat, we append the patient metadata with appropriately quantized values of these hash values as the seed of a random number generator: $\kappa_i = \text{CONCAT}(\kappa \{g_{l,j}^i\})$ to generate the fragile watermark for this segment W_{fra}^{i} . The fragile watermark, W_{fra}^{i} , is the same length as the *i*th segment and comprised solely of zeros and ones. This randomly generated WM will be the LSB's of this particular segment. We replicate this procedure for each heart-beat segment to get the final fragile watermarked signal.



Fig. 6. Filters used for extracting the various window statistics

B. Fragile Watermark Detection

For detecting of the fragile watermark, we follow similar steps as the embedding. Given a watermarked ECG signal \hat{x}

Step 1: Let $x \in \mathbb{R}^n$ be an ECG signal of size $n \times 1$. **Step 2:** For each sample of x, remove the LSB to get \tilde{x} . **Step 3:** Split \tilde{x} into disjoint segments \tilde{x}^i where each \tilde{x}_i corresponds to a single heart-beat and \tilde{x} is the union of \tilde{x}^i $i \in \{1, \ldots, N\}$ **Step 4:** For each $i = \{1, ..., N\}$ **Step 4.1:** Given \tilde{x}^i , generate p possibly overlapping intervals (each with size $w \times 1$) with time stamps $\{t_1^i, \ldots, t_p^i\}$, Step 4.2: For each interval generate three semi-global features: $g_{1,i} = T_1(\{\tilde{x}_{t_j}^i, \dots, \tilde{x}_{t_j+w-1}^i\}),$ $g_{2,i} = T_2(\{\tilde{x}_{t_j}^i, \dots, \tilde{x}_{t_j+w-1}^i\})$ and $g_{3,i} = T_3(\{\tilde{x}_{t_j}^i, \dots, \tilde{x}_{t_j+w-1}^i\})$ where $T_1(.)$ is the power of low-passed filtered $\{\tilde{x}_{t_i}^i, \ldots, \tilde{x}_{t_j+w-1}^i\}$ with pass band $[0, \pi/3]$, $T_2(.)$ is the power of band-passed filtered $\{\tilde{x}_{t_j}^i, \ldots, \tilde{x}_{t_j+w-1}^i\}$ with pass band $[\pi/3, 2\pi/3]$, $T_3(.)$ is the power of high-passed filtered $\{\tilde{x}_{t_i}^{i}, \ldots, \tilde{x}_{t_i+w-1}^{i}\}$ with pass band $[2\pi/3, \pi]$ **Step 4.3:** Construct κ_i by appending κ with appropriately quantized version of $g_{l,i}^i$, $\kappa_i = \text{CONCAT}(\kappa \{g_{l,i}^i\}).$ Step 4.4: Generate a random vector of the same size of x^i comprised of zeros and ones, W_{tra}^i using κ_i as the seed of a random number generator. **Step 4.5:** Replace LSB's of \tilde{x}^i with this random vector.



and hash values g of the original data as the side information, we first remove and store the LSB's for each \hat{x}_k . The hash values are generated for each heart-beat segment using the same random number generator with κ as the seed. After getting the time stamps, $\{t_1^i, \ldots, t_p^i\}$, we calculate the following hash values,

$$\begin{aligned} \hat{g}_{1,j}^{i} &= T_{1}(\{\hat{x}_{t_{j}}^{i}, \dots, \hat{x}_{t_{j}+w-1}^{i}\}), \ j \in \{1, \dots, p\} \\ \hat{g}_{2,j}^{i} &= T_{2}(\{\hat{x}_{t_{j}}^{i}, \dots, \hat{x}_{t_{j}+w-1}^{i}\}), \ j \in \{1, \dots, p\} \\ \hat{g}_{3,j}^{i} &= T_{3}(\{\hat{x}_{t_{j}}^{i}, \dots, \hat{x}_{t_{i}+w-1}^{i}\}), \ j \in \{1, \dots, p\} \end{aligned}$$

where with an abuse of notation we used \hat{x} to represent the watermarked signal with LSB's removed. We then generate the final random signal using concatenated κ and the quantized hash values as the seed of a random number generator, $\hat{\kappa}_i = \text{CONCAT}(\kappa \{\hat{g}_{l,j}^i\})$. We next compare this random sequence \widehat{W}_{fra}^i with the stored LSB's to reveal any alteration. If these two sequences differ, then we announce a possible tampering. One can check to see whether this tampering can be localized through the use of the hash values by calculating

Tampering
$$(i, l, j) = \frac{|\widehat{g}_{l,j}^i - g_{l,j}^i|}{|g_{l,j}^i|}, j = \{1, \dots, p\}, l = \{1, 2, 3\}$$

for each segment *i*. The absolute relative change in the hash values would reveal the possible tampering in the respective region. Although most of the tampering should be localized by the hash values, small changes on the data (intentional or not intentional) may not be caught by the hash values (although they will be caught by our fragile watermarking).

V. EXPERIMENTS

We evaluate empirically the robustness of the proposed metadata embedding technique. We demonstrate that the methods introduce only imperceptible variations that do not distort important ECG features and, as sequence do not alter the diagnosis of a cardiologist or physician. Additionally, we show that the embedding techniques are able to withstand various attacks. We utilize ECG signals extracted from the MIT arrhythmia database [23] which include normal signals as well as arrhythmic signals annotated as malignant ventricular or supra ventricular arrhythmias. The datasets used are available by emailing the contact author.



Fig. 8. Distortion of ECGs for various embedding powers and the resulting signal-to-noise ratio

A. Determining the embedding power

In order to determine the proper embedding power of the metadata, we solicited the expertise of co-author Helga van Herle, who is a cardiologist. She examined a random subset of over 100 normal and abnormal ECG's, on which various random SSN's were embedded using increasing embedding powers on the robust watermark. A subset of such ECG's is demonstrated in Fig. 8. Obviously, larger embedding powers of the watermark lead to lower SNR rates, and hence introduce a larger distortion on the untampered ECG signal. The result of this user study with a topic expert, indicated that for SNR=20 the diagnosis might change for certain ECG's, because of various distortions that were introduced near the P-wave region. However, for SNR's of 30 or 40 the diagnosis would not be affected for any of the examined ECG's. Therefore, for our experiments we use embedding powers p that would lead to SNR > 30 for each ECG signal.

B. Class-Label Preservation

One the major features that a cardiologist examines on ECG data is the presence of arrhythmias which can be an indication of various heart pathologies. Atrial fibrillation is the most common cardiac arrhythmia [24] which can be a strong indication for the possibility of a stroke. Spectral [25] and bispectral [26] techniques have reported success in detecting arrhythmias in medical data. Here, we utilize the spectral distance measure of [25] for quantifying the similarity

Detection:

Step 1: Let $\hat{x} \in \mathbb{R}^n$ be a watermarked ECG signal of size $n \times 1$
and $g \in \mathbb{R}^m$ be a vector of side informations.
Step 2: For each sample of \hat{x} , remove the LSB and store it.
Step 3: Generate the side information sequence \hat{g} following the exact same lines of WM embedding
Step 4: Generate fragile WM using \hat{g} and SSN and compare it with the stored LSB's
Step 5: If they are different than the signal is tampered
Step 6: If tampering is present, check Tampering (i, l, j) .

Fig. 7. Detection of fragile watermark

between 10 normal and 10 arrhythmic ECG's into which we have embedded random SSN's. After the pairwise distances between the 20 ECG's are evaluated we create the resulting dendrogram, which is illustrated in Fig. 9. With the darker color are shown the abnormal ones and with lighter color the normal ECG's. One can observe that even on the ECG's with the embedded metadata there is a clear separation between the two classes of data. Similar results we obtain for the remaining portion of ECG datasets. This example, serves as a simple demonstration that the metadata embedding does not distort



Fig. 9. Dendrogram of ECG's with embedded metadata. We observe that class labels are not distorted. One can still discriminate clearly between arrhythmic (dark color) and normal (light color) ECGs.

C. Resilience Under Attacks for Robust Watermarking

We test the efficacy of metadata retrieval and watermark detection under various data transformations (or potential attacks). In this section we quantify the performance of the robust watermark that carries the metadata, however we note that both robust and fragile watermarks are embedded on the ECG's. The fragile watermark can detect the presence and location of the transformation, and its efficiency we quantify in the upcoming section. For the robust watermark, we examine the effect of the following transformations:

■ Noise addition in the space domain: This is a critical attack because it can potentially destroy the embedded metadata. We first test metadata retrieval when we translate randomly the baseline of the ECG signal (which doesn't destroy the ECG usability) and we add up to 20% relative noise on the original ECG signal. In Figure 10(a) we plot metadata retrieval (as the percentage of correctly recovered bits) versus noise level. From the figure, we observe that up to 14% of distortion (which would anyway destroy the ECG usability) one can retrieve the whole amount of the embedded metadata. This is possible due to the redundancy schemes that we employ in the encoding of the hidden metadata.

■ Noise addition in the frequency domain: An adversary may also add Gaussian noise in the frequency domain, which is where the metadata are embedded. The results for this attack are depicted in Fig. 10(b). We observe similar results for this attack as well, which again validate the robustness of our approach.

■ Decimation: On this attack an ECG is represented by a smaller set of points that best approximate the original ECG signal. A shorter sequence is obtained by sampling equidistant points from the spline associated with the original ECG sequence. Decimation is a significant attack, because even though it does not change significantly the shape of the ECG signal, it allows the adversary to generate a new sequence which has no points in common to the original sequence. In our tests (see Figure 10(c)), even when the ECG signals are represented using only 70% of the original number of points, all of the metadata bits are retrieved correctly.

• Cropping: This is another severe attack on ECG signals. In a cropping attack, the ECG signal is shortened by a fixed amount by eliminating portions of the ECG signal. Since, the size of the cropped ECG signal is shorter than the expected length, we perform a local search based on the correlation between the received β^y and original β over a window. The point where this correlation is maximized is used for watermark retrieval and detection. As seen in Figure 10(d), we plot the watermark retrieval with respect to the amount of cropping performed on the ECG signal. We observe that the retrieval performance gracefully degrades as the cropping amount increases. We observe no distortion up to 5% percent and minimal distortion up to 20% croppings.

Therefore, the above experiments have shown that the effective coding scheme which also carries redundancy, can effectively retrieve the embedded metadata even under the presence of significant transformations. Additionally, a malicious adversary would have to destroy the usability of the signal (distort the shape significantly) in an effort to erase the hidden data.



Fig. 10. (a) Noise addition in space domain, (b) Noise addition in Frequency Domain (c) ECG downsampling (d) ECG cropping

D. Detection of Robust Watermark

In addition to retrieving the metadata, one can also simply detect the presence of the watermark using one of the three watermark detectors presented in section III-F. We evaluate the performance of these detectors under the same data transformations as in the previous experiments, using false acceptance/false rejection curves (FA-FR curves).

■ Noise addition in the space domain: Here, the ECG signals are normalized to have maximum amplitude equal to 1 with zero DC and the average power of an ECG signal is 0.05. The attack consists of additive Gaussian noise with standard deviations: $\sigma = 0.001$, $\sigma = 0.01$, $\sigma = 0.05$, $\sigma = 0.1$. Hence some of these attacks can be considered severe. In Figure 11(a), we plot the FA-FR curves for four different noise powers for the correlation detector introduced in Equation (1). As seen, for noise powers 0.001 and 0.01 the FA-FR curves are on the x-y axes, i.e., the algorithm perfectly separates detection regions (hence there are no errors in detection). As expected, the detection performance gracefully degrades as the noise power increases. Similar performance results are observed in the other algorithms introduced in Equation (2) and (3), respectively. To compare the performance of these three different detectors, we also plot corresponding FA-FR curves for $\sigma = 0.05$. We observe that for additive Gaussian noise (even though the additive noise is in space domain) the first watermark detection algorithm based on correlation of β 's outperforms the other two.

Similar results we obtain for the FA-FR curves for frequency domain attacks, which are omitted for brevity.

■ Decimation attack: We next present the FA-FR curves for

decimation attack in Figure 12, for different decimation factors, down to retaining only 20% of the original signal length. We observe that our watermark detection algorithm is very effective even when reducing the length of sequence by 50% in length, which is a quite severe distortion. We attribute this robustness due to using lower part of the frequency spectrum for mark embedding, since in decimation type of attacks, the higher frequencies are more effected due to lowpass filtering to avoid aliasing. Finally, in the same figure we also present a comparative graph regarding the performance of all the watermark detectors under the same decimation attack level.

■ Cropping attack: We finally present the FA-FR curves for cropping attacks in Figure 13. We examine the detection performance under several different amount of croppings from 10% up to 50%. We observe the same robustness properties for this attack also.

E. Fragile Watermarking

This section studies the performance of the fragile watermark and in specific the behavior of hash values under several different attacks on the ECG signals. For fragile watermarking, we choose a window size of 50 samples and for each region we collect hash values from 4 different subintervals. We observe that the hash values are not that sensitive to window length, and 50 samples provide a fair trade-off between localized information and capturing of semi-global robust statistics [22]. For generation of hash values, we use 8th order low pass, band pass and high pass filters where each filter is designed using a Butterworth algorithm. We choose an 8th order filter to decrease the effect of initial transients due to the use 50



Fig. 11. FA-FR curves for three different watermark detectors for four different noise levels added in space domain. (a) Watermark detector from Equation (1) (b) Watermark detector from Equation (3) (d) FA-FR for all watermark detection algorithms together for $\sigma = 0.05$.

sample sub-intervals. We observe that the effect of this initial transients are unavoidable but acceptable.

We simulate an attack with additive Gaussian noise (as done in the robust watermarking experiments) since this kind of attack (or disturbance) is common due to both intentional or unintentional changes, e.g., data compression. In Figure 14, we plot the histogram of percentage changes in hash values for two different noise levels: $\sigma_n = 0.1$ representing a severe attack (Figure 14(a)) and $\sigma_n = 0.001$ (Figure 14(b)) representing a less-severe attack. We observe that the hash values corresponding to all three filters are effected by this attack. The changes in hash values reflect the degree of the attack, since the percentage change in the severe attack is an order of magnitude larger than the less-severe case. A change in all hash values shows a broadband attack on ECG signals since all the frequency components are effected. Almost identical results we obtain for the same attack in the frequency domain.

For decimation attacks we plot the histogram of percentage changes in hash values in Figure 14 (c) and (d), which correspond to decimation amounts down to 50% and 25% of the original ECG's length. Naturally, since the decimation of a signal effects mainly the higher frequencies due to lowpass filtering to avoid aliasing (and if lowpass filtering is not present, due to aliasing), we observe large changes in hash values generated from bandpass and highpass filters. The hash values generated from lowpass filters are relatively unchanged.

Finally, we present the results for the cropping experiment and plot the changes in hash values in Figure 14(e) and (f) for cropping amounts 20% and 40% providing the sensitivity of hash values under cropping.

We point out that in all cases, the fragile watermarking is destroyed, hence showing presence of an alteration on ECG signals. In all cases the hash values provide relevant information, allowing an analyst to deduce the nature of the underlying attack.

VI. CONCLUSION

In this paper we introduced the topic metadata fusion within medical time-series data. To our knowledge, this is the first work that examined this problem. We show that this embedding does not distort the visual appearance of the medical signal and it also does not induce any changes in the diagnosis. On a technical level we offer the following contributions:



Fig. 12. FA-FR curves for three different watermark detectors when reducing the signal length down to 50%, 33%, 25% and 20%, of the original signal length. (a) Watermark detector from Equation (1) (b) Watermark detector from Equation (2) (c) Watermark detector from Equation (3) (d) FA-FR for all watermark detection algorithms together for 50% decimation.

- We effectively combine watermarking and channel coding schemes for providing the sufficient resilience on the metadata retrieval
- We augment the above robust technique with localized fragile watermarks that can pinpoint the type and location of a potential tampering
- Finally, we evaluate the robustness of the proposed schemes under various transformations and attacks using publicly available ECG datasets.

Even though we presented our techniques on statically stored ECG signals, due to the inherent windowing of our technique and the existence of online versions for the Fourier Transform [27], our method can be directly extended on streaming medical data. Such types of data, are even more prevalent nowadays, with the advent of economic sensor devices that can transmit various measurements of interest. Streaming medical measurements are, for example, transmitted during aeronautical exercises for measuring the stress level of a pilot or an astronaut. Telemedical applications are not uncommon for people that require continual monitoring but reside in remote areas, including scenarios such as combat areas or space travels. The various methodologies proposed in this work, can function as an additional authentication step, regarding the originality of the transmitted streaming medical measurements.

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Fig. 13. FA-FR curves for three different watermark detectors for four different cropping amounts: 10%, 20%, 30% and 40% (a) Watermark detector from Equation (1) (b) Watermark detector from Equation (2) (c) Watermark detector from Equation (3) (d) FA-FR for all watermark detection algorithms together for 30% cropping.

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Fig. 14. Fragile watermarking. Percentage change in hash values. (a) Additive noise in space domain. Noise standard deviation $\sigma = 0.001$. (b) Additive noise in space domain. Noise standard deviation $\sigma = 0.1$. (c) Decimation attack. Decimate down to 50% of sequence length. (d) Decimation attack. Decimate to 25% of sequence length. (d) Cropping attack. 20% cropping. (f) Cropping attack. 40% cropping.