# A self-adaptive distance regularized level set evolution method for optical disk segmentation<sup>1</sup>

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Abstract. The optic disc (OD) is one of the important anatomic structures on the retina, the changes of which shape and area may indicate disease processes, thus needs computerized quantification assistance. In this study, we proposed a self-adaptive distance regularized level set evolution method for OD segmentation without the periodically re-initializing steps in the level set function execution to a signed distance function during the evolution. In that framework, preprocessing of an image was performed using Fourier correlation coefficient filtering to obtain initial boundary as the beginning contour, then, an accurate boundary of the optic disc was obtained using the self-adaptive distance regularized level set evolution method. One hundred eye fundus color numerical images from public database were selected to validate our algorithm. Therefore, we believe that such automatic OD segmentation method could assist the ophthalmologist to segment OD more efficiently, which is of significance for future computer-aided early detection of glaucoma and retinopathy diseases.

Keywords: Optic disk, retinal imaging, level set evolution, imaging informatics

## 1. Introduction

A retinal image is an important interface for various eye diseases' diagnosis, and its analysis and processing has great significance in the field of clinical medicine, especially in non-invasive chronic disease detecting and monitoring. The optic disc (OD) is one of the important anatomic structures on the retina at which optic nerve axons enter and leave the eye. Changes of OD shape and area may indicate many disease processes, particularly in glaucoma, diabetic retinopathy (DR), age-related

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macular degeneration (ARMD), parapapillary atrophy and glaucoma and accurate identification of the OD boundary may be used to quantify such changes [1-5].

Previous studies have approached different segmented and quantification methods for OD on retinal fundus images [6–9]. Properties such as a circular structure and high intensity of the optic disc are widely considered as important features for further OD classification. Zheng et al proposed a fairly general energy function that can naturally fit into a global optimization framework with graph cut to automatically segmentation of OD and cup from color fundus photograph. In their study, an energy function includes priors on the shape, disc location, geometric features together with the effective optimization of graph cut were performed [10]. Cemal et al extracted intensity and textural features from a retinal image, and proposed simple and efficient statistical methods for the detection, segmentation, and measurement of the OD [11].

Given that the mixture of retinal vessel and OD pixels on the OD region, the effects of clustering methods and histogram-based methods are limited. However, the contour-based method has its special advantage in avoiding above-mentioned issues. Early geometric active contour-based models were typically based on partial differential equation (PDE) driven level set methods, representing contours as the zero level set of an implicit function defined in a higher dimension. In implementing such methods, it is numerically in need of re-initialization steps to overcome irregularities during the level set evolution process [12].

In this study, we proposed a self-adaptive distance regularized level set evolution method for OD segmentation without the periodically re-initializing steps in the level set function execution to a signed distance function during the evolution. The proposed algorithm consists of two steps-in the first step, preprocessing of an image was performed using Fourier correlation coefficient filtering to obtain initial boundary as the beginning contour; while in the second step, accurate boundary of optic disc was formed using level set method. In the following sections, we described our approach and validated its efficiency in public dataset in comparison of other reported approaches.

# 2. Methods

## 2.1. Dataset

In this study, 100 eye fundus color numerical images (Subset Base31) were obtained from the publicly available Messidor database (http://messidor.crihan.fr) which was acquired by three ophthalmologic departments using a color video 3CCD camera on a Topcon TRC NW6 non-mydriatic retinograph with a 45 degree field of view. The images were obtained using eight bits per color plane at 1440\*960, 2240\*1488 or 2304\*1536 pixels, with pupil dilation (one drop of Tropicamide at 0.5%). All images were stored in TIFF format and an Excel file with medical diagnoses' annotation for each image. Besides, another 100 manually segmented OD binary images were prepared by a clinician and were treated as ground truth (Figure 1).

In this study, we tested the system on Intel Pentium duo core CPU P6000, Windows 7 Home Basic operating system and MATLAB (R2012a).

# 2.2. Workflow

In this study, a retinal image was firstly transformed into frequency field, in which the OD region was roughly located using Fourier correlation coefficient filtering. Then, an initial OD boundary was



Fig. 1. The manually OD segmentation results (left) and correspondent tested image (right).



Fig. 2. The workflow chart of our proposed method.

automatically constructed for further level set evolution. In this way, an accurate OD boundary was achieved. The implementation workflow of our proposed system was illustrated as follows (Figure 2).

## 2.3. OD coarse location using Fourier correlation coefficient filtering

In this study, an isotropic Gaussian smoothing filter was used as Eq. (1):

$$G(x, y) = \frac{1}{2\pi\sigma^2} e^{-\frac{x^2 + y^2}{2\sigma^2}}$$
(1)

A discrete approximation to the Gaussian function was constructed (radius was set to 18, and scale factor was set to 0.03) as a template for further image pre-processing. Then, Fourier transform was performed on tested images to calculate Fourier correlation coefficients of tested images and the template. Then, the coefficients were obtained, and 3D plotting of the coefficients' matrix was shown in Figure 3A. The OD center was shown in the peak and different edges were obtained with different threshold values (Figure 3B), which could be used as coarse OD segmentation results.

After above coarse automatic localization of and OD edge detection, an initial square region of interest (ROI) centered at above localized OD center (Figure 4A) and with size larger than the diameter of coarsely segmented OD was constructed as the beginning contour for further level set algorithm (Figure 4B). The coordinate's information of such ROI in the whole tested image was preserved for further usage.

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Fig. 3. 3d view of the Fourier correlation matrix (left) and set of contours at different levels (right).



Fig. 4. The pre-localization of optic center (4A) and initial contour for level set algorithm (4B).

# 2.4. Distance regularized level set evolution

Level set method was introduced by Caselles et al. [13] and Malladi et al. [14] in the context of gemetric active contour models [15] for image segmentation.

Herein, a time-dependent level set model is formulated as Eq. (2):

$$L = \frac{\partial(x, y, t)}{\partial t} = F|\nabla(x, y)|$$
(2)

where F is the speed function that controls the motion of the iterated contours.

In order to prevent irregularities of a level set function (LSF) during the level set evolution, Li et al. [16] proposed a distance regularization term, which maintains a signed distance profile near the zero level set. For this modification, the regularity of the LSF is kept by a forward-and-backward diffusion derived from the distance regularization term in the level set evolution.

In this study, an energy function E(L) was defined as Eq. (3):

$$E(L) = \mu R(L) + E_{ext}(L)$$
(3)

in which L represents the level set function, R is the level set regulation term referred to as a distance regularization term for its role of maintaining the signed distance property of the LSF,  $\mu$  is a positive constant, and  $E_{ext}$  is the external energy. The R term is further defined as Eq. (4):

$$R(L) = \int p(\nabla L) dx \tag{4}$$

in which p is an energy density function defined as Eq. (5):

$$p = \frac{1}{2}(s-1)^2$$
(5)

which has s=1 as unique minimum point.  $E_{ext}$  is designed when the zero level set of level set function L is located at the real OD edges. In this way, we could achieve the parameters of level set evolution equation by minimization of energy function.

After iteration, the contour of OD was identified and calibrated to the original image input coordinate, and the pixels in it were preserved as 1, while the pixels out it were changed to 0 to form a binary image. Then, we quantified and compared the accuracy of the OD segmented region against the hand-labeled ground truth produced by a clinician. A region-based quantitative analysis is used to as a measure to match between two regions in the images, which access the performance of our proposed method with Eq. (6).

$$Accuracy = \frac{Tp}{Tp + Fp}$$
(6)

in which Tp, Fp are true positives, false positives respectively. Table 1 showed mean accuracy rate for all 100 images in the dataset.

Methods	OD detection accuracy	Speed
Hoover [17]	89.0%	4 min
Sinthanayothina's [18]	96.3%	4.5 min
Foracchia [19]	97.5%	2 min
Youssif [20]	98.8%	3.5 min
Mahfouz [21]	97.0%	0.6 s
Our proposed	99.7%	3.5 s

Table 1 Comparison of different algorithms in OD detection

## 3. Results

The automatically identified OD is located correctly in 97 images out of 100 experimental images. The reason for the three mistaken images was due to the uneven background illumination, which results in the first coarse location of OD center error, changing from the actual location. In the detection of OD boundary using our contour-based model, the initial set of points that define contour of the level set were automatically selected as a square-box containing OD. Several different values were tested for the parameters of the gradient descent flow equation and with these parameter settings, the proposed algorithm was applied to 100 images in the dataset. We found that time step was set as 2; coefficient of the distance regularization term R was set as 0.2; coefficient of the weighted length term L was set as 2; coefficient of the weighted area term A was set as 1.5; and scale parameter in Gaussian kernel for ROI image convolution was 1.5 could achieve the best result for our images. The number of OD was smooth and fit the natural edges of OD (Figures 5 and 6). The comparison of accuracy and time cost with other reported algorithms were shown in Table 1.



Fig. 5. Automatic segmented results (left: 3d view of segmented result: right: final contour of OD).



Fig. 6. The segmented results (upper: original images; lower: segmented OD contour).

## 4. Discussion and conclusion

A number of studies have been reported on automatic OD localization and segmentation [22–25]. Normally, these approaches could be divided into two major classes: contouring modeling and pixel classification. Interestingly, a recent study compared the contour modeling method with the pixel classification method, and their results suggested that the OD region could be better determined with the contour modeling methods like active contour modeling [26].

Level set method has been selected in this study, and the initial regional curve is represented implicitly as a level set of a 2D scalar function. Different from the common edge detector or seed tracing approaches, the level set method evolves a curve by updating the level set function at fixed coordinates through time instead of tracking a curve through time. The traditional level set method has the irregularities that are developed during the level set evolution. In our study, the distance regularized level set evolution formulation could reduce iteration numbers and computation time, while maintaining sufficient numerical accuracy. A refinement of this technique is combing the coarse localization of OD to formulate an adaptive ROI as input boundary for the distance regularized level set evolution iteration. Another Gaussian smoothing kernel regularized model which penalized the level set function has been formulated by Zhang et al. [27] While Ciofolo et al. [28] proposed an automatic method for the segmentation of multiple structures with competitive level sets driven by fuzzy control.

In conclusion, our proposed self-adaptive distance regularized level set evolution method has segmented OD successfully in retinal images, without re-initialization and the need of tuning the contour parameters.

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