

# Analysis of Amplify-and-Forward DSTBCs over the Random Set Relay Channel

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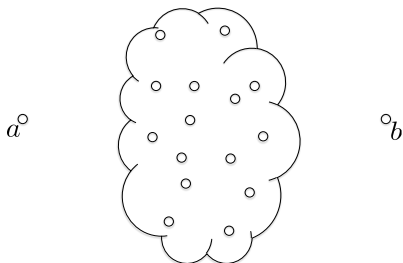
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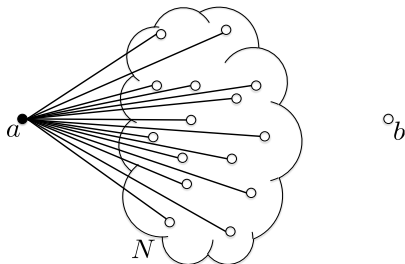
# DSTBC over Uncoordinated Relay Pool



- Decode-and-forward DSTBC [Laneman'03, Barbarossa'04]
- Effect of node distribution on DF-DSTBC [Sadek'05]
- Best-relay AF [Bletsas'07, Krikidis'08]

- [Laneman'03] J. N. Laneman and G. W. Wornell, "Distributed space-time-coded protocols for exploiting cooperative diversity in wireless networks," *IEEE Trans. Inf. Theory*, vol. 49, no. 10, Oct. 2003.
- [Barbarossa'04] S. Barbarossa, L. Pescosolido, D. Ludovici, L. Barbetta, and G. Scutari, "Cooperative wireless networks based on distributed space-time coding," in *Proc. IEEE International Workshop on Wireless Ad-hoc Networks (IWWAN'04)*, Finland, 2004.
- [Sadek'05] A. K. Sadek, W. Su, and K. J. R. Liu, "Clustered cooperative communications in wireless networks," in *IEEE Global Conference on Communications (Globecom'05)*, U.S.A., 2005.
- [Bletsas'07] A. Bletsas, H. Shin, and M. Z. Win, "Cooperative communications with outage-optimal opportunistic relaying," *IEEE Trans. Commun.*, vol. 6, no. 9, pp. 3450–3460, 2007.
- [Krikidis'08] I. Krikidis, J. Thompson, S. McLaughlin, and N. Goertz, "Amplify-and-forward with partial relay selection," *IEEE Commun. Lett.*, vol. 12, no. 4, pp. 235–237, Apr. 2008.

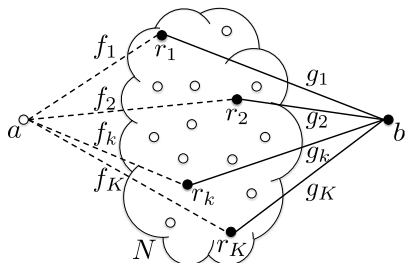
# AF-DSTBC over Uncoordinated Relay Pool



- $P_1$  : Transmit power of the source
- $f_k$  : Source-relay channel coefficient (Rayleigh,  $E[|f_k|^2] = 1$ )
- $\gamma_{ar_k}$ : Instantaneous SNR of source-to- $r_k$  channel
- $\sigma_1^2$  : Average noise power at each relay

[Jing'06] Y. Jing and B. Hassibi, "Distributed space-time coding in wireless relay networks," *IEEE Trans. Wireless Commun.*, vol. 5, no. 12, pp. 3524–3536, Dec. 2006.

# AF-DSTBC over Uncoordinated Relay Pool



- $P_2$  : Transmit power of *each* relay (constant)
- $g_k$  : Source-relay channel coefficient (Rayleigh,  $E[|g_k|^2] = 1$ )
- $\gamma_{r_k b}$  : Instantaneous SNR of  $r_k$ -to-destination channel
- $\sigma_2^2$  : Average noise power at destination

[Jing'06] Y. Jing and B. Hassibi, "Distributed space-time coding in wireless relay networks," *IEEE Trans. Wireless Commun.*, vol. 5, no. 12, pp. 3524–3536, Dec. 2006.

# The Random Set Relay Channel

- Relay active iff  $|f_k|^2 \geq \xi$
- Relay channel:  $\mathcal{C} \triangleq \{f_1 g_1, \dots, f_K g_K\}$  (random set)

$$p_K(\xi, N) = \binom{N}{K} e^{-K\xi} (1 - e^{-\xi})^{(N-K)}$$

$$p_K(\xi, \nu) = \lim_{N \rightarrow \infty} p_K(\xi, N) = \frac{\nu^K e^{-\nu}}{K!}$$

- Assumption:  $f_k$ 's are i.i.d
- Using:  $\Pr\{|f_k|^2 \geq \xi\} = e^{-\xi}$

# Amplification Strategies

- With CSI

$$\rho = \sqrt{\frac{P_2}{(1 + \xi)P_1 + \sigma_1^2}} \cdot \frac{f}{|f|}$$

- Without CSI [Maham'08]

$$\rho = \sqrt{\frac{P_2}{(1 + \xi)P_1 + \sigma_1^2}}$$

- With linear DSTBC, achieves the same performance

[Maham'08] B. Maham, A. Hjørungnes, and G. Abreu, "Distributed GABBA space-time codes in amplify and forward relay networks," in *IEEE Sensor Array and Multichannel Signal Processing Workshop (SAM'08)*, Darmstadt, Germany, Jul.21-23 2008.

# Instantaneous Power at Receiver

Full-diversity DSTBC and MRC

$$\gamma_{ab} = \frac{\rho^2 P_1 \sum_{k=1}^K |f_k|^2 |g_k|^2}{\sigma_2^2 + \rho^2 \sigma_1^2 \sum_{k=1}^K |g_k|^2}$$

# Instantaneous Power at Receiver

Full-diversity DSTBC and MRC

$$\gamma_{ab} = \alpha \sum_{k=1}^K |f_k|^2 |g_k|^2$$

$$\alpha = \frac{\bar{\gamma}_{ar} \bar{\gamma}_{rb}}{1 + (1 + \xi) \bar{\gamma}_{ar} + \bar{\gamma}_{rb} \sum_{k=1}^K |g_k|^2}$$



# Instantaneous Power at Receiver

Full-diversity DSTBC and MRC

$$\gamma_{ab} = \alpha \sum_{k=1}^K |f_k|^2 |g_k|^2$$

$$\alpha \approx \frac{\bar{\gamma}_{ar} \bar{\gamma}_{rb}}{1 + (1 + \xi) \bar{\gamma}_{ar} + K \bar{\gamma}_{rb}}$$

$$K \gg 1 \implies \sum_{k=1}^K |g_k|^2 \approx K$$

## Statistics of the RSRC

- Define:  $z = |f|^2 \cdot |g|^2$ ,  $x \triangleq |f|^2$  and  $y \triangleq |g|^2$
- Recall:  $p_X(x) = e^{-x}$  and  $p_Y(y) = e^{-y}$

- Then: 
$$F_Z(z|x \geq \xi) = \int_{\xi}^{\infty} \Pr\{y \leq z/x\} \cdot p_X(x \geq \xi) dx$$
$$= \int_{\xi}^{\infty} (1 - e^{-z/x}) \cdot e^{\xi-x} dx$$
$$= 1 - e^{\xi} 2\sqrt{z} K_1(2\sqrt{z}) + e^{\xi} \int_0^{\xi} e^{-\frac{z}{x}-x} dx$$

$$p_Z(z|x \geq \xi) = \frac{d}{dz} F_Z(z|x \geq \xi)$$

- Thus: 
$$p_Z(z|x \geq \xi) = 2e^{\xi} K_0(2\sqrt{z}) - e^{\xi} \int_0^{\xi} \frac{1}{x} e^{-\frac{z}{x}-x} dx$$
- And: 
$$\mu_z(-s; \xi) = \frac{1}{s} e^{\xi + \frac{1}{s}} E_1(\xi + 1/s)$$

# BER of Regular AF-DSTBC over the RSRC

- General expression

$$\bar{P}_X(\bar{\gamma}_{ar}, \bar{\gamma}_{rb}; \xi, \eta, N, M) = \sum_{K=0}^N p_K(\xi, N) \cdot \bar{P}_X(\bar{\gamma}_{ar}, \bar{\gamma}_{rb}; \xi, \eta K, M)$$

- With PSK:

$$\bar{P}_{\text{PSK}} = \sum_{m=1}^{M-1} \frac{\bar{d}_{m:\text{PSK}}}{2 \log_2 M} \cdot [I(\delta_m^-, \alpha \cdot \Delta_{\text{PSK}}(\delta_m^-), \eta K) - I(\delta_m^+, \alpha \cdot \Delta_{\text{PSK}}(\delta_m^+), \eta K)]$$

- With QAM:

$$\bar{P}_{\text{QAM}} = \sum_{m=1}^{\log_2 \sqrt{M}} \left[ \sum_{i=0}^{(1-2^{-m})\sqrt{M}-1} \frac{4d_{i:\text{QAM}}}{\sqrt{M} \log_2 \sqrt{M}} \cdot I\left(\frac{1}{2}, \alpha \cdot \Delta_{\text{QAM}}(i), \eta K\right) \right]$$

- Where:  $I(\delta, \alpha \cdot \Delta, \eta K) = \frac{1}{\pi} \cdot \int_0^{\pi(1-\delta)} \left[ \mu_z\left(-\frac{\alpha \cdot \Delta}{\sin^2(\theta)}; \xi\right) \right]^{\eta K} d\theta,$

[Abreu'07] G. Abreu, "BER and mutual information of STBCs over fading channels with PSK/QAM modulations," in *IEEE International Symposium on Personal, Indoor and Mobile Radio Communications (PIMRC'07)*, Athens, Greece, Sep.3-7 2007.

# Diversity of Regular AF-DSTBC over the RSRC

- Definition [Jafarkhani'05]:

$$G(K) \triangleq - \lim_{\bar{\gamma} \rightarrow \infty} \frac{\log(\text{SER}(K))}{\log(\bar{\gamma})}$$

- Symbol Error Rate

$$\text{SER}(K) = c \cdot Q \left( \sqrt{\alpha \cdot \Delta \sum_{k=1}^K |f_k \cdot g_k|^2} \right) \leq \frac{c}{2} \mu_z^{\eta K} \left( -\frac{\alpha \cdot \Delta}{2}; \xi \right)$$

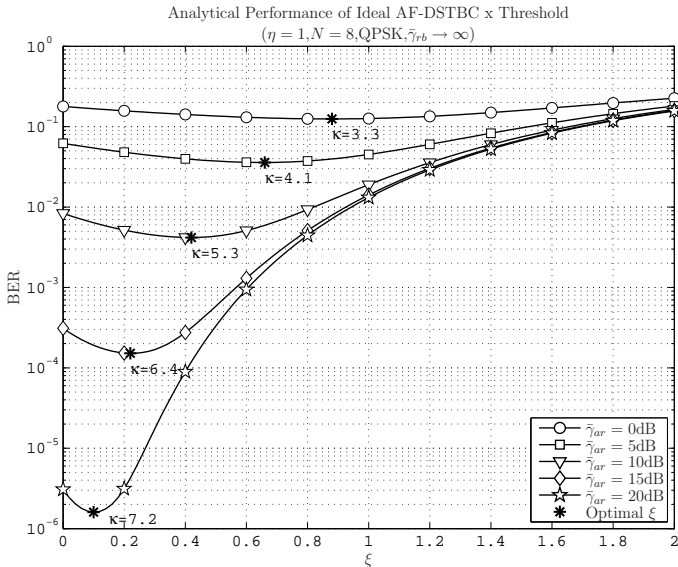
- Diversity gain

$$\begin{aligned} G(K) &= - \lim_{\bar{\gamma} \rightarrow \infty} \frac{\eta K \left( -\log(\chi) + \frac{1}{\chi} + \log[\log(\chi)] \right)}{\log(\bar{\gamma})} \\ &= \lim_{\bar{\gamma} \rightarrow \infty} \frac{\eta K \log(\chi)}{\log(\bar{\gamma})} = \eta K, \quad \chi \propto \bar{\gamma} \end{aligned}$$

- Use  $E_1(x) = \varepsilon + \log(-x) + \sum_{n=1}^{\infty} \frac{x^n}{n n!}$

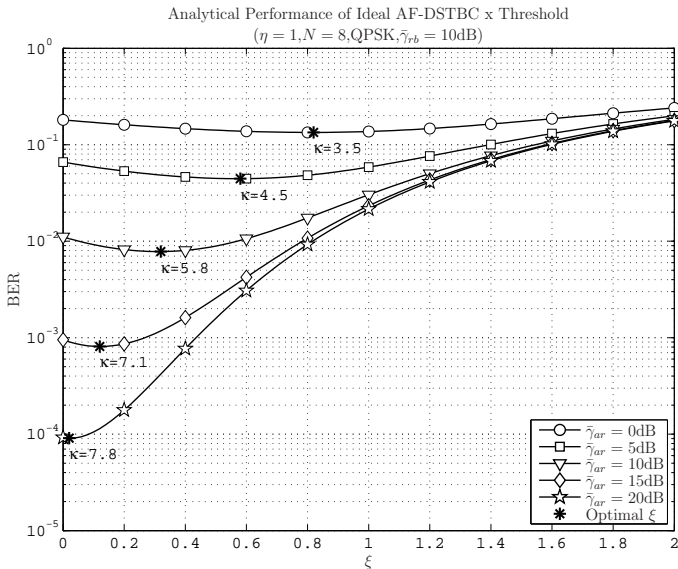
# Performance of Ideal AF-DSTBC in RSRC

## Relays Close to Destination



# Performance of Ideal AF-DSTBC in RSRC

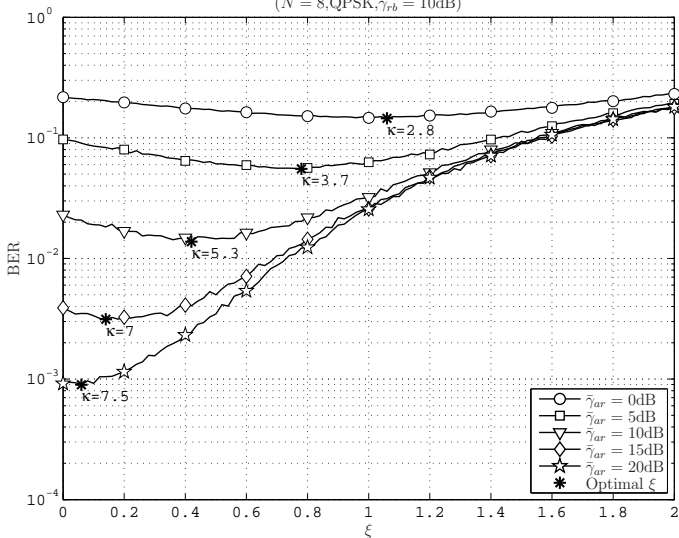
## Relays in Midrange



# Performance of AF-GABBA with Genie

## Relays in Midrange

Simulated Performance of AF-DGABBA x Threshold  
( $N = 8, \text{QPSK}, \bar{\gamma}_{rb} = 10\text{dB}$ )

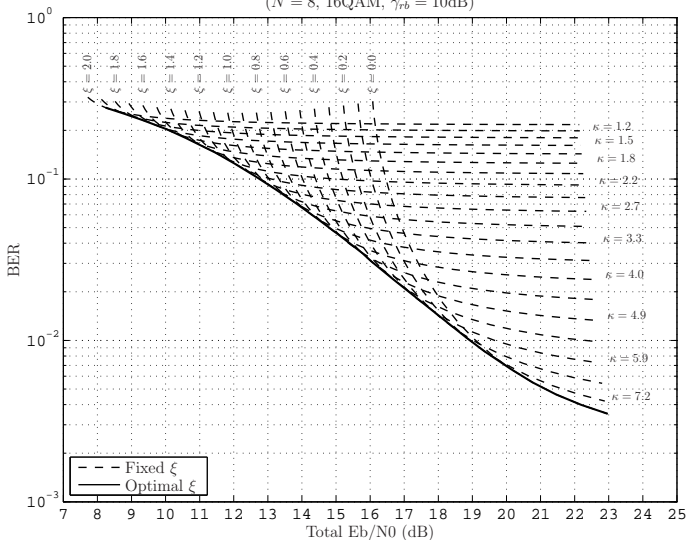


# Spectral Efficiency of Ideal AF-DSTBC in RSRC

## Small Pool

Analytical Performances of Ideal AF-STBC w/wo Automatic Relay Selection

( $N = 8$ , 16QAM,  $\bar{\gamma}_{rb} = 10\text{dB}$ )



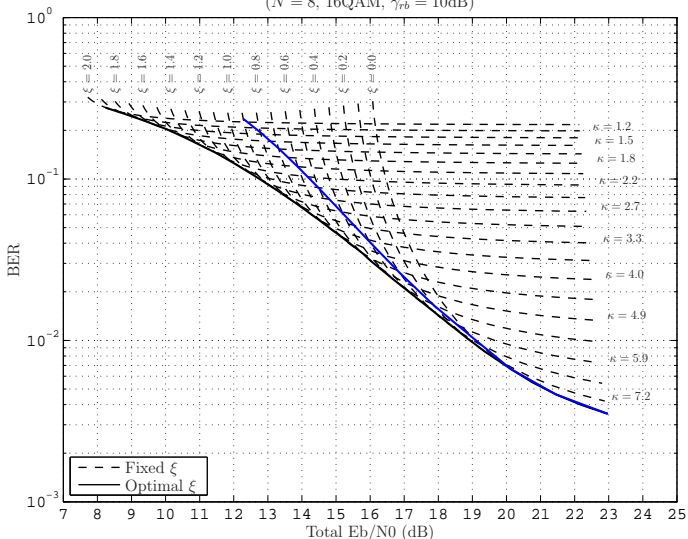


# Spectral Efficiency of Ideal AF-DSTBC in RSRC

## Small Pool

Analytical Performances of Ideal AF-STBC w/wo Autonomic Relay Selection

( $N = 8$ , 16QAM,  $\bar{\gamma}_{rb} = 10\text{dB}$ )

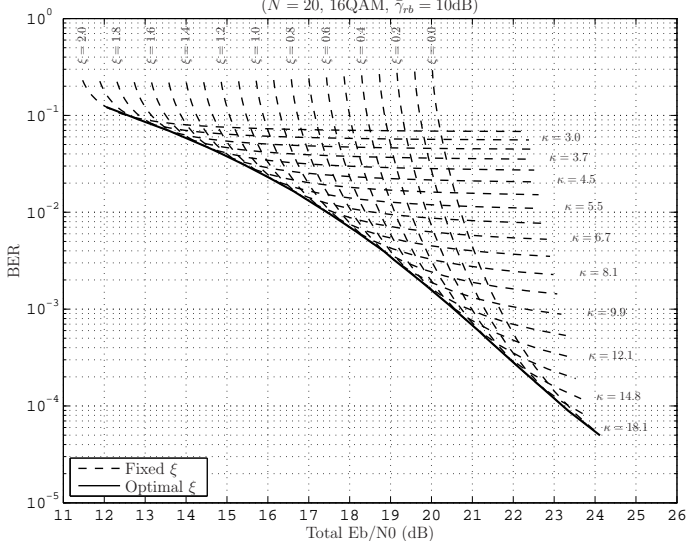


# Spectral Efficiency of Ideal AF-DSTBC in RSRC

## Large Pool

Analytical Performances of Ideal AF-STBC w/wo Automatic Relay Selection

( $N = 20$ , 16QAM,  $\bar{\gamma}_{rb} = 10\text{dB}$ )

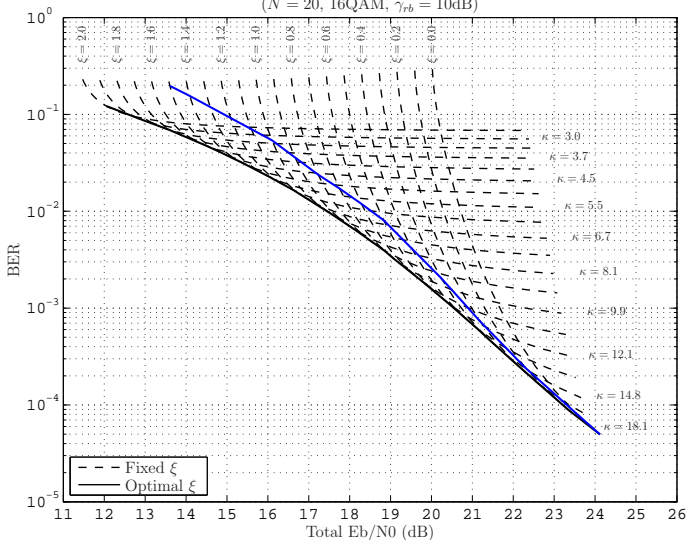


# Spectral Efficiency of Ideal AF-DSTBC in RSRC

## Large Pool

Analytical Performances of Ideal AF-STBC w/wo Automatic Relay Selection

( $N = 20$ , 16QAM,  $\bar{\gamma}_{rb} = 10\text{dB}$ )



# Average Mutual Information of AF-DSTBC

- Instantaneous SNR (without forward CSI):

$$\gamma_{ab} = \frac{\rho^2 P_1 \sum_{k=1}^K |f_k|^2 |g_k|^2}{\sigma^2 + \rho^2 \sigma^2 \sum_{k=1}^K |g_k|^2}$$

- Mutual Information (for a given  $\{f_1 g_1, \dots, f_K g_K\} \in \mathcal{C}$ ):

$$\mathcal{I} = \frac{1}{2} \log(1 + \gamma_{ab})$$

- Where log is in base 2

- Average Mutual Information:

$$\bar{\mathcal{I}} = p_1(\xi, N) \cdot \bar{\mathcal{I}}_{K=1} + p_2(\xi, N) \cdot \bar{\mathcal{I}}_{K=2} + \dots + p_N(\xi, N) \cdot \bar{\mathcal{I}}_{K=N}$$

## Average Mutual Information: ( $K = 1$ )

- Instantaneous SNR (conditioned on  $K = 1$ ):

$$\gamma_{ab}|_{K=1} = \frac{\rho^2 P_1 |f|^2 |g|^2}{\sigma^2 + \rho^2 |g|^2}$$

- Average Mutual Information:

$$\begin{aligned}\bar{\mathcal{I}}_{K=1} &= \frac{1}{4 \ln 2} \int_0^\infty \int_0^\infty \ln \left( 1 + \frac{\bar{\gamma}_{ar}(x + \xi)y}{y + \varepsilon} \right) e^{-x} e^{-y} dx dy \\ &= \frac{1}{4 \ln 2} \left[ e^\varepsilon \text{Ei}(-\varepsilon) - e^{\frac{\varepsilon}{\bar{\gamma}_{ar}\xi+1}} \text{Ei}\left(\frac{-\varepsilon}{\bar{\gamma}_{ar}\xi+1}\right) - e^{\frac{1+\bar{\gamma}_{ar}\xi}{\bar{\gamma}_{ar}}} \int_0^\infty \text{Ei}\left(\frac{-\varepsilon - (\bar{\gamma}_{ar}\xi+1)y}{\bar{\gamma}_{ar}y}\right) e^{\frac{\varepsilon - \bar{\gamma}_{ar}y^2}{\bar{\gamma}_{ar}y}} dy \right]\end{aligned}$$

- Where:  $\varepsilon \triangleq 1/\rho^2 = \frac{(1+\xi)P_1 + \sigma^2}{P_2}$

## Bounds on $\bar{\mathcal{I}}_{K=1}$

- Upper Bound:

$$\mathbb{E}[\log(1+x)] \leq \log(1 + \mathbb{E}[x]) \Rightarrow \mathbb{E}[\log(1 + \gamma_{ab})] \leq \log\left(1 + \frac{\bar{\gamma}_{ab}K(1+\xi)}{K+\varepsilon}\right)$$

$$\bar{\mathcal{I}}_{K=1} \leq \frac{1}{4} \log\left(1 + \frac{\bar{\gamma}_{ar}(1+\xi)}{1+\varepsilon}\right)$$

- Lower Bound:

$$\log(2\sqrt{x}) < \log(1+x)$$

$$\bar{\mathcal{I}}_{K=1} \geq \ln 2 + \frac{1}{2} \left[ \ln \frac{\bar{\gamma}_{ar}\xi}{\varepsilon} + e^\varepsilon \text{Ei}(-\varepsilon) - e^\xi \text{Ei}(-\xi) - \mathcal{Z} \right]$$

- Where:  $\mathcal{Z}$  is the Euler constant

## Conditional Average Mutual Information: ( $K \gg 1$ )

$$K \gg 1 \implies \sum_{k=1}^K |g_k|^2 \approx K$$

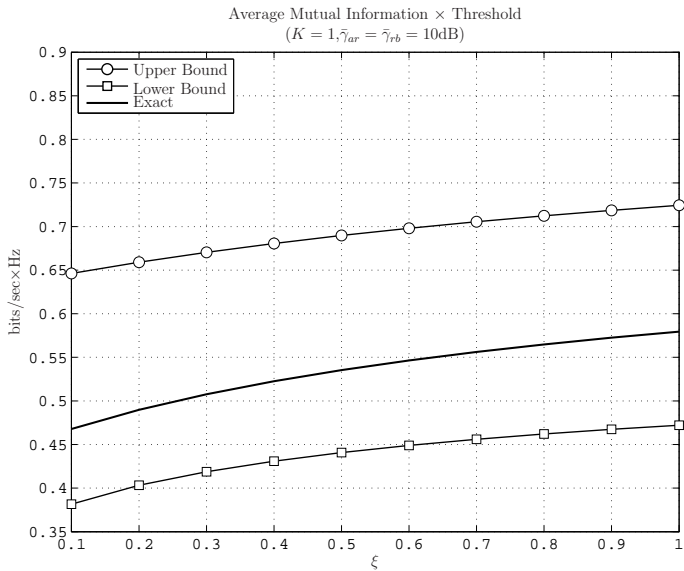
- Instantaneous SNR (conditioned on  $K \gg 1$ ):

$$\gamma_{ab}|_{K \gg 1} = \frac{P_1}{\sigma^2} \frac{K(1 + \xi)}{\frac{(1 + \xi)P_1 + \sigma^2}{P_2} + K}$$

- Average Mutual Information:

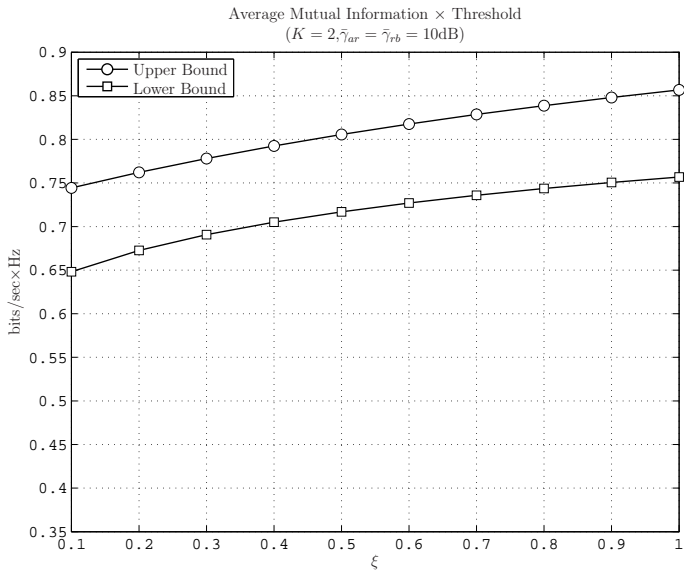
$$\bar{\mathcal{I}}_{K \gg 1} = \frac{1}{4} \log \left( 1 + \frac{\bar{\gamma}_{ar} K (1 + \xi)}{K + \varepsilon} \right)$$

# Conditional Average Mutual Information: ( $K = 1$ )

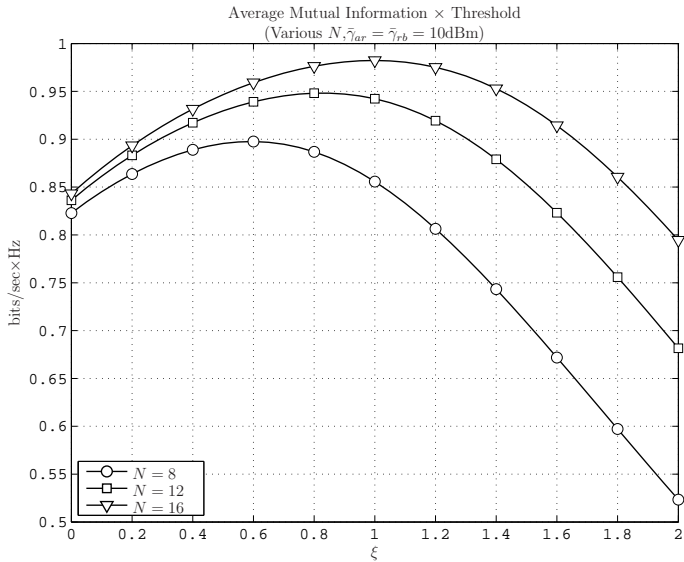




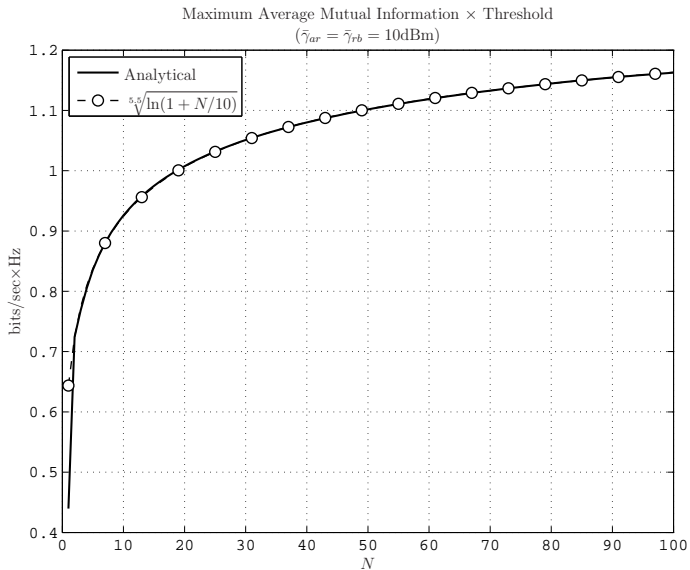
# Conditional Average Mutual Information: ( $K = 2$ )



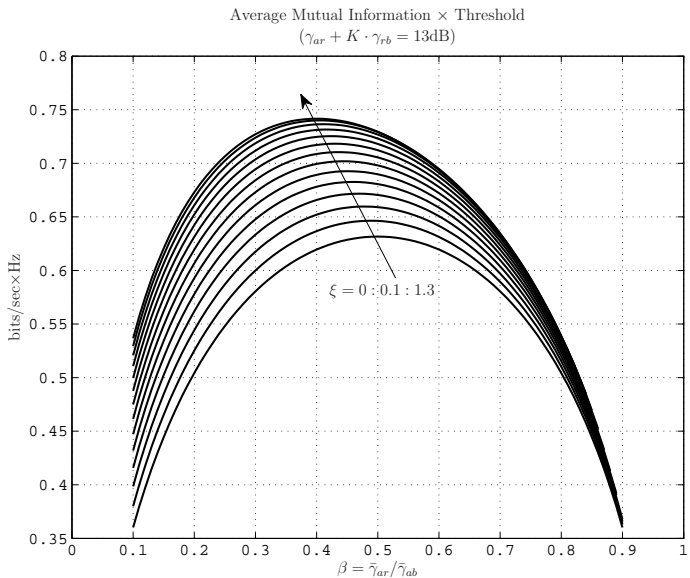
# Average Mutual Information (Fixed $N$ )



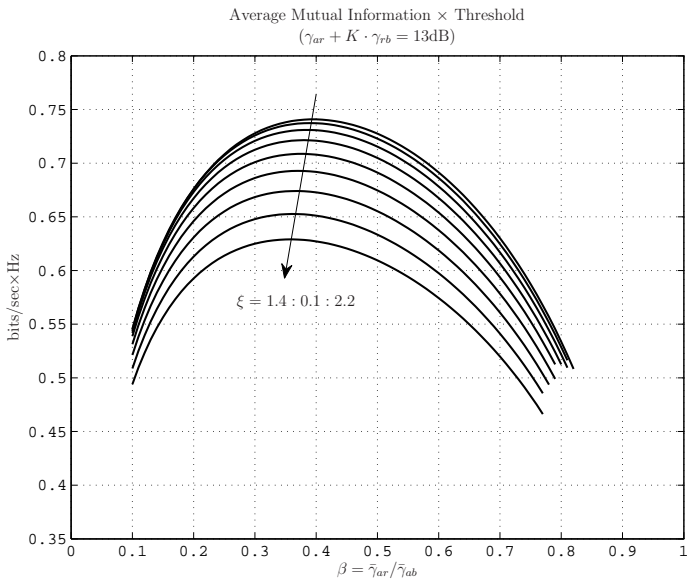
# Maximum Average Mutual Information



# Maximum Average Mutual Information



# Maximum Average Mutual Information



# Conclusions

**Opportunistic Cooperation Works!**  
(Better BER, Efficiency and Mutual Information)