

Introduction to the Issue on Time/Frequency Modulated Array Signal Processing

PHASED-ARRAY is known for its capability to electronically steer a beam towards a desired direction. However, this beam steering does not account for the target range. There is an increasing need to control the range-dependent transmit energy distribution in applications involving interference suppression, directional communications, and range ambiguity. Towards this end, several advanced array design approaches have been introduced, which include time modulated array (TMA), frequency diverse array (FDA), and frequency diversity or time division based multiple-input multiple-output (MIMO) systems. By connecting and disconnecting the elements from the feed network, TMA can form a beam pattern with low sidelobes. FDA uses small frequency increments across the array elements so as to produce a range-dependent pattern, whereas MIMO provides increased degrees-of-freedom (DOFs) beyond the number of physical antennas. We adopt the term *time/frequency modulated arrays* to represent all the above approaches and describe their corresponding configurations.

Time/frequency modulated array techniques have recently emerged to address pressing and important problems in radar, satellite navigation, radio astronomy, wireless communications, microwave imaging, and biomedical engineering. These techniques have been developed to benefit range-dependent transmit beamforming, range-angle target localization, cognitive interference/clutter suppression, physical-layer security communications, spectrum sharing and co-existence, dual system functionality, etc. Nevertheless, there remain many technical challenges that require devising novel signal analysis and array processing methods. These include new design of array parameters and transmit waveforms for optimum system performance. It is noted that array optimality is entwined with its configuration, and, as such, prior conditions on array geometry and sensor spacing must be avoided when applicable. Further, time/frequency modulated arrays should handle multiple targets characterized by range, time, angle, and frequency dependent responses. In essence, there is a strong demand for developing innovative, effective and efficient signal processing algorithms within the time/frequency modulated array framework for improved system functionality.

This special issue (SI) covers a variety of signal processing approaches, all based on time/frequency modulated arrays. It aims to compile relevant research contributions from various disciplines, including statistical signal processing for radar and communications, antenna array design and synthesis, and applied mathematics. The papers included in this SI address

various applications based on different signal modalities. We were delighted to receive a total of 58 submissions, out of which 16 papers were finally selected. Unfortunately, many good papers could not be considered due to space limitation.

This issue begins with an overview article by Wang *et al.*, which provides foundations of time/frequency modulated arrays including TMA and FDA from a signal processing perspective. Potential applications in secure communications and cognitive radar are also discussed, along with the issues pertaining to joint optimization of waveform design and transmit beamforming.

In this SI, TMA signal processing are represented by three papers. Maneiro-Catoira *et al.* propose a wireless communication system that employs digital linear modulated signals, and consists of a TMA for nonlinear beamforming followed by a maximum ratio combiner. It is demonstrated that this approach provides a good balance between hardware complexity and system performance in terms of signal-to-noise ratio and symbol error rate. The same authors have a second article on an enhanced TMA framework where the array excitations are time modulated with periodic sum-of-weighted-cosine pulses to provide flexibility in controlling the harmonic radiation patterns. Barott and Fucharoen examine the sidelobe blanking (SLB) implementation using TMA and digital beamformer, referred to as TMA-SLB, for both spectrometry and radar applications. The TMA-SLB is applied to data recorded by the Allen Telescope Array, and shown to effectively blank sidelobe interferences via a computationally simple technique.

Regarding FDA, the SI includes five papers. Yao *et al.* propose the use of FDA to synthesize time-invariant spatial beam pattern which can focus energy in the short-range. Two time-modulation optimized frequency offset schemes are presented to handle single- and multiple-target scenarios. Liu *et al.* devise a new variant of FDA, referred to as random FDA. The main idea is to randomly assign the carrier frequency of each array element so that uncoupled range and direction estimation can be achieved. Furthermore, Cramér-Rao lower bound (CRLB) for location estimation and mutual coherence for target indication, are derived. Next, Xu *et al.* present an adaptive range-angle-Doppler processing approach for colocated MIMO airborne radar system employing FDA. They exploit both increased number of DOFs and dimensionality reduction in range, angle and Doppler domains, such that clutters from different range regions can be effectively suppressed. In order to attain a high number of DOFs, Qin *et al.* incorporate both coprime arrays and coprime frequency offsets in the FDA design. By exploiting sparsity of point targets in both range and angular domains, the localization problem is formulated using a two-dimensional sparse reconstruction model

which is solved within the Bayesian compressive sensing framework. Wang *et al.* propose the use of FDA for high-resolution wide-swath synthetic aperture radar (SAR) imaging. The main concept is to exploit the extra controllable DOFs in range so that the FDA can distinguish the range ambiguous echoes in the spatial frequency domain.

Apart from TMA and FDA, five articles relating to time and/or frequency modulation based MIMO systems are also included in the SI. Targeting the Internet-of-Things applications, Gokceoglu *et al.* consider the problem of multiuser-multiantenna waveform design and optimization for massive MIMO downlink with low-cost oversampled 1-bit receivers. McCormick *et al.* design an iterative scheme that jointly optimizes the beam pattern and spectral content of a wideband MIMO radar based on physically realizable frequency-modulated waveforms emitted from a uniform linear array. Space-frequency nulling is also implemented in the iterative process via a reiterative uniform-weight optimization method. Gao *et al.* propose a method of generating piecewise nonlinear frequency modulated waveforms for MIMO radar where waveform diversity is exploited by dividing each sub-pulse into three segments with different bandwidths and durations. The first and the third segments are linear frequency modulation signals, whereas the second segment is a nonlinear frequency modulation signal. Next, Liu *et al.* present a space-time coding scheme based on time and frequency comb-like chirp waveform diversity design for MIMO SAR imaging with suppression of interchannel ambiguous energy and interferences. The idea is to put two successive signal periods into one transmit duration, while the even and odd components of the transmitted waveforms are modulated into distinct Doppler frequencies in the azimuth direction and separated via bandpass filtering in the range-Doppler domain. Furthermore, La Manna and Fuhrmann provide the analytical CRLBs for target localization employing the planar hybrid MIMO phased-array radar (HMPAR). By fixing the total number of transmit/receive elements, comparative studies between the CRLBs of different HMPAR configurations and that of MIMO are performed. The SI ends with two more relevant articles. The first one is authored by Cheng *et al.* who use stochastic frequency modulated array for radar coincidence imaging, which is a super-resolution

staring imaging technique based on the idea of wavefront modulation and temporal-spatial stochastic radiation field. Lastly, Ding and Fusco propose a synthesis-free directional modulation transmitter by modifying the classical retrodirective array architecture. Their method performs well when there are multiple legitimate receivers positioned along different directions or in the presence of multipath propagation.

Our guest editorial team would like to thank all authors who submitted their manuscripts to this SI and the reviewers for their careful assessments and timely responses. Finally, we hope that this SI is informative and spurs future developments and advancements of time/frequency modulated arrays within the signal processing community and beyond.

HING CHEUNG SO, *Lead Guest Editor*
Department of Electronic Engineering
City University of Hong Kong
Hong Kong

MOENESS G. AMIN, *Guest Editor*
Center for Advanced Communications
Villanova University
Philadelphia, PA 19805 USA

SHANNON BLUNT, *Guest Editor*
Electrical Engineering & Computer Science Department
University of Kansas
Lawrence, KS 66045 USA

FULVIO GINI, *Guest Editor*
Department of Information Engineering
University of Pisa
Pisa 56126, Italy

WEN-QIN WANG, *Guest Editor*
School of Communication and Information Engineering
University of Electronic Science and Technology of China
Chengdu, Sichuan 611731, China



Hing Cheung So (S'90–M'95–SM'07–F'15) was born in Hong Kong. He received the B.Eng. degree from the City University of Hong Kong, Hong Kong and the Ph.D. degree from The Chinese University of Hong Kong, Hong Kong, both in electronic engineering, in 1990 and 1995, respectively. From 1990 to 1991, he was an Electronic Engineer with the Research and Development Division, Everex Systems Engineering Ltd., Hong Kong. During 1995–1996, he was a Postdoctoral Fellow with The Chinese University of Hong Kong. From 1996 to 1999, he was a Research Assistant Professor with the Department of Electronic Engineering, City University of Hong Kong, Hong Kong, where he is currently a Professor. His research interests include detection and estimation, fast and adaptive algorithms, multidimensional harmonic retrieval, robust signal processing, sparse approximation, and source localization. He has been on the editorial boards of the *IEEE Signal Processing Magazine* (2014–), the *IEEE TRANSACTIONS ON SIGNAL PROCESSING* (2010–2014), *Signal Processing* (2010–), and *Digital Signal Processing* (2011–). In addition, he is an elected member in Signal Processing Theory and Methods Technical Committee (2011–2016)

of the IEEE Signal Processing Society where he is a Chair in the awards subcommittee (2015–2016).



Moeness G. Amin received the Ph.D degree in electrical engineering from the University of Colorado, Boulder, CO, USA, in 1984. Since 1985, he has been with the Faculty of the Department of Electrical and Computer Engineering, Villanova University, PA, USA. He is a Fellow of the SPIE, the IET, and the EURASIP. He received the 2016 Alexander von Humboldt Research Award, the 2016 IET Achievement Medal, the 2014 IEEE Signal Processing Society Technical Achievement Award, the 2009 EURASIP Individual Technical Achievement Award, and the 2015 IEEE AES Society Warren D White Award for “Excellence in Radar Engineering.”



Shannon Blunt received the Ph.D. degree in EE from the University of Missouri, Columbia, MO, USA, was with the NRL Radar Division from 2002 to 2005, and since 2005 he has been with the University of Kansas, Lawrence, KS, USA, where he is the Director of the Radar Systems Lab. He is a member of the AES Radar Systems Panel, Associate Editor of the IEEE TRANSACTIONS AEROSPACE AND ELECTRONIC SYSTEMS, was a General Chair of the 2011 IEEE Radar Conference, and a Chair of the NATO SET-179 research task group on “Dynamic Waveform Diversity & Design.” He received the AFOSR Young Investigator Award in 2008 and the 2012 IEEE/AES Nathanson Memorial Radar Award.



Fulvio Gini (F’07) received the Ph.D. degree in telecommunications engineering in 1995 from University of Pisa, Pisa, Italy, where he is a Full Professor since 2006. He coauthored 9 book chapters, more than 120 journal papers, and 155 conference papers. He was co-recipient of the 2001 and 2012 IEEE AES Society’s Barry Carlton Award for Best Papers. He received the 2003 IEE Achievement Award for outstanding contribution in signal processing and the 2003 IEEE AES Society Nathanson Award to the Young Engineer of the Year. He is a member of the Radar System Panel (2008-present) and of the Board of Governors (2017-19) of the IEEE AES Society. He is a member of the IEEE SPS Awards Board. He is a member of the Board of Directors of the EURASIP Society, the Award Chair (2006–2012), and the EURASIP President for the years 2013–2016. He was the Technical Co-Chair of the 2006 EURASIP Signal and Image Processing Conference, Florence, Italy, of the 2008 Radar Conference, Rome, Italy, and of the 2015 IEEE CAMSAP Workshop, Cancun, Mexico. He was the General Co-Chair of the 2nd Workshop on Cognitive Information Processing, of the IEEE ICASSP 2014, held in Florence, Italy, and of the

2nd, 3rd, and 4th Workshop on Compressive Sensing in Radar.



Wen-Qin Wang (M’08–SM’16) received the B.E. degree in electrical engineering from Shandong University, Shandong, China, in 2002, the M.E. and Ph.D. degrees in information and communication engineering from the University of Electronic Science and Technology of China (UESTC), Chengdu, China, in 2005 and 2010, respectively.

From March 2005 to June 2007, he was with the National Key Laboratory of Microwave Imaging Technology, Chinese Academy of Sciences, Beijing, China. Since October 2007, he has been with the School of Communication and Information Engineering, UESTC, where he is currently a Professor. From June 2011 to May 2012, he was a visiting scholar at Stevens Institute of Technology, NJ, USA. From December 2012 to December 2013, he was a Hong Kong Scholar at City University of Hong Kong, Hong Kong. From January 2014 to January 2016, he was a Marie Curie Fellow at Imperial College London, U.K. His research interests include communication and radar signal processing and microwave imaging techniques. He has authored two books published by Springer and CRC press, respectively.

Prof. Wang is an editorial board member of four international journals. He received the Marie Curie International Incoming Fellowship, the National Young Top-Notch Talent of the Ten-Thousand Talent Program Award, and Hong Kong Scholar Fellowship.